

# CONGRESSIONAL BUDGET JUSTIFICATION



**Appendix 3:**  
**Status of Open Government Accountability Office (GAO) and Office of the**  
**Inspector General (OIG) Recommendations:**  
**Department of State and US Agency for International Development**  
**Fiscal Year 2021**

## Overview

The Department of State and USAID continue responding to oversight recommendations from the Government Accountability Office (GAO) and the Office of Inspector General (OIG). Pursuant to Public Law 115-414, *Good Accounting Obligation in Government Act*, this package is supplemental to the Department and USAID's FY 2021 Congressional Budget Justifications (CBJ), and provides details surrounding the status of all identified open recommendations, to include anticipated timelines for implementation, or otherwise corrective action(s). The appendix is presented in four categories, each sorted by the public release date of the report, from most recent to oldest:

1. **GAO** open recommendations: **U.S Department of State;**
2. **OIG** open recommendations: **U.S. Department of State;**
3. **GAO** open recommendations: **USAID;**
4. **OIG** open recommendations: **USAID.**

DEPARTMENT OF STATE – GAO OPEN  
RECOMMENDATIONS  
FY 2021  
(February 2020)

#	GAO Report Title	GAO Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	Tobacco Exports: Agencies Have Implemented Restrictions on Promoting Tobacco Overseas but Additional Actions Could Strengthen Their Efforts	GAO-19-124	Dec 6, 2018	The Secretary of State should work with the Foreign Service Institute to include information about the funding restrictions and relevant guidance on promoting the sale or export of tobacco or tobacco products in its training materials for employees conducting export promotion activities overseas.	EB with FSI	<b>Implemented and under GAO review for closure</b>
2	Tobacco Exports: Agencies Have Implemented Restrictions on Promoting Tobacco Overseas but Additional Actions Could Strengthen Their Efforts	GAO-19-124	Dec 6, 2018	The Secretary of State, in consultation with the Secretary of Commerce and the Secretary of Agriculture, should assess the interagency guidance cable on promoting tobacco in light of questions raised by officials at posts overseas and update it to address ambiguities, as needed.	EB	<b>Implemented and under GAO review for closure</b>
3	State Department: Better Oversight and Controls Could Improve Timely Delivery of Legal Documents for Terrorism Victims	GAO-19-139	Dec 12, 2018	The Secretary of State should ensure that the Assistant Secretary of State for Consular Affairs requires Consular Affairs' Directorate of Overseas Citizens Services/Office of Legal Affairs (OCS/L) to update guidance to specify the data to be recorded in the service request case tracker. The required data should include key dates for all four stages of the process for completing service, such as the date the court sent the request to OCS/L.	CA	<b>Implemented and under GAO review for closure</b>
4	State Department: Better Oversight and Controls Could Improve Timely Delivery of Legal Documents for Terrorism Victims	GAO-19-139	Dec 12, 2018	The Secretary of State should ensure that the Assistant Secretary of State for Consular Affairs requires OCS/L to update its record-keeping guidance for service requests to include a	CA	<b>Implemented and under GAO review for closure</b>

