

Exhibit 300: Capital Asset Plan and Business Case Summary
Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

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| 1. Date of Submission: | 4/10/2009 |
| 2. Agency: | Department of State |
| 3. Bureau: | Bureau Of Political-Military Affairs |
| 4. Name of this Capital Asset: | Exhibit 300 - Defense Trade Application System |
| 5. Unique Project (Investment) Identifier: (For IT investment only, see section 53. For all other, use agency ID system.) | 014-00-01-05-01-1398-00 |
| 6. What kind of investment will this be in FY 2010? (Please NOTE: Investments moving to O&M in FY 2010, with Planning/Acquisition activities prior to FY 2010 should not select O&M. These investments should indicate their current status.) | Operations and Maintenance |

8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap:

The purpose of this section is to present the summary and justification of the Defense Trade Application System (DTAS) Business Case for the Department of State (DoS) Fiscal Year FY 2010 budget submission. DTAS is an effective web-based export license application system critical to national security. DTAS is composed of three major subsystems. D-Trade, the most visible DTAS sub-system was formally rolled out by then Secretary of State Collin Powell, February 18, 2004. D-Trade has shown itself to be a paperless, user-friendly and security-sensitive defense technology export licensing review and approval system. D-Trade is important because it's one of many integrated parts within the U.S. national security system that controls the export of defense items and technologies. D-Trade is also part of the president's management agenda, which advances effective government through e-government.

The second component of DTAS is T-RECS, the Trade Registration, Enforcement and Compliance System (T-RECS). T-RECS provides for the support of compliance activities required of those companies obtaining export licenses. The T-RECS was deployed as a Proof of Concept for user review, testing and component database interface evaluations in September 2005, and has been implemented for case data management.

The third component of DTAS is DTAR, the Defense Trade Archive Repository. DTAR is a working subsystem that implements electronic storage of applications for the DTAS data management requirements. It leverages existing expertise in the PM and VC Bureaus to operate high speed scanners, R/Ware and KM systems for identifiable cost savings. It is NARA approved and delivers long-term unit cost reductions to DDTC that will restrain the growth rate for operating costs.

In total, these component of DTAS support our critical efforts to control international traffic in arms as required by the International Traffic in Arms control Regulations (ITAR) 22 CFR 120-130. The new DTAS subsystems and processes do not simply speed up old processes. They represent changes the ways in which we document, review, managed, and approved defense trade and technology distributions that improve the overall security of the United States and its allies.

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| 9. Did the Agency's Executive/Investment Committee approve this request? | Yes |
| a. If "yes," what was the date of this approval? | 7/14/2008 |
| 10. Did the Project Manager review this Exhibit? | Yes |
| 12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project? | No |
| a. Will this investment include electronic assets (including computers)? | Yes |
| b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only) | No |
| 1. If "yes," is an ESPC or UESC being used to help fund this investment? | |
| 2. If "yes," will this investment meet sustainable design principles? | |

3. If "yes," is it designed to be 30% more energy efficient than relevant code?

13. Does this investment directly support one of the PMA initiatives? Yes

If "yes," check all that apply:

Expanded E-Government

a. Briefly and specifically describe for each selected how this asset directly supports the identified initiative(s)? (e.g. If E-Gov is selected, is it an approved shared service provider or the managing partner?)

DTAS directly supports expanded E-GOV by sharing information, responsively and conveniently among federal agencies and by collaborating more readily with our allies - foreign governments by providing a web based electronic interface between government agencies participating in the defense trade application analysis area. DTAS uses electronic submissions, on-line license officer reviews, electronic referral and returned positions to service the massive license application increases

14. Does this investment support a program assessed using the Program Assessment Rating Tool (PART)? (For more information about the PART, visit www.whitehouse.gov/omb/part.) No

a. If "yes," does this investment address a weakness found during a PART review? No

b. If "yes," what is the name of the PARTed program?

c. If "yes," what rating did the PART receive?

15. Is this investment for information technology? Yes

If the answer to Question 15 is "Yes," complete questions 16-23 below. If the answer is "No," do not answer questions 16-23.

