

1 FAM 400 POLITICAL, ECONOMIC, AND INTELLIGENCE FUNCTIONAL BUREAUS

1 FAM 410 BUREAU OF POLITICAL-MILITARY AFFAIRS (PM)

*(CT:ORG-192; 08-08-2008)
(Office of Origin: ISN/PM/VCI/EX)*

1 FAM 411 ASSISTANT SECRETARY FOR POLITICAL-MILITARY AFFAIRS (PM)

1 FAM 411.1 Responsibilities

(CT:ORG-192; 08-08-2008)

- a. Reports directly to the Under Secretary for Arms Control and International Security Affairs (T).
- b. Serves as a principal adviser to Department principals in the development of policies relating to the national security of the United States or arising from U.S. military activities affecting U.S. foreign relations.
- c. Provides guidance and coordinates policy formulation on national security issues including regional stability, military operations and arms transfers and defense trade. Has Departmental lead on defense trade controls, defense relations, military security assistance, military operations and exercises, diplomatic clearance approvals for foreign ships and aircraft entering the U.S. and its territories, conventional weapons destruction including humanitarian demining assistance, MANPADS threat reduction and analyzing broad trends in international security affairs to determine their effect on U.S. policies. Ensures effective coordination of related policies, oversees operations and programs, advises and supports senior officers of the Department in their formal relationships with the Office of

the Secretary of Defense and the Joint Chiefs of Staff (JCS).

- d. Serves as principal liaison with the Department of Defense on policy issues, including security assistance, and on coordination of U.S. military-related activities with U.S. foreign policy implications.
- e. Assists the Secretary, the Deputy Secretary, and the Director of U.S. Foreign Assistance in discharging the Secretary's responsibilities for developing, managing and implementing military security assistance programs. Advises the Secretary, Under Secretary for Arms Control and International Security and Under Secretary for Political Affairs (P) on crisis management, military operations, base access and pre-positioning of U.S. materiel, and other aspects of U.S. defense relations.
- f. Provides overall direction for the fulfillment of the Department's responsibilities for the State-Defense Exchange Program (SDE), and for Foreign Policy Advisers (POLADs) assigned to military commands, the Pentagon, and Joint Interagency Coordination Groups.

1 FAM 411.2 Organization

(CT:ORG-192; 08-08-2008)

An organization chart of the Bureau of Political-Military Affairs (PM) is found as 1 FAM 411 Exhibit 411.2 .

1 FAM 411.3 Definitions

(CT:ORG-192; 08-08-2008)

Article 98 agreement: A bilateral agreement in which a government agrees to not transfer, directly or indirectly, nationals and current or former military personnel of the United States found in the other country to the International Criminal Court without the consent of the United States Government. Such an agreement may include similar obligations on the part of the United States. The agreement is based on Article 98 of the Rome Statute, which established the International Criminal Court.

Blue Lantern: A State Department program administered by the Office of Defense Trade Controls Compliance designed to verify appropriate end-use, end-users, and final destination of commercial defense exports.

PMAT: The Political Military Action Team

MANPADS: Man Portable Air Defense System

Javits Report: An annual classified report to Congress on arms transfers which are under consideration.

POLAD: State Department officer who serves as the senior civilian political adviser to a military command.

GPOI: Global Peace Keeping Operations Initiative

COIN: Counter-insurgency

FMF: Foreign Military Financing – Grant assistance provided to allied and friendly nations for the acquisition of U.S. military equipment, services, and training.

IMET: International Military Education and Training – Grant assistance that provides training to students from allied and friendly nations.

PKO: Peacekeeping Operations Program – Grant funding that supports regional peace support operations, implementation of peace agreements, or enhances the capability of other nations to participate in voluntary peacekeeping, counterterrorism, and humanitarian operations.

Leahy Amendment – Legislation that prohibits certain assistance to any unit of a foreign country's security forces if the Secretary of State has credible evidence that such unit has committed gross human rights violations, unless certain conditions have been met.

SA/LW: Small Arms and Light Weapons, generally referring to conventional weapons that can be carried and fired by an individual or a small group of individuals (2-3 persons).