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				standard list of documents to maintain in service request case files.		
5	State Department: Better Oversight and Controls Could Improve Timely Delivery of Legal Documents for Terrorism Victims	GAO-19-139	Dec 12, 2018	The Secretary of State should ensure that the Assistant Secretary of State for Consular Affairs requires OCS/L to monitor the status of service requests.	CA	<b>Implemented and under GAO review for closure</b>
6	State Department: Better Oversight and Controls Could Improve Timely Delivery of Legal Documents for Terrorism Victims	GAO-19-139	Dec 12, 2018	The Secretary of State should ensure that the Assistant Secretary of State for Consular Affairs requires OCS/L to periodically analyze its data on service requests to identify the causes of any delays in State's completion of service and take corrective actions as appropriate.	CA	<b>Implemented and under GAO review for closure</b>
7	State Department: Better Oversight and Controls Could Improve Timely Delivery of Legal Documents for Terrorism Victims	GAO-19-139	Dec 12, 2018	The Secretary of State should ensure that the Assistant Secretary of State for Consular Affairs establishes performance standards for completing service, including timeframes for completing the various processes at State and at U.S. embassies.	CA	GAO is reviewing to determine what else is needed to close this recommendation.
8	Colombia: U.S. Counternarcotics Assistance Achieved Some Positive Results but State Needs to Review the Overall U.S. Approach	GAO-19-106	Dec 12, 2018	The Secretary of State should, in consultation with other U.S. agencies involved in counternarcotics efforts in Colombia, conduct an evaluation of the long-term effectiveness of eradication and interdiction in reducing the cocaine supply.	INL	The Bureau of International Narcotics and Law Enforcement Affairs (INL) is in the process of designing an evaluation of counternarcotics efforts in Colombia, in coordination with interagency counterparts. The IAA is currently pending counter signature with DOD. Once the IAA has been signed, a notice to proceed (NTP) will be issued.
9	Colombia: U.S. Counternarcotics Assistance Achieved	GAO-19-106	Dec 12, 2018	The Secretary of State should, in consultation with other U.S. agencies involved in	INL	INL is in the process of designing an evaluation of counternarcotics efforts in Colombia, in coordination with interagency

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	Some Positive Results but State Needs to Review the Overall U.S. Approach			counternarcotics efforts in Colombia, undertake a comprehensive review of the U.S. counternarcotics approach in Colombia and identify what changes, if any, should be made to the types and combination of U.S. activities, taking into consideration how the relative benefits and limitations between eradication, interdiction, and alternative development may impact the effectiveness of these efforts.		counterparts. The IAA is currently pending counter signature with DOD. Once DOD has signed the IAA, a notice to proceed (NTP) will be issued.
10	Human Trafficking: State and USAID Should Improve Their Monitoring of International Counter-trafficking Projects	GAO-19-77	Dec 4, 2018	The Secretary of State should ensure that the Director of the TIP Office establishes additional controls to improve the consistency and completeness of performance information that the TIP Office uses to monitor counter-trafficking in persons projects.	J/TIP	<p>The Trafficking in Persons (TIP) Office participated in pre-deployment testing for a new data system, SAMS Domestic (SAMS-D). SAMS-D has been in use for all grants and cooperative agreements since February 2018. However, it will take several years to complete deployment of the performance monitoring module within SAMS-D.</p> <p>To ensure more immediate improvement in the consistency and completeness of performance information, the TIP Office strengthened its standard grant monitoring controls by having Grants Officers utilize SAMS-D reports to check portfolios every quarter to monitor accuracy, completeness and timeliness of reporting. Within 30 days of receiving a grantee's quarterly report, program staff are required to review the report using the Office's GOR Progress Report Review Form or to document in the record why the review is incomplete. Program staff meet with their supervisor and Grants Officer quarterly for a status review of their portfolios.</p>

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						GAO is reviewing to determine what else is needed to close this recommendation.
11	Human Trafficking: State and USAID Should Improve Their Monitoring of International Counter-trafficking Projects	GAO-19-77	Dec 4, 2018	The Secretary of State should ensure that the Director of the TIP Office establishes a process to review and update performance indicators, with the participation of implementing partners, to ensure that project monitoring remains efficient and effective.	J/TIP	<b>Implemented and under GAO review for closure</b>
12	Embassy Construction: Pace Is Slower Than Projected, and State Could Make Program Improvements	GAO-18-653	Sep 25, 2018	<b>(Priority)</b> The Secretary of State should determine the estimated effects of cost inflation on planned CSCP embassy construction capacity and time frames and update this information for stakeholders on a regular basis, such as through the annual budgeting process.	OBO	The Bureau of Overseas Buildings Operations (OBO) has collected data on the effects of inflation on construction projects over the past few years and will provide this information to stakeholders through the FY 2021 budget process, with updates annually. The Department will provide GAO with documentation once the FY 2021 budget process is complete.
13	Embassy Construction: Pace Is Slower Than Projected, and State Could Make Program Improvements	GAO-18-653	Sep 25, 2018	<b>(Priority)</b> The Secretary of State should provide an analysis for stakeholders identifying those embassies that still need to be replaced to meet State's security standards and estimating total CSCP costs and projected time frames needed to complete those projects.	OBO	OBO has calculated estimated costs and projected time frames to replace its remaining 160 Post facilities at a \$2.2 billion per year funding level and planned to include this information in its FY 2021 budget submission. The Department has provided GAO a copy of the September 2019 briefing to government agencies paying into the CSCP as well as a copy of the Interagency Facilities Council charter and plans to brief congressional committees in early 2020.
14	Embassy Construction: Pace Is Slower Than Projected, and State Could Make Program Improvements	GAO-18-653	Sep 25, 2018	<b>(Priority)</b> The Secretary of State should ensure that the Director of OBO conducts an OBO-wide workforce analysis to assess staffing levels and workload	OBO	The Institute for Defense Analysis began the OBO workforce analysis in August 2019 and is on track for providing its initial findings and recommendations by the end of March 2020.