For information technology investments only:

16. What is the level of the IT Project? (per CIO Council PM Guidance) Level 2

17. In addition to the answer in 11(a), what project management qualifications does the Project Manager have? (per CIO Council PM Guidance) (1) Project manager has been validated as qualified for this investment

18. Is this investment or any project(s) within this investment identified as "high risk" on the Q4 - FY 2008 agency high risk report (per OMB Memorandum M-05-23) No

19. Is this a financial management system? No

a. If "yes," does this investment address a FFMIA compliance area? No

1. If "yes," which compliance area:

2. If "no," what does it address?

b. If "yes," please identify the system name(s) and system acronym(s) as reported in the most recent financial systems inventory update required by Circular A-11 section 52

21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities? No

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval? Yes

Section D: Performance Information (All Capital Assets)

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures (indicators) must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

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Agencies must use the following table to report performance goals and measures for the major investment and use the Federal Enterprise Architecture (FEA) Performance Reference Model (PRM). Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for each of the four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov. The table can be extended to include performance measures for years beyond the next President's Budget.

Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Promoting Economic Growth and Prosperity	Customer Results	Timeliness and Responsiveness	Response Time	Median number of days required to process staffed D-Trade license applications for referred cases using D-Trade.	End of FY 05 median result [Note: Medians times are used per GAO report, GAO-01-528 that discusses how licenses times can be significantly improved by a few emergency case or lengthened by a small number of difficult policy cases.	Continue to decrease the number of days required to process a case at a steady rate until this reaches a median of 20 days per case/per month.	End of FY 06 - not yet a stable measure, the high range variations (48-24) for staffed cases has a median processing time of 36 days (far lower median times are shown for non-staffed cases)
2006	Promoting Economic Growth and Prosperity	Mission and Business Results	Information and Technology Management	Information Management	Percent (%) of all DSP-5 referred and non-referred license applications submitted to DDTC using D-Trade.	FY 05 calculated 10% of DSP-5 cases processed using D-Trade	Increase the percent of the total of DSP-5 and all cases submitted for processing using D-Trade by 1 % per quarter	D-Trade received approximately 60% of DSP-5 D-Trade submitted cases per month (~45% of qtr.) at the end FY 2006. D-Trade submission increased relative to the legacy and paper submission.
2006	Promoting Economic Growth and Prosperity	Mission and Business Results	Information and Technology Management	Information Management	Percent of all Cases examined by DDTC, submitted to D-Trade.	End of FY 2005 results. (approximately 5%)	2 % per FY quarter.	Results for end of FY06 showed D-Trade submissions (all) were 3460 or 28% of the total of 12256 submissions for the 4th quarter FY06. (exceeding target of 13%)
2006	Promoting Economic Growth and Prosperity	Processes and Activities	Management and Innovation	Innovation and Improvement	% of Approved referred and non-referred cases signed electronically	End of FY 05 result of 3%	FY 06 QTR 1 - 1% of cases to be signed electronically; QTR 2 -2% of DSP-5 cases to be signed electronically; QTR 3 - 5% of cases to be signed electronically; QTR 4 - 8% of cases to be signed electronically	D-Trade has delivered excellent results. D-Trade submissions (all) are now signed electronically when completed and licenses are approved. [4th Qtr. 2006 - 3460 or 28% of the total of 12256 submissions for 4th quarter FY06.
2006	Promoting Economic Growth and Prosperity	Technology	Effectiveness	IT Contribution to Process, Customer, or Mission	Number of new DDTC Registrants Using D-Trade to submit DSP5s.	End of FY 05 result (Less than 1%)	5 % increase per quarter for FY 2006; reaching 25 % of all new registrants submit DSP5s using D-Trade by end of FY 2006	New registrants - 180 new DDTC registrants for the 4th quarter. Only 16 applied for licenses in FY 06, and of this number - 50% applied using D-Trade rather than Detra.
2007	Promoting Economic Growth and Prosperity	Customer Results	Timeliness and Responsiveness	Response Time	Median number of days required to process unstaffed and staffed D-Trade license applications using D-Trade.	End of 2006 results: staffed cases had a median (but unstable) processing time - 36 days; far lower times were recorded for	Improve median reported and published results. Continue to decrease the number of days required to process a case at a steady rate	Final results for all FY 07 processing times decreased slightly for staffed - 33.8 days; non-staffed cases - 17.1 days.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
						non-staffed cases - median - 13.4 days.	until this reaches a median of 20 days per case/per month.	Median processing times for both reached 25.5 days. (Still nearing target. Results improved)
2007	Promoting Economic Growth and Prosperity	Mission and Business Results	Information and Technology Management	Information Management	Percent (%) of all referred and non-referred license applications Processed	End of 2006 results. D-Trade received ~ 60% of DSP-5 D-Trade cases for the final of the FY (~45% for the 4th quarter of FY 2006).	Increase by 2% per quarter for FY 2007	Excellent FY 07 final progress - exceeded the overall 2007 targets. D-Trade received approximately 76.3% of license applications for FY 2007. D-Trade submissions - 61,284; Legacy (Detra) - 18,018.
2007	Promoting Economic Growth and Prosperity	Processes and Activities	Management and Innovation	Innovation and Improvement	% of Approved referred and non-referred cases signed electronically	End of FY 06 results: D-Trade approved submissions (all) are now signed electronically when completed for licenses that are electronically approved. [4th Qtr. 2006 - 3460 or 28% of the total of 12256 submissions for 4th quarter FY06.	FY 07 QTR 1 - 10 % of cases to be signed electronically; QTR 2 - 12% of DSP-5 cases to be signed electronically; QTR 3 - 15% of cases to be signed electronically; QTR 4 - 18% of cases to be signed electronically	For all FY 07 - very good progress continued since all DDTC cases processed through D-Trade were signed electronically; this increased to 76.3% of all cases, with 61,284 cases signed electronically during FY 2007.
2007	Promoting Economic Growth and Prosperity	Technology	Effectiveness	IT Contribution to Process, Customer, or Mission	Number of new DDTC Registrants Using D-Trade vs. Detra to submit license applications.	End of FY 06 result	10 % increase per quarter for FY 2007; reaching 65 % of all new registrants submit applications using D-Trade by end of FY 2007	Data for all new registrant for the FY 2007 shows there were 86 new registrants submitting applications. 66.3% [57/86] submitted applications for licenses using D-Trade. (large improvement over FY 2006 data)
2008	Promoting Economic Growth and Prosperity	Customer Results	Timeliness and Responsiveness	Response Time	Average number of days required to process license applications for cases	Average overall FY07 case processing results	Continue to decrease the number of days required to process a case at a steady rate until this reaches an average of 18 days per case. [Currently estimated to be an optimum level given the required processing and review time w/i other agencies.]	Results for 4th Qtr 08 - processing times 16.33 days - average.
2008	Promoting Economic Growth and Prosperity	Mission and Business Results	Information and Technology Management	Information Management	Number of license applications remaining open	End of FY07 standard/results	Reduce to less than 4000 month	Excellent 4th Qtr FY 08 progress - exceeds target. average was 3767, exceeding the business goal of DDTC.
2008	Promoting Economic Growth and Prosperity	Processes and Activities	Management and Innovation	Innovation and Improvement	% of Approved referred and non-referred cases signed electronically	End of FY 07 result/standard with 70% (or greater) of all approved applications being signed electronically.	Maintain over 70 % standard of cases being signed electronically for each FY 08 quarter.	For 4th Qtr FY 08 - 100% signed electronically; continually maintained the standard of over 70%.