1 FAM 411.4 Authorities

(CT:ORG-192; 08-08-2008)

- (1) Arms Export Control Act, as amended (22 U.S.C. 2751 et seq.).
- (2) Foreign Assistance Act of 1961, Part II, chapters 2, 5 and 6, as amended.
- (3) Foreign Relations Authorization Act section 161(f), Public Law 103-236, 108 Stat. 404 (1994) (22 U.S.C. 2651a note).
- (4) Freedom Support Act of 1992, section 504 criteria.
- (5) International Traffic in Arms Regulations, 22 CFR sections 120-130, as revised.

- (6) State Department Basic Authorities Act of 1956 sections 1 and 45, as amended (22 U.S.C. 2651a).
- (7) State Department Delegation of Authority Number 104-7-A, 35 Federal Register 5423 (March 18, 1970).
- (8) State Department Delegation of Authority Number 293-1.
- (9) PL 109-472 Sec 11 (State Department 2007 Appropriations)
- (10) PL 110-49 (Foreign Investment and National Security Act) establishing CFIUS: the Committee on Foreign Investment in the United States.
- (11) Other authorities, as appropriate.

1 FAM 412 PRINCIPAL DEPUTY ASSISTANT SECRETARY (PM/PDAS)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Assistant Secretary for Political-Military Affairs (PM).
- b. Serves as Acting Assistant Secretary in his or her absence, with authority to act on the Assistant Secretary's behalf across the full spectrum of PM issues.
- c. Has primary responsibility for management of the Bureau. Works closely with the Executive Director for the T Bureaus (ISN-PM-VCI/EX).
- d. In concert with the Assistant Secretary, coordinates policy and operational activities of the Bureau.
- e. Directly supervises the Senior Adviser for Security Negotiations and Agreements, Congressional and Public Affairs (PM/CPA) staff, and the POLAD Coordinator (PM/POLAD).
- f. As the Principal Deputy Assistant Secretary, represents the Bureau before a variety of fora within the Department and in interagency groups, including testifying before Congress on specially assigned issues and in the Assistant Secretary's absence.
- g. Personally handles projects of special importance or directs significant PM activities at request of the Assistant Secretary.

- h. Directs Bureau crisis management activities.
- i. Serves as the coordinator for internal controls management, Department inspections and audits, and other reporting requirements.

1 FAM 412.1 Senior Adviser for Security Negotiations and Agreements (PM/SNA)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Principal Deputy Assistant Secretary (PM/PDAS).
- b. Negotiates status of forces (SOFA), base access, and Article 98 agreements.
- c. Negotiates in-kind and financial support by countries allied to the United States for Department of Defense (DOD) military units and personnel assigned to permanent duty ashore outside the United States in support of the security of such countries.
- d. Assists and consults the DOD in negotiations with host governments for the recovery of funds associated with financial commitments from such countries for paying the United States the residual value of United States facilities that the United States relinquishes to such countries upon termination of the use of such facilities by the United States.
- e. Serves as the focal point for the Department with other bureaus and U.S. Government agencies for defense burden sharing policy and issues.
- f. Raises consciousness among allies and U.S. agencies of the necessity, opportunities, and modalities for "responsibility sharing," the sharing of roles, risks, responsibilities and costs associated with the forward deployment of U.S. military forces stationed in allied countries.

1 FAM 412.2 Congressional and Public Affairs Staff (PM/CPA)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Principal Deputy Assistant Secretary (PM/PDAS).
- b. Serves as policy adviser to the Assistant Secretary (PM/AS) and Principal Deputy Assistant Secretary (PM/PDAS) of the Bureau of Political-Military Affairs (PM) on all legislative, congressional, press, and public affairs relating to all issues within the PM Bureau's purview

- c. Develops and maintains relationships with key Congressional interlocutors.
- d. Coordinates the formulation and preparation of the Department of State's annual legislative proposals on international security assistance and arms transfers.
- e. Plans and oversees the execution of public affairs initiatives and media and press activities, advising the Assistant Secretary on media contacts and appearances.
- f. Coordinates within the Department of State and with appropriate representatives of other U.S. Government agencies, including the Department of Defense (DOD), Agency for International Development (USAID), National Security Council (NSC), and the Office of Management and Budget (OMB) the presentation of policy issues before Congress, the media and the public.
- g. Follows legislation of concern to the Department and PM.
- h. Oversees and coordinates the preparation of statements and briefing materials for testimony by the Assistant Secretary and other senior Department of State principals on substantive political-military issues.

1 FAM 412.3 The POLAD Coordinator (PM/POLAD)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Principal Deputy Assistant Secretary (PM/PDAS).
- b. Recruits for and provides policy/administrative support to Department officers serving as Foreign Policy Advisors (POLADs) to DOD's Military Service Chiefs, Combatant and Subordinate Commands, and NATO Commands. Policy support includes coordination on significant issues with other Department bureaus, military commands without POLADs, the interagency community, and foreign embassies.
- c. Recruits for and provides policy/administrative support to Department officers detailed to both DOD's Office of the Secretary of Defense (OSD) and to the Joint Chiefs of Staff (JCS) pursuant to the State-Defense Officer Exchange (SDE) program.
- d. Recruits for and provides policy/administrative support to Department officers detailed to Joint Agency Coordinating Groups (JIACGs) at Combatant Commands.
- e. In coordination with individual POLADs, helps to arrange consultation

visits by the Under Secretary for Arms Control and International Security Affairs, the Assistant Secretary for Political-Military Affairs, and other Department principals to DOD Combatant Commands and other key U.S. military entities. Assists in arranging consultations at the Department for senior U.S. military commanders as appropriate.

- f. Visits Commands with POLADs on a regular basis. Organizes with the Foreign Service Institute an annual POLAD Orientation course for new POLADs. Organizes, with the National Defense University, and annual POLAD Conference.

1 FAM 413 DEPUTY ASSISTANT SECRETARY FOR PLANS, PROGRAMS, AND OPERATIONS (PM/PPO)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Assistant Secretary for Political-Military Affairs (PM).
- b. Supervises the Office of Plans, Policy, and Analysis (PM/PPA); the Office of Weapons Removal and Abatement (PM/WRA); and the Office of International Security Operations (PM/ISO).
- c. Provides overall management and guidance for U.S. Government security assistance programs and policies, including preparation and implementation of security assistance budgets; and developing, coordinating, and defending U.S. Government policy on security assistance and arms transfers globally.
- d. Coordinates the day-to-day interface with the Department of Defense (DOD) for operational military matters, coordinates the Department's foreign policy oversight of DOD operations and exercises, and sensitive intelligence operations
- e. Oversees the 24 hour operations of the PMAT and supports the Department and the inter-agency during crises and U.S. military operations abroad.
- f. Oversees the Department of State's conventional weapons destruction programs, including humanitarian demining, as well as the development of public/private partnerships to expand international awareness of, and support for U.S. Government policies and activities in this area.
- g. Provides overall management and guidance for U.S. Government small arms/light weapons policies and activities, both bilateral and multilateral,

including the provision of foreign assistance to control and destroy small arms/light weapons and combating illegal trafficking.

- h. Guides and directs peacekeeping policy and programs to augment the global capacity to respond to peacekeeping operations worldwide.
- i. Prepares and provides guidance on strategic planning documents for the Bureau, and other security-related activities.
- j. Represents the Bureau and the Department at interagency policy fora and the Department and Administration at Congressional briefings and formal testimony.
- k. Chairs both ad hoc and permanent interagency working groups on security matters.
- l. Contributes to the improvement of political-military effectiveness within the inter-agency through training events, exercises and lectures in various fora, seminars, war games and conferences.

