

NARCOTIC DRUGS

Aerial Interception Assistance

**Agreement Between the
UNITED STATES OF AMERICA
and DOMINICA**

Effected by Exchange of Notes at
Bridgetown and Roseau
October 7, 2011 and April 19, 2012



NOTE BY THE DEPARTMENT OF STATE

Pursuant to Public Law 89—497, approved July 8, 1966
(80 Stat. 271; 1 U.S.C. 113)—

“. . .the Treaties and Other International Acts Series issued under the authority of the Secretary of State shall be competent evidence . . . of the treaties, international agreements other than treaties, and proclamations by the President of such treaties and international agreements other than treaties, as the case may be, therein contained, in all the courts of law and equity and of maritime jurisdiction, and in all the tribunals and public offices of the United States, and of the several States, without any further proof or authentication thereof.”

DOMINICA

Narcotic Drugs: Aerial Interception Assistance

*Agreement effected by exchange of notes at
Bridgetown and Roseau October 7, 2011 and
April 19, 2012;
Entered into force April 19, 2012.*

No. 1274

The Embassy of the United States of America presents its compliments to the Ministry of Foreign Affairs of Dominica, and has the honor to raise with the Government of Dominica a memorandum of understanding concerning the Cooperative Sensor and Information Integration (CSII) program. Before beginning Dominica's participation in the CSII program, United States law requires that Dominica must also conclude an Aerial Intercept Assistance Agreement (AIAA).

Further to the Charge d' Affaires conversation with the Minister of National Security of Dominica during the week of October 3, the United States Embassy Bridgetown presents the Government of Dominica a draft of the Cooperative Sensor and Information Integration Program as well as the terms of mutual understanding for the AIAA. The Embassy of the United States of America provides the Government of Dominica with the two proposed attached agreements.

The Embassy of the United States of America avails itself of this opportunity to renew to the Ministry of Foreign Affairs of Dominica the assurances of its highest consideration.

Embassy of the United States of America,

Bridgetown, 7 October 2011.



DIPLOMATIC NOTE

NOTE: Only Aerial Intercept Assistance Agreement will be printed in this publication.

Aerial Intercept Assistance Agreement Model Reply to U.S. Government Diplomatic Note

The Government of Dominica presents its compliments to the Embassy of the United States of America, and has the honor to acknowledge the receipt of your Note Number 1274 of October 7, 2011, which reads as follows:

The Embassy of the United States of America presents its compliments to the Ministry of Foreign Affairs of Dominica, and has the honor to raise with the Government of Dominica a memorandum of understanding concerning the Cooperative Sensor and Information Integration (CSII) program. Before beginning Dominica's participation in the CSII program, United States law requires that Dominica must also conclude an Aerial Intercept Assistance Agreement (AIAA).

Further to the Charge d'Affaires conversation with the Prime Minister of Dominica during the week of October 3, the United States Embassy Bridgetown presents the Government of Dominica a draft of the Cooperative Sensor and Information Integration Program as well as the terms of mutual understanding for the AIAA.

The Embassy of the United States of America provides the Government of Dominica with the two proposed attached agreements.

Terms of Mutual Understanding for the Aerial Intercept Assistance Agreement:

The Government of the United States of America is willing to provide assistance in locating, identifying, tracking, and intercepting civil aircraft in Dominica airspace to facilitate the interruption of illicit drug trafficking routes and the arrest of illicit drug traffickers. In consideration of the ongoing, mutually beneficial relationship between our two Governments in the field of law enforcement efforts to combat illicit drug trafficking, the Embassy of the United States of America proposes on behalf of the Government of the United States of America as follows:

I. Definitions

The term "U.S. Government assistance" as used in this Note shall include, but not be limited to: funding; intelligence; information; radar data (including data derived from the Cooperating Nation Information Exchange System ("CNIES")) and

the Cooperative Sensor and Information Integration Program ("CSII")); logistical support; command, control, and communications support; equipment; maintenance; and training provided by the Government of the United States of America.

The term "CNIES or CSII data" means data displaying the position of air and surface tracks of interest ("TOIs") based on geographic filters. The CNIES/CSII data includes both automatically-displayed Relocatable Over the Horizon Radar track information that has not been reviewed to ascertain the legal or illegal nature of the flight and TOIs that have been located and identified by other air surveillance systems or tracking aircraft as suspected narco-trafficking aircraft.

Consistent with Article 2 of the Convention for the Suppression of Unlawful Acts Against the Safety of Civil Aviation, done at Montreal, September 23, 1971, to which both the Government of the United States of America and the Government of Dominica are parties, the terms "in flight" and "in service" are used in this Note as follows:

(1) An aircraft is considered to be "in flight" at any time from the moment when all its external doors are closed following embarkation until the moment when any such door is opened for disembarkation; in the case of a forced landing, the flight shall be deemed to continue until the competent authorities take over responsibility for the aircraft and for persons and property on board.

(2) An aircraft is considered to be "in service" from the beginning of preflight preparation of the aircraft by ground personnel or by the crew for a specific flight until twenty-four hours after any landing; the period of service shall, in any event, extend for the entire period during which the aircraft is in flight as defined in paragraph (1) above.

The term "interception" means the act by a (host government) aircraft of approaching and remaining near an aircraft, with the goal of identifying that aircraft, and, if necessary, directing it back to its planned route, directing it beyond the borders of Dominica airspace, escorting it out of restricted, prohibited, or dangerous airspace, or instructing it to land.

II. Interception of Aircraft

The Government of Dominica shall, when intercepting civil aircraft in flight, consistently and strictly adhere to the safety procedures in Annex 2 to the

- Convention on International Civil Aviation, done at Chicago on December 7, 1944 (the "Chicago Convention") and amendments thereto. Further, the Government of Dominica shall ensure that all Government of Dominica personnel (whether in the air, in a command and control center, or elsewhere) involved in the interception of civil aircraft are familiar with such procedures. The Government of Dominica, when intercepting civil aircraft in flight, shall adhere to any relevant International Civil Aviation Organization ("ICAO") provisions on interception, including those contained in the Manual Concerning Interception of Civil Aircraft.

The Government of Dominica shall promulgate, throughout its civil aviation community via Notices to Airmen ("NOTAMs"), notice of the Government of (name country)'s policies on interception. These NOTAMs will inform pilots that the Government of Dominica will not damage, destroy, or disable any civil aircraft other than in self-defense, and they shall include adequate notice of the procedures that the Air Force of the Government of Dominica will use to order intercepted aircraft to land so that aviators are aware of the procedures and can conduct themselves accordingly. To the extent that a civil aircraft being intercepted by the Government of Dominica is intercepted because the Government of Dominica believes that the aircraft is primarily engaged in illicit drug trafficking, the Government of Dominica shall use the sorting criteria set forth below to determine the nature of the suspect aircraft.

The following factors should be considered in determining whether an aircraft is reasonably suspected of being primarily engaged in illicit drug trafficking:

- Did the aircraft fail to file a required flight plan?*
- Is it inexplicably flying outside the route designated in its approved flight plan?*
- Is it not using the appropriate transponder code?*
- Is it flying at an inexplicably low altitude?*
- Is it flying at night with its lights out?*
- Does the aircraft have false (or no) tail numbers?*
- Are the windows blacked out?*
- Does the physical description of the aircraft match the description of an aircraft previously used in illicit drug trafficking?*
- Is there intelligence information indicating that the aircraft is primarily engaged in illicit drug trafficking?*
- Is the aircraft flying without permission in an Air Defense Identification Zone (if any)?*
- Is the aircraft parked at night at a non-monitored air field without permission?*
- Have all attempts to identify the aircraft failed?*

- *Has the aircraft inexplicably failed to respond to all attempts to communicate?*
- *Has the aircraft ignored the Government of Dominica orders?*
- *Have any objects been jettisoned from the aircraft?*
- *Is there any other information suggesting that the aircraft is reasonably suspected to be primarily engaged in illicit drug trafficking?*
- *Is there any information suggesting that the aircraft is not reasonably suspected to be primarily engaged in illicit drug trafficking?*

III. Non-Use of Weapons against Civil Aircraft

The Government of Dominica shall:

- (a) not damage, destroy, or disable any civil aircraft in service, and*
- (b) not threaten to damage, destroy, or disable any civil aircraft in service.*
- (i) This does not preclude the firing of warning shots as a signaling measure, using ammunition containing tracer rounds, in order to be sure that the pilot is aware that he or she has been intercepted.*
- (ii) Warning shots may be fired only from a position slightly ahead of abeam and parallel to the course of the intercepted aircraft to ensure that the intercepted aircraft is not in the line of fire. The aircraft firing the warning shots shall take all reasonable cautionary measures to avoid shooting the intercepted aircraft, any other aircraft in the vicinity, or persons or property on the ground. None of the commitments undertaken by the Government of Dominica in agreeing to these conditions are intended to preclude or limit the Government of Dominica ability to use weapons in the context of an act of self-defense.*

IV. Sharing of Information

The Government of Dominica shall not permit third parties access, without the specific written consent of the Embassy of the United States of America, to any information, data, or analysis that could be used for aerial interceptions that has been developed using U.S. Government assistance.

V. Non-Compliance with Conditions

In the event that the Government of Dominica fails to comply with any of the conditions contained herein, the Government of the United States of America will, in its discretion, suspend or terminate any and all U.S. Government assistance to the Government of Dominica related to the interception of civil aircraft.

If the foregoing is acceptable to the Government of Dominica, the Embassy of the United States of America has the honor to propose that this Note and your Note in reply shall constitute an agreement between our two Governments, which shall enter into force on the date of your reply."

The Embassy of the United States of America avails itself of this opportunity to renew to the Ministry of Foreign Affairs of Dominica the assurances of its highest consideration.

The Ministry of Foreign Affairs of the Dominica confirms that the foregoing is acceptable to the Government of Dominica and agrees that the Embassy's Note and this reply shall constitute an agreement between our two Governments that shall enter into force on the date of this reply.

Complimentary closing



COMMONWEALTH OF DOMINICA

MINISTRY OF FOREIGN AFFAIRS

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Commonwealth of Dominica

Ref.No: 214/02/1 – 1228

The Ministry of Foreign Affairs of The Commonwealth of Dominica presents its compliments to the Embassy of the United States of America and has the honour to acknowledge receipt of the latter's Note No. 1274 dated October 7, 2011, which reads as follows:

The Embassy of the United States of America presents its compliments to the Ministry of Foreign Affairs of The Commonwealth of Dominica, and has the honor to raise with the Government of The Commonwealth of Dominica a memorandum of understanding concerning the Cooperative Sensor and Information Integration (CSII) program. Before beginning The Commonwealth of Dominica's participation in the CSII program, United States law requires that The Commonwealth of Dominica must also conclude an Aerial Intercept Assistance Agreement (AIAA).

Further to the Charge d'Affaires conversation with Prime Minister Roosevelt Skerit of The Commonwealth of Dominica on March 2, the United States Embassy Bridgetown presents the Government of The Commonwealth of Dominica a draft of the Cooperative Sensor and Information Integration Program as well as the terms of mutual understanding for the AIAA.

The Embassy of the United States of America provides the Government of The Commonwealth of Dominica with the two proposed attached agreements.

