



# STATEMENT OF REGISTRATION

(INSTRUCTIONS ON REVERSE SIDE)  
(Attach additional sheet if necessary)

PM/DDTC DATE RECEIVED

NEW REGISTRANT CODE

1. REGISTRANT'S NAME AND ADDRESS: \_\_\_\_\_

2. CURRENT REGISTRANT CODE: \_\_\_\_\_

3. \$ \_\_\_\_\_ ENCLOSED FOR 1 2 3 4 (CIRCLE ONE) YEARS REGISTRATION.

4. REGISTRANT IS:  INDIVIDUAL  PARTNERSHIP  COMPANY  CORPORATION

5. REGISTRANT IS:  MANUFACTURER AND/OR  EXPORTER OF MUNITIONS LIST  
 EXPORTER OF DEFENSE SERVICE  BROKER

6. INCORPORATION OR COMMENCEMENT OF BUSINESS: DATE (mm-dd-yyyy) \_\_\_\_\_  
IN \_\_\_\_\_ City, Country, and State

TELEPHONE \_\_\_\_\_

7. DIRECTORS, OFFICERS, PARTNERS, OWNERS:

<u>NAME (Last, First, Middle)</u>	<u>POSITION</u>	<u>DATE (mm-dd-yyyy) and PLACE OF BIRTH</u>	<u>SOCIAL SECURITY NUMBER</u>	<u>HOME ADDRESS</u>	<u>CITIZENSHIP</u>

8. U.S. MUNITIONS LIST ARTICLES MANUFACTURED AND/OR EXPORTED, OR DEFENSE SERVICES PROVIDED:

<u>CATEGORY</u>	<u>COMMODITY/SERVICE</u>	<u>PURCHASING U.S. GOVERNMENT AGENCY (IF ANY)</u>

<p>9. NAMES AND ADDRESSES OF REGISTRANT'S WHOLLY AND PARTIALLY-OWNED U.S. SUBSIDIARIES: <input type="checkbox"/> YES (SPECIFY) <input type="checkbox"/> NO</p>	<p>10. NAMES AND ADDRESSES OF REGISTRANT'S WHOLLY AND PARTIALLY-OWNED FOREIGN SUBSIDIARIES <input type="checkbox"/> YES (SPECIFY) <input type="checkbox"/> NO</p>
<p>11. NAME, ADDRESS AND TELEPHONE NUMBERS OF REGISTRANT'S PARENT COMPANY (IF ANY)</p>	<p>12. IS THE REGISTRANT OWNED <input type="checkbox"/> AND/OR CONTROLLED <input type="checkbox"/> BY FOREIGN PERSONS (See § 122.2(c) of the ITAR) <input type="checkbox"/> YES (SPECIFY) <input type="checkbox"/> NO</p>

13. DOES REGISTRANT SUBMIT FEDERAL INCOME TAX FORMS SEPARATELY FROM COMPANY IN BLOCK 11?  YES  NO

14. REGISTRANT'S STATEMENT:  
UNDER PENALTY ACCORDING TO FEDERAL LAW (See § 22 CFR 127: 22 USC 2778: 18 USC 1001)

I, \_\_\_\_\_ WARRANT THE TRUTH OF ALL STATEMENTS MADE HEREIN  
TYPE FULL NAME

\_\_\_\_\_  
SIGNATURE DATE (mm-dd-yyyy)

\_\_\_\_\_  
TITLE POSITION

# INSTRUCTIONS STATEMENT OF REGISTRATION

1. Complete all items. If "none" applies to an item, so state. If more space is required to complete an item, use plain white paper.
2. **Item 1.** Show the business name, home office address and telephone number of the parent company, as it appears on federal income tax forms.
3. **Item 2.** If you have been in the past, or are currently registered with the Directorate of Defense Trade Controls (DDTC), give your DDTC registrant code number.
4. **Item 3.** Circle the number of years required and enter the amount of fee enclosed. Fee schedule:

1 year	\$ 600
2 years	\$1,200
3 years	\$1,800
4 years	\$2,200

You are encouraged to register for the maximum period of four years to reduce administrative overhead and take advantage of the reduced fees. **Do Not Send Cash.** Make your check or money order payable to "U.S. Department of State."
5. **Item 4.** Give the most applicable organizational description.
6. **Item 5.** Indicate the nature of your business.
7. **Item 6.** Enter the date (*mm-dd-yyyy*), city, county and state where your organization commenced doing business.
8. **Item 7.** Enter the name, title, date (*mm-dd-yyyy*) and place of birth (*city & state*), social security number, residential address, and country of citizenship for all directors, officers, partners and owners.
9. **Item 8.** Enter U.S. Munitions List (USML) category (part 121 of the International Traffic In Arms Regulations (ITAR)), generic name, and U.S. Government agency (*if applicable*) for which manufactured.
10. **Item 9.** List U.S. subsidiaries, wholly or partially owned by registrant, manufacturing and/or exporting USML articles or services.
11. **Item 10.** List foreign subsidiaries, wholly or partially owned by registrant, that manufacture, export, and/or broker USML articles, technical data or services.
12. **Item 11.** Give complete name, address, and telephone number of parent company.
13. **Item 12.** Is the registrant owned and/or controlled by foreign person(s)? See § 122.2(c) of the ITAR for definition of ownership or control.
14. **Item 13.** Company or corporate divisions or subsidiaries may not register separately unless they are required by law to file separate federal tax returns.
15. **Item 14.** The individual signing this form must be a senior official empowered by the intending registrant. Violations and penalties are explained in Part 127 of the ITAR.
16. DDTC will acknowledge in writing receipt of your application and fee, and assign a new registrant code number.
17. **IMPORTANT:** Changes in the information contained in this application by law must be reported promptly to: (See § 122.4 of the ITAR).

**Office of Defence Trade Controls Compliance (Registration)  
Directorate of Defense Trade Controls,  
SA-1, Suite H1300  
U.S. Department of State  
Washington, DC 20522-0112**

## PRIVACY ACT AND PAPERWORK REDUCTION ACT STATEMENTS

**AUTHORITIES:** U.S. Department of State's authorities to register persons engaged in the business of manufacturing, exporting, or importing any defense article or defense service are 22 U.S.C. 2778 (b) (1) (A) (i) and 22 CFR Part 122. The authorities to register brokers are 22 U.S.C. 2778 (b) (1) (A) (ii) (I) and 22 CFR 129.3 and 129.4

**PURPOSE:** The purpose of registration is to provide the U.S. Government with necessary information on individuals and entities engaged in certain

**ROUTINE USES:** The information solicited on this form is made available as a routing use to appropriate agencies whether federal, state, local or foreign, for intelligence, law enforcement and administrative purposes or pursuant to a court order. It may also be used to send required reports to Congress about certain defense trade transactions.

**SOCIAL SECURITY NUMBER:** Disclosure of the social security number(s) is voluntary and for the purpose of facilitating coordination with the Department of Treasury to review the registration statement for law enforcement concerns in accord with 22 U.S.C. 2778 (b) (1) (B). Refusal to provide requested social security number, by itself, will not result in registration being denied, but may result in delays in the processing of a registration request.

\*Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: U.S. Department of State (A/RPS/DIR) Washington, DC 20520.