

9 FAM 40.21(A) PROCEDURAL NOTES

*(CT:VISA-1318; 09-24-2009)
(Office of Origin: CA/VO/L/R)*

9 FAM 40.21(A) PN1 INFORMATION PERTAINING TO ADVISORY OPINIONS

9 FAM 40.21(a) PN1.1 Submitting Report

(CT:VISA-1194; 04-16-2009)

You must submit a complete report to CA/VO/L/A, together with certified copies of the items listed below whenever an advisory opinion is deemed necessary because the case involves a criminal conviction. Post must not use Form OF-221, Two-Way VISA Action and Response when requesting advisory opinions of a non-security nature. The post must also include with the request, certified copies of:

- (1) The charges forming the basis of the conviction;
- (2) The provisions of law in full on which such charges were predicated;
- (3) The judgment of the court;
- (4) In cases of expungements, a copy of or the citation to the procedural law setting forth the effect of the expungement; and
- (5) Whenever applicable, the consular officer's determination as to the value of the goods involved in the crime if such information is not part of the record of conviction.

9 FAM 40.21(a) PN1.2 Submitting Translation of Documents

(CT:VISA-1318; 09-24-2009)

The post must submit translations of items of 9 FAM 40.21(a) PN1.1 (1), (2), and (3) together with your report under subject tag:

VISAS: ADVISORY OPINIONS: Ineligibility
OTHER THAN SECURITY, CA/VO/L/A.

or simply submit an Advisory Opinion using the NIV or IV system.

9 FAM 40.21(A) PN2 WAIVER OF INADMISSIBILITY UNDER INA 212(H)

9 FAM 40.21(a) PN2.1 Making Waiver Requests Directly to Department of Homeland Security (DHS)

(CT:VISA-1194; 04-16-2009)

INA 212(h) waiver applications are submitted directly to the Department of Homeland Security (DHS) without the Department's favorable recommendation or joint action. Even if you do not believe an applicant is eligible for a 212(h) waiver, the waiver request should be submitted to DHS at the applicant's insistence to allow DHS to determine waiver eligibility. To ensure that the original finding of ineligibility is fully in accord with both law and regulations, you must carefully review cases of aliens who have been found inadmissible under INA 212(a)(2)(A), (B), (D), and (E) who intend to apply for relief under INA 212(h).

9 FAM 40.21(a) PN2.2 Form I-601, Application for Waiver of Grounds of Inadmissibility

(CT:VISA-1194; 04-16-2009)

You must interview the alien and the alien's spouse or other qualifying relatives, if appropriate, and make every effort to complete the processing of the Form I-601, Application for Waiver of Grounds of Inadmissibility at the time of the formal refusal of the visa. You may also assist the alien in:

- (1) Filling out Form I-601, Application for Waiver of Grounds of Inadmissibility;
- (2) Obtaining other required documents; and
- (3) Ensuring that Form I-601, Application for Waiver of Grounds of Inadmissibility contains complete and accurate information. (See 9 FAM Appendix N, 403.)

FAM 40.21(a) PN2.3 Executing Form I-601, Application for Waiver of Grounds of Inadmissibility

(CT:VISA-1194; 04-16-2009)

You must describe briefly the grounds for the visa refusal and cite the pertinent section of the INA (e.g., "convicted of theft of fur coat valued at \$300; INA 212(a)(2)(A)(i)".) If the refusal was based on classified information, you must indicate on Form I-601, Application for Waiver of Ground of Inadmissibility that the classified information will be furnished in a separate document. In cases of convictions involving moral turpitude, it is essential that certified copies of the items listed in 9 FAM 40.21(a) PN1.1 (1), (2) and (3), (with translations where necessary), are attached to Form I-601, Application for Waiver of Grounds of Inadmissibility. If the court records are not available, you must prepare a statement to that effect as an attachment to Form I-601, Application for Waiver of Grounds of Inadmissibility.

9 FAM 40.21(a) PN2.4 Forwarding Form I-601, Application for Waiver of Grounds of Inadmissibility, to the Department of Homeland Security (DHS)

(CT:VISA-11194; 04-16-2009)

When sending Form I-601, Application for Waiver of Ground Inadmissibility to DHS you must:

- (1) Forward the completed Form I-601, Application for Waiver of Grounds of Inadmissibility and the prescribed fee (see instructions on form), together with the results of the interview, the required attachments, the unclassified portion of the visa file, and the visa petition (if any), to the appropriate DHS personnel overseas;
- (2) Inform the applicant of the disposition of the waiver request, the date on which Form I-601, Application for Waiver of Grounds of Inadmissibility was mailed to the DHS office and that office's address; and
- (3) Instruct the applicant to address any further inquiries directly to the designated DHS officer. (For the location of DHS offices overseas and their respective areas of responsibility in connection with INA 212(h), see 9 FAM Appendix N, Exhibit I.)

9 FAM 40.21(a) PN2.5 DHS Notification Regarding Form I-601, Application for Waiver of Grounds of Inadmissibility

(CT:VISA-1194 04-16-2009)

When a waiver is granted, DHS will notify the consular office. Upon its receipt, the post should attach the notification to Form DS-230, Application for Immigrant Visa and Alien Registration.

9 FAM 40.21(a) PN2.6 Validity of Waivers

(CT:VISA-1194; 04-16-2009)

DHS regulations at 8 CFR 212.7(a)(4) provide that a waiver granted under INA 212(h) must apply only to those grounds of inadmissibility and to those crimes, events or incidents specified in the application for a waiver. Once granted, the waiver must be valid indefinitely, even if the recipient of the waiver later abandons or otherwise loses lawful permanent resident (LPR) status. However, a waiver granted to an alien who obtains LPR on a conditional basis under INA 216 must automatically terminate concurrently with the termination of such residence pursuant to the provisions of INA 216. A waiver granted under INA 212(g), INA 212(h) or INA 212(i) must apply only to those grounds of inadmissibility and to those crimes, events or incidents specified in the application for a waiver. A new or replacement visa may be issued to an alien who was previously granted such a waiver.

9 FAM 40.21(a) PN2.7 Waiver for Alien Fiancé(e)s of Armed Forces Personnel

(CT:VISA-1194; 04-16-2009)

When an alien fiancé(e) of a member of the Armed Forces has been found inadmissible and it appears that the benefits of INA 212(h) might be available once the marriage has taken place, *you* must explain the applicable section to the military officer from whom permission to marry is being sought. *You must* also inform the authorizing officer that DHS does not make advance determinations regarding the granting of a waiver.

9 FAM 40.21(a) PN2.8 Authority for Issuing Waivers is Discretionary

(CT:VISA-1194; 04-16-2009)

The authority exercised by DHS under INA 212(h) is discretionary. In cases where an eligible alien insists upon preceding an ineligible relative to the United States, you must ask the alien to sign a statement that he or she has been informed that an exercise of DHS's discretionary authority cannot be guaranteed. (See 9 FAM 42.68 N1.3.) You must not take the initiative in suggesting the separation of a family in order to place the ineligible alien in a position to apply for a waiver of the grounds of inadmissibility.