1 FAM 413.1 Office of Plans, Policy, and Analysis (PM/PPA)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Deputy Assistant Secretary for Plans, Programs, and Operations (PM/PPO).
- b. Serves as the principal office for global political-military programs, strategic planning, and crosscutting policy issues.
- c. Directs U.S. military security assistance programs -- Foreign Military Financing (FMF), International Military Education and Training (IMET), and Peacekeeping Operations (PKO) -- including policy development, budget formulation, and program oversight. Provides policy oversight on Leahy vetting requirements.
- d. Coordinates Department of State reviews and input into Department of Defense (DOD) strategic planning documents. Liaises with the Office of the Secretary of Defense, the Joint Staff, the Combatant Commands and the military services on political-military issues involving strategic planning and foreign policy and in developing and reviewing joint and combined doctrine regarding diplomatic aspects of war plans, peacekeeping, civil-military operations, military operations other than war, the emergence of counterinsurgency (COIN) as a civilian strategy and planning construct, etc.

- e. Represents the Bureau of Political-Military Affairs (PM) in State Department strategic planning efforts, to include formulating PM's Bureau Strategic Plan and related resource requirements. Guides the implementation of the "Achieving Peace and Security" performance goal in the "Department of State and USAID Joint Strategic Plan" and contributes to relevant sections of the "Department's Congressional Budget Justification" and "Performance and Accountability Report."
- f. Manages the Global Peace Operations Initiative (GPOI), a Presidential initiative to expand the capacity and availability of peacekeepers. Directs peacekeeping programs to increase peacekeeping capabilities worldwide, including policy development, budget formulation, and program oversight.
- g. Monitors global political-military developments, prepares analyses of the implications of these developments for U.S. security interests, and develops options serving U.S. interests in the wake of these developments.

1 FAM 413.2 Office of Weapons Removal and Abatement (PM/WRA)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Deputy Assistant Secretary for Plans, Programs and Operations (PM/PPO).
- b. Conducts and coordinates bilateral and multilateral U.S. programs for conventional weapons destruction, including humanitarian demining, munitions and stockpile destruction, stockpile management and security and policies related to these programs.
- c. In concert with the Department of Defense (DOD), develops policies related to conventional weapons and munitions, specifically addressing issue related humanitarian impacts, post-conflict remediation and the implications for interoperability with U.S. alliance partners.
- d. Leads or participates in multi-lateral negotiations related to Small Arms and Light Weapons (SA/LW), landmines and other conventional weapons.
- e. Undertakes extensive outreach efforts, including fostering public/private partnerships to expand the awareness of, and public support for the United States efforts to reduce the impacts of unexploded conventional weapons on civilians.
- f. Develops and manages comprehensive approaches to enhancing

indigenous capabilities, local security, and regional stability by removing and destroying residual conventional weapons of war.

- g. Develops policies and implementing programs to curb the destabilizing effects of Man Portable Air Defense Systems (MANPADS), Abandoned Ordnance (OA), and other conventional munitions.

1 FAM 413.3 Office of International Security Operations (PM/ISO)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Deputy Assistant Secretary for Programs, Plans and Operations (PM/PPO).
- b. Director serves as the Senior Military Representative in the Department; manages assignment and personnel actions of all military and DOD civilians attached to the Department.
- c. Serves as the primary interface between the Department and DOD for operational military matters, coordinates the Department's foreign policy oversight of DOD operations and exercises, and provides foreign affairs support to them, including:
 - (1) Military operations to stabilize countries in crisis;
 - (2) Maritime Interception Operations to stop the transit of drugs, undocumented aliens, weapons of mass destruction, and terrorists and their goods;
 - (3) Freedom of Navigation (FON) operations, in which U.S. naval units transit areas of exaggerated maritime sovereignty claims, and U.S. military aircraft transit air corridors, to assert the principles of International Law and free passage and to set the precedent that the international community has not accepted these claims;
 - (4) Non-combatant Evacuation Operations, conducted by DOD pursuant to requests from the Department for assistance in evacuating U.S. citizens during an overseas crisis;
 - (5) Humanitarian operations requested by the Department or conducted by DOD under legislated authorizations termed Humanitarian Assistance, Humanitarian and Civic Assistance, Excess Property donations, the Denton Agreement, and the Funded Transportation Program;

- (6) Sensitive reconnaissance operations; and
 - (7) Recovery operations of U.S. Government personnel who are isolated or in distress abroad.
- d. Supports Department principals, regional bureaus, U.S. missions, the Department of Defense (DOD), and the National Security Council (NSC) during crises and U.S. military operations abroad.
- e. Contributes to the improvement in political-military training through interagency training events, exercises, and lectures in various fora, seminars, war games, and conferences.
- f. Manages the Political-Military Action Team (PMAT). The PMAT:
- (1) Provides a round-the-clock link between the Department and DOD to coordinate military operations and activities having foreign policy implications;
 - (2) Provides daily situation reports and information on significant political-military events;
 - (3) Represents the bureau in Department Task Forces and Washington Liaison Group meetings to coordinate operations, crises, and ad hoc contingencies;
 - (4) Serves at the 24/7 initial point of contact of unresolved radiological alarms for the USG's Nuclear Trafficking Response Group, in support of the Bureau of International Security and Nonproliferation; and
 - (5) Serves as the 24/7 duty officer for the Bureau of Political-Military Affairs (PM).
- g. Performs all duties associated with the authority delegated to the Secretary of State (Title 49 U.S.C. Section 40103) to review and approve diplomatic overflight and landing clearance for foreign government aircraft and to approve the entry of foreign naval vessels into the air, sea or land areas of United States territory.
- h. Represents the Department in the Air Protection Interagency Working Group and serves as the Bureau's point of contact for homeland security issues involving foreign aircraft and ships.
- i. Participates in the interagency working group that develops the U.S. Government position on issues related to foreign air navigation fees charged to United States Government aircraft.

- j. Represents the United States in dealing with the United Kingdom (UK) on matters associated with the United States presence on British Overseas Territories.
- k. Manages the annual revision of the Politically Sensitive Areas list and coordinates Department clearance of DOD notifications to the White House.
- l. Processes Department clearances of activities funded by DOD's Developing Countries Combined Exercise Program designated to strengthen ties with DOS's foreign military counterparts in developing nations.
- m. Processes requests for medical treatment of Foreign Very Important Persons (VIPs) at DOD facilities.
- n. Processes requests for DOD emergency medevac flights transporting U.S. citizens or foreign nationals who require immediate medical treatment in the U.S. or at overseas facilities.
- o. Processes requests from retired military personnel for authorization to accept foreign government employment.
- p. Processes Department clearance of activities funded by the DOD Commander's Personal Expense Program, which supports events specially selected by regional Combatant Commanders, such as conferences with their foreign counterparts.
- q. Processes DOD requests to establish Acquisition and Cross-Servicing Agreements with foreign governments to facilitate mutual logistical support between U.S. forces and the foreign forces with which they are operating.
- r. Manages the Bureau's participation in Department crisis task forces.
- s. Manages personnel affairs for DOD personnel assigned to the Department, including those identified in the State/Defense Exchange Memorandum of Understanding (MOU).
- t. Administers the Department's Foreign Policy Advisor (POLAD) Reserve Corps to provide temporary State support to military units at the Task Force level and below in support of overseas crises/contingencies, routine operations with significant impact on U.S. foreign policy, and select military field training exercises.
- u. Verifies that human rights vetting has been completed prior to execution of Joint Combined Exchange Training (JCET) and Counter-Narco Terrorism

(CNT) deployments in accordance with the Leahy Amendment.

- v. Processes Department clearances of DOD notification of Significant Military Exercises conducted in or near Politically Sensitive Areas to ensure alignment with political and diplomatic goals in accordance with NSPD 42.