Terms of Mutual Understanding for the Aerial Intercept Assistance Agreement:

The Government of the United States of America is willing to provide assistance in locating, identifying, tracking, and intercepting civil aircraft in The Commonwealth of Dominica airspace to facilitate the interruption of illicit drug trafficking routes and the arrest of illicit drug traffickers. In consideration of the ongoing, mutually beneficial relationship between our two Governments in the field of law enforcement efforts to combat illicit drug trafficking, the Embassy of the United States of America proposes on behalf of the Government of the United States of America as follows:

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(1) An aircraft is considered to be "in flight" at any time from the moment when all its external doors are closed following embarkation until the moment when any such door is opened for disembarkation; in the case of a forced landing, the flight shall be deemed to continue until the competent authorities take over responsibility for the aircraft and for persons and property on board.

(2) An aircraft is considered to be "in service" from the beginning of preflight preparation of the aircraft by ground personnel or by the crew for a specific flight until twenty-four hours after any landing; the period of service shall, in any event, extend for the entire period during which the aircraft is in flight as defined in paragraph (1) above.

The term "interception" means the act by a (host government) aircraft of approaching and remaining near an aircraft, with the goal of identifying that aircraft, and, if necessary, directing it back to its planned route, directing it beyond the borders of The Commonwealth of Dominica airspace, escorting it out of restricted, prohibited, or dangerous airspace, or instructing it to land.

II. Interception of Aircraft

The Government of The Commonwealth of Dominica shall, when intercepting civil aircraft in flight, consistently and strictly adhere to the safety procedures in Annex 2 to the Convention on International Civil Aviation, done at Chicago on December 7, 1944 (the "Chicago Convention") and amendments thereto. Further, the Government of The Commonwealth of Dominica shall ensure that all Government of The Commonwealth of Dominica personnel (whether in the air, in a command and control center, or elsewhere) involved in the interception of civil aircraft are familiar with such procedures. The Government of The Commonwealth of Dominica, when intercepting civil aircraft in flight, shall adhere to any relevant International Civil Aviation Organization ("ICAO") provisions on interception, including those contained in the Manual Concerning Interception of Civil Aircraft.

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- Are the windows blacked out?*
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- Is the aircraft flying without permission in an Air Defense Identification Zone (if any)?*
- Is the aircraft parked at night at a non-monitored air field without permission?*

- Have all attempts to identify the aircraft failed?
- Has the aircraft inexplicably failed to respond to all attempts to communicate?
- Has the aircraft ignored the Government of The Commonwealth of Dominica orders?
- Have any objects been jettisoned from the aircraft?
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(ii) Warning shots may be fired only from a position slightly ahead of abeam and parallel to the course of the intercepted aircraft to ensure that the intercepted aircraft is not in the line of fire. The aircraft firing the warning shots shall take all reasonable cautionary measures to avoid shooting the intercepted aircraft, any other aircraft in the vicinity, or persons or property on the ground. None of the commitments undertaken by the Government of The Commonwealth of Dominica in agreeing to these conditions are intended to preclude or limit the Government of The Commonwealth of Dominica ability to use weapons in the context of an act of self-defense.

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The Government of The Commonwealth of Dominica shall not permit third parties access, without the specific written consent of the Embassy of the United States of America, to any information, data, or analysis that could be used for aerial interceptions that has been developed using U.S. Government assistance.

V. Non-Compliance with Conditions

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If the foregoing is acceptable to the Government of The Commonwealth of Dominica, the Embassy of the United States of America has the honor to propose that this Note and your Note in reply shall

constitute an agreement between our two Governments, which shall enter into force on the date of your reply."

The Embassy of the United States of America avails itself of this opportunity to renew to the Ministry of Foreign Affairs of The Commonwealth of Dominica the assurances of its highest consideration.

The Ministry of Foreign Affairs of the Commonwealth of Dominica confirms that the foregoing is acceptable to the Government of The Commonwealth of Dominica and agrees that the Embassy's Note and this reply shall constitute an agreement between our two (2) Governments that shall enter into force on the date of this reply.

The Ministry of Foreign Affairs of the Commonwealth of Dominica avails itself of this opportunity to renew to the Embassy of the United States of America the assurances of its highest consideration.

Embassy of the United States of America
Wilkey Business Park
Wilkey
BARBADOS

April 19, 2012