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Performance Information Table								
Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Category	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2008	Promoting Economic Growth and Prosperity	Technology	Effectiveness	IT Contribution to Process, Customer, or Mission	Received to closed case ratio. (showing a backlog of unprocessed is not building in DDTC).	End of FY 07 result - 94%.	Maintain ratio (received to closed cases - R/C) above 95%	For 4th Qtr FY 08 - very good performance - ratio was 97.55% of received vs. closed cases for the quarter.
2009	Promoting Economic Growth and Prosperity	Customer Results	Timeliness and Responsiveness	Response Time	Cases closed (month)	End of FY 2008 results (~7,000)	Steadily increase average number of cases closed per month by 1%	
2009	Promoting Economic Growth and Prosperity	Mission and Business Results	Information and Technology Management	Information Management	Percent (%) of all referred and non-referred license applications remaining open	End of FY2008 result (~ 4825)	Maintain average cases open 5% below 2008 average	
2009	Promoting Economic Growth and Prosperity	Processes and Activities	Management and Innovation	Innovation and Improvement	% of Approved referred and non-referred cases signed electronically	End of FY 2008 result (~6650)	Maintain electronic signing steady (assuming) 95% of all cases are signed electronically	
2009	Promoting Economic Growth and Prosperity	Technology	Effectiveness	IT Contribution to Process, Customer, or Mission	Processing in days, averaged per month across all case activity	End of FY 2008 result (23.2 days)	Maintain steady state, including case volume growth	

Section E: Security and Privacy (IT Capital Assets only)

8. Planning & Operational Systems - Privacy Table:					
(a) Name of System	(b) Is this a new system? (Y/N)	(c) Is there at least one Privacy Impact Assessment (PIA) which covers this system? (Y/N)	(d) Internet Link or Explanation	(e) Is a System of Records Notice (SORN) required for this system? (Y/N)	(f) Internet Link or Explanation
Defense Trade Application System	No	Yes	http://www.state.gov/documents/organization/104143.pdf	Yes	http://www.state.gov/documents/organization/102785.pdf
<p>Details for Text Options:</p> <p>Column (d): If yes to (c), provide the link(s) to the publicly posted PIA(s) with which this system is associated. If no to (c), provide an explanation why the PIA has not been publicly posted or why the PIA has not been conducted.</p> <p>Column (f): If yes to (e), provide the link(s) to where the current and up to date SORN(s) is published in the federal register. If no to (e), provide an explanation why the SORN has not been published or why there isn't a current and up to date SORN.</p> <p>Note: Working links must be provided to specific documents not general privacy websites. Non-working links will be considered as a blank field.</p>					

Section F: Enterprise Architecture (EA) (IT Capital Assets only)

In order to successfully address this area of the capital asset plan and business case, the investment must be included in the agency's EA and Capital Planning and Investment Control (CPIC) process and mapped to and supporting the FEA. The business case must demonstrate the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture? Yes

a. If "no," please explain why?

2. Is this investment included in the agency's EA Transition Strategy? Yes

a. If "yes," provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment. Defense Trade Application System

b. If "no," please explain why?

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4. Service Component Reference Model (SRM) Table: Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to http://www.egov.gov .								
Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
Computer / Automation Management	Support the identification, upgrade, allocation and replacement of physical devices, including servers and desktops, used to facilitate production and process-driven activities	Back Office Services	Asset / Materials Management	Computers / Automation Management			No Reuse	
Data Warehouse	Support the archiving and storage of large volumes of data	Back Office Services	Data Management	Data Warehouse			No Reuse	
Extraction and Transformation	Support the manipulation and change of data	Back Office Services	Data Management	Extraction and Transformation			No Reuse	
Standardized / Canned	Support the use of pre-conceived or pre-written reports	Business Analytical Services	Reporting	Standardized / Canned			No Reuse	
Change Management	Control the process for updates or modifications to the existing documents, software or business processes of an organization	Business Management Services	Management of Processes	Change Management			No Reuse	
Configuration Management	Control the hardware and software environments, as well as documents of an organization	Business Management Services	Management of Processes	Configuration Management			No Reuse	
Program / Project Management	Manage and control a particular effort of an organization and efforts of an organization.	Business Management Services	Management of Processes	Program / Project Management			No Reuse	
Requirements Management	Gather, analyze and fulfill the needs and prerequisites of an organization's efforts	Business Management Services	Management of Processes	Requirements Management			No Reuse	
Network Management	Monitor and maintain a communications network in order to diagnose problems, gather statistics and provide general usage	Business Management Services	Organizational Management	Network Management			No Reuse	
Contact & Profile Management	Provide a comprehensive view of all customer interactions, including calls, email, correspondence and meetings; also provides for the maintenance of	Customer Services	Customer Relationship Management	Contact and Profile Management			No Reuse	

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Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	a customer s account, business and personal information							
Information Retrieval	Allow access to data and information for use by an organization and its stakeholders	Digital Asset Services	Knowledge Management	Information Retrieval			No Reuse	
Case Management	Manage the life cycle of a particular claim or investigation within an organization to include creating, routing, tracing, assignment and closing of a case as well as collaboration among case handlers	Process Automation Services	Tracking and Workflow	Case Management			No Reuse	
Process Tracking	Allow the monitoring of activities within the business cycle	Process Automation Services	Tracking and Workflow	Process Tracking			No Reuse	
Data Exchange	Support the connectivity between server hardware, software and telecommunications equipment into a single logical system	Support Services	Communication	Computer / Telephony Integration			No Reuse	
Forms Creation	Support the design and generation of electronic or physical forms and templates for use within the business cycle by an organization and its stakeholders	Support Services	Forms Management	Forms Creation			No Reuse	
Forms Modification	Support the maintenance of electronic or physical forms, templates and their respective elements and fields	Support Services	Forms Management	Forms Modification			No Reuse	
Access Control	Support the management of permissions for logging onto a computer, application, service, or network; includes user management and role/privilege management	Support Services	Security Management	Access Control			No Reuse	
Digital Signature Management	Use and management of electronic signatures to support authentication and data integrity;	Support Services	Security Management	Digital Signature Management			No Reuse	

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Agency Component Name	Agency Component Description	FEA SRM Service Domain	FEA SRM Service Type	FEA SRM Component (a)	Service Component Reused Name (b)	Service Component Reused UPI (b)	Internal or External Reuse? (c)	BY Funding Percentage (d)
	includes public key infrastructure (PKI)							
Identification and Authentication	Support obtaining information about those parties attempting to log on to a system or application for security purposes and the validation of those users	Support Services	Security Management	Identification and Authentication			No Reuse	
Issue Tracking	Receive and track user-reported issues and problems in using IT systems, including help desk calls	Support Services	Systems Management	Issue Tracking			No Reuse	

a. Use existing SRM Components or identify as "NEW". A "NEW" component is one not already identified as a service component in the FEA SRM.

b. A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

c. 'Internal' reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. 'External' reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

d. Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the percentage of the BY requested funding amount transferred to another agency to pay for the service. The percentages in the column can, but are not required to, add up to 100%.

5. Technical Reference Model (TRM) Table: To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Case Management	Component Framework	Business Logic	Platform Dependent Technologies	
Case Management	Component Framework	Data Interchange	Data Exchange	
Information Retrieval	Component Framework	Data Management	Database Connectivity	
Standardized / Canned	Component Framework	Data Management	Reporting and Analysis	
Contact and Profile Management	Component Framework	Security	Certificates / Digital Signatures	
Contact and Profile Management	Component Framework	Security	Certificates / Digital Signatures	
Forms Modification	Component Framework	User Presentation / Interface	Content Rendering	
Case Management	Component Framework	User Presentation / Interface	Content Rendering	
Case Management	Component Framework	User Presentation / Interface	Dynamic Server-Side Display	
Case Management	Component Framework	User Presentation / Interface	Static Display	
Digital Signature Management	Service Access and Delivery	Access Channels	Other Electronic Channels	
Forms Creation	Service Access and Delivery	Access Channels	Web Browser	
Issue Tracking	Service Access and Delivery	Delivery Channels	Intranet	
Data Exchange	Service Access and Delivery	Delivery Channels	Virtual Private Network (VPN)	
Access Control	Service Access and Delivery	Service Requirements	Hosting	

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5. Technical Reference Model (TRM) Table:				
To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.				
FEA SRM Component (a)	FEA TRM Service Area	FEA TRM Service Category	FEA TRM Service Standard	Service Specification (b) (i.e., vendor and product name)
Forms Creation	Service Access and Delivery	Service Requirements	Legislative / Compliance	
Access Control	Service Access and Delivery	Service Requirements	Legislative / Compliance	
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	
Data Exchange	Service Access and Delivery	Service Transport	Service Transport	
Identification and Authentication	Service Access and Delivery	Service Transport	Supporting Network Services	
Identification and Authentication	Service Access and Delivery	Service Transport	Supporting Network Services	
Information Retrieval	Service Interface and Integration	Integration	Middleware	
Case Management	Service Interface and Integration	Interoperability	Data Format / Classification	
Case Management	Service Interface and Integration	Interoperability	Data Transformation	
Data Exchange	Service Interface and Integration	Interoperability	Data Types / Validation	
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Database	
Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database	
Information Retrieval	Service Platform and Infrastructure	Database / Storage	Storage	
Data Exchange	Service Platform and Infrastructure	Delivery Servers	Web Servers	
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
Case Management	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	
Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Local Area Network (LAN)	
Contact and Profile Management	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Data Exchange	Service Platform and Infrastructure	Hardware / Infrastructure	Network Devices / Standards	
Extraction and Transformation	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	
Information Retrieval	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Computers / Automation Management	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	
Process Tracking	Service Platform and Infrastructure	Software Engineering	Modeling	
Case Management	Service Platform and Infrastructure	Software Engineering	Modeling	
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Requirements Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Program / Project Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Change Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	
Case Management	Service Platform and Infrastructure	Software Engineering	Test Management	
Case Management	Service Platform and Infrastructure	Software Engineering	Test Management	
Network Management	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Access Control	Service Platform and Infrastructure	Support Platforms	Dependent Platform	
Case Management	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Case Management	Service Platform and Infrastructure	Support Platforms	Independent Platform	
Configuration Management	Service Platform and Infrastructure	Support Platforms	Independent Platform	

a. Service Components identified in the previous question should be entered in this column. Please enter multiple rows for

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FEA SRM Components supported by multiple TRM Service Specifications

b. In the Service Specification field, agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

6. Will the application leverage existing components and/or applications across the Government (i.e., USA.gov, Pay.Gov, etc)? Yes

Exhibit 300: Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Risk Management (All Capital Assets)

Part III should be completed only for investments identified as "Operation and Maintenance" (Steady State) in response to Question 6 in Part I, Section A above.

You should have performed a risk assessment during the early planning and initial concept phase of this investment's life-cycle, developed a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

- | | |
|---|-----------|
| 1. Does the investment have a Risk Management Plan? | Yes |
| a. If "yes," what is the date of the plan? | 1/28/2008 |
| b. Has the Risk Management Plan been significantly changed since last year's submission to OMB? | No |