1 FAM 414 DEPUTY ASSISTANT SECRETARY FOR DEFENSE TRADE AND REGIONAL SECURITY (PM/DTRS)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Assistant Secretary for Political-Military Affairs (PM).
- b. Supervises the Directorate of Defense Trade Controls, which consists of a Directorate of Defense Trade Controls including the Managing Director and the Office of Defense Trade Controls Policy (PM/DTCP), the Office of Defense Trade Controls Licensing (PM/DTCL), and the Office of Defense Trade Controls Compliance (PM/DTCC); as well as the separate office of Regional Security and Arms Transfers (PM/RSAT).
- c. Coordinates for the Department and the Administration the development of policy for the transfer to other countries of defense and intelligence-related technologies, except those conducted on a government-to-government basis.
- d. Manages commercial defense trade, including:
 - (1) Development and implementation of defense export control policy;
 - (2) Administration of the International Traffic in Arms Regulations (ITAR);
 - (3) Decisions on export authorization requests and on appeals by industry of decisions on export licenses and the jurisdiction of commodities controlled as arms or munitions, on exceptions requests and implementation of debarments; and
 - (4) Coordination of Department efforts in support of U.S. industries and exporters, when consistent with law and policy.
- e. Represents the Bureau and the Department at interagency policy fora, and the Department and the Administration in Congressional briefings and formal testimony.

- f. Develops policy for and manages bilateral and multilateral negotiations with other major suppliers to limit arms transfers and to exercise restraint in sensitive dual-use trade.
- g. Monitors and ensures compliance with U.S. law and regulations governing defense exports, including:
 - (1) Negotiations with recipient countries on compliance issues;
 - (2) Coordination with law enforcement agencies in investigations and criminal prosecutions;
 - (3) Overseeing imposition of civil penalties by the Department for non-compliance with U.S. law and regulation governing defense exports; and,
 - (4) Assessment of sensitive intelligence reports of violations.

1 FAM 414.1 Managing Director of Defense Trade Controls (PM/DDTC)

(CT:ORG-192; 08-08-2008)

- a. Administers the export control of commercial exports of defense articles and defense services covered by the U.S. Munitions List, in accordance with the International Traffic in Arms Regulations (ITAR) and the Arms Control Export Act (AECA).
- b. Serves as the senior manager for, and the direct supervisor of, the Directorate comprised of the Office of Defense Trade Controls Licensing (PM/DTCL), the Office of Defense Trade Controls Compliance (PM/DTCC), and the Office of Defense Trade Controls Policy (PM/DTCP).
- c. Serves as Acting Deputy Assistant Secretary (DAS) in his or her absence, with authority to act on the DAS's behalf across the full spectrum of Defense Trade issues.
- d. In concert with the DAS, coordinates Defense Trade related policy and operational activities of the Bureau.
- e. Can be called upon to represent the Defense Trade activities of the Department to the Defense industry, the press and Congress.
- f. Administers process improvement within the directorate, consistent with Federal and Department management principles and operations.
- g. Oversees resources to include budget, infrastructure and staffing.

- h. Coordinates e-Government efforts to support execution of the defense trade controls function.
- i. Coordinates actions and responses with key offices critical to the directorate's overall performance, (e.g., L, H, VC/VO and IRM) as well as oversight bodies (e.g., OIG and GAO).

1 FAM 414.2 Office of Defense Trade Control Licensing (PM/DTCL)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Managing Director of Defense Trade Controls (PM/DDTC).
- b. Reviews, staffs, adjudicates and provides the final USG position on licenses and other the direct commercial sale requests for the export or retransfer of U.S. Munitions List controlled defense articles and defense services.
- c. Prepares and coordinates congressional notification certifications as required by AECA Sec. 36.
- d. Coordinates and conducts outreach to U.S. industry, the Society for International Affairs, and other fora.

1 FAM 414.3 Office of Defense Trade Controls Compliance (DTCC)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Managing Director of Defense Trade Controls (PM/DDTC).
- b. Registers U.S. manufacturers and exporters of defense articles, defense services and related technical data, along with arms brokers.
- c. Serves as the lead for the PM Bureau on cases brought before the Committee on Foreign Investment in the United States that involve U.S. defense companies.
- d. Provides direct support to the Departments of Justice (DOJ) and Homeland Security (DHS) and the Federal Bureau of Investigation (FBI) in their criminal investigations of AECA violations.
- e. Conducts civil enforcement investigations and formal administrative proceedings related to AECA violations to include preparing and

concluding consent agreements on behalf of the Department.

- f. Reviews overall industry compliance with the law and regulations to include examinations of voluntary and direct disclosures of export violations, and manages the company visit program to conduct on-site visits and reviews at U.S. defense companies.
- g. Supports the Licensing Office by reviewing intelligence related to illicit arms transfers and the Gray Arms Market and maintains and manages the Department's watchlist of suspicious and ineligible parties.
- h. Prepares Section 3 reports to Congress regarding the unauthorized use or diversion of exported U.S. origin military equipment.
- i. Manages the Blue Lantern Program to verify the legitimacy of export transactions under review and help detect and deter illegal arms trafficking in both the Gray and Black Arms Market.
- j. Supports education and training outreach efforts to promote industry wide compliance with the law and regulations.

1 FAM 414.4 Office of Defense Trade Controls Policy (PM/DTCP)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Managing Director of Defense Trade Controls (PM/DDTC).
- b. Develops and provides policy guidance and recommendations on defense trade matters.
- c. Provides policy guidance to U.S. Embassies in support of the efforts of the U.S. defense industry to market its products and services abroad.
- d. Serves as the directorate point of contact to support foreign government understanding of U.S. export control laws, policies and procedures. Encourages foreign governments to take effective munitions export control actions within their jurisdictions.
- e. Designates articles on the U.S. Munitions List with the concurrence of the Department of Defense (DOD); and determines appropriate export licensing jurisdiction in response to commodity jurisdiction requests.
- f. Develops legislative and regulatory language to support directorate responsibilities and works to ensure its appropriate and consistent application. Maintains library of regulatory and other issues relative to

defense trade.

- g. Manages external liaison and collaborative efforts with the Defense Trade Advisory Group (DTAG).

1 FAM 414.5 Office of Regional Security and Arms Transfers (PM/RSAT)

(CT:ORG-192; 08-08-2008)

- a. Reports to the Deputy Assistant Secretary for Defense Trade and Regional Security (PM/DTRS).
- b. Formulates policy proposals on regional security issues pertaining to the Middle East, Africa, East Asia/Pacific regions, South/Central Asia, Europe (non-NATO issues), and Latin America; and, as appropriate, coordinates such proposals within the Bureau, the Department, and with other agencies, and monitors or supervises their implementation.
- c. Serves as the focal point for the Department for arms transfer policy; evaluates arms transfer proposals for their implications regarding the military capabilities of recipients and regional U.S. military capabilities and requirements, and for consistency with regional U.S. security policy objectives.
- d. Supervises the Department's action on foreign military sales requests and reviews applications for export licenses for commercial arms sales for policy considerations. Provides staff support for interagency arms export review and management bodies.
- e. Directs the Excess Defense Articles programs in accordance with national policy, the Foreign Assistance Act of 1961 and the Arms Export Control Act.
- f. Analyzes the political-military implications of various aspects of U.S. foreign and defense policy including force levels, presence and deployment abroad, provisions for access and basing, command arrangements, arms transfers, regional military procurement and force planning decisions. In concert with relevant regional bureaus, monitors foreign political and military developments, evaluates their implications for policy and U.S. security concerns, and recommends appropriate U.S. policy responses.
- g. Advises the Assistant Secretary for the Bureau of Political Military Affairs (PM) and the Under Secretary for Arms Control and International Security (T), and other Senior Department officials as appropriate on policy and

implementation matters relating to arms transfers and excess defense articles.

- h. Coordinates bilateral consultations with U.S. friends and allies on political-military affairs.
- i. Prepares arms transfer determinations in fulfillment of statutory requirements. Coordinates ship loan legislation and resultant transfers. Coordinates government review of co-production and co-assembly agreements. Coordinates preparation for the Javits Report.
- j. Consults with and coordinates responses to inquiries from Congressional Members and staff.

1 FAM 415 THROUGH 419 UNASSIGNED

1 FAM 411 EXHIBIT 411.2 BUREAU OF POLITICAL-MILITARY AFFAIRS (PM)

(CT:ORG-192; 08-08-2008)

BUREAU OF POLITICAL-MILITARY AFFAIRS (PM)