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				capacity needed to carry out the full range of OBO's mission goals, to include the CSCP. Such an assessment could provide a basis for broader stakeholder discussion of OBO's human capital needs and potential prioritization of activities.		
15	Overseas Conflicts: U.S. Agencies Have Coordinated Stabilization Efforts but Need to Document Their Agreement	GAO-18-654	Sep 27, 2018	The Secretary of State, in collaboration with the Administrator of the U.S. Agency for International Development and the Secretary of Defense, should document their agreement on coordination for U.S. stabilization efforts through formal written guidance and agreements that address key collaboration practices such as defining outcomes and accountability and clarifying roles and responsibilities for U.S. stabilization efforts.	CSO	<b>Implemented and under GAO review for closure</b>
16	Central American Police Training: State and USAID Should Ensure Human Rights Content Is Included as Appropriate, and State Should Improve Data	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law Enforcement Affairs (INL) designs internal control mechanisms to ensure human rights content is included in INL-funded police training for El Salvador, Guatemala, and Honduras as appropriate.	INL	In new agreements established either by INL or with interagency partners using INL funds since the recommendations were received, requirements for human rights components have been expressly included. Resources permitting, INL anticipates signing at least two regional Inter-Agency Agreements (IAA) in CY 2020 and will clearly define this requirement with those respective agencies. With the stoppage to Northern Triangle assistance, much of INL's training for police has been slowed to accommodate shifting guidance and realigning of plans for the region.
17	Central American Police Training: State and USAID Should Ensure	GAO-18-618	Sep 5, 2018	The Secretary of State should ensure that the Bureau of International Narcotics and Law	INL	In the case of the IAA with DOJ OPDAT in Honduras, there is no element of training for police in the agreement, as the training



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	Human Rights Content Is Included as Appropriate, and State Should Improve Data			Enforcement Affairs (INL) develops and implements a process to collect more reliable data on the number of police trained through INL-funded efforts in El Salvador, Guatemala, and Honduras.		is targeted towards tax prosecutors. Better tracking of data for police training is, however, on course for Honduras through the deployment of the Global Training and Tracking System (GTTS). INL Honduras is piloting the system and has started to implement it for both police and civilian trainings conducted by INL, funded by INL, or with a component of Leahy or civilian vetting. Through the INL contract with Dexis we are currently developing measures across programs to track the impact of our work. Phase II of the contract will begin in FY 2020 and includes the development of a database for accurate storage of all measures, including numbers of police trained. In Guatemala, Honduras, and El Salvador, our logistics grantee keeps lists of individuals that attend training with their support. Between these sources, and possibly GTTS in the future, INL will have a more accurate representation of the totality of our police training programs.
18	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with Repatriating Items from Overseas Auctions	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American cultural property to implement selected leading collaboration practices, such as taking steps to agree on outcomes and objectives, clarify roles and responsibilities, and document these decisions.	ECA	The Department provided a statement of its roles and responsibilities with other working group members. GAO is seeking additional documentation on outcomes and objectives for the group's work. This is expected to be completed in 2020.
19	Native American Cultural Property: Additional Agency Actions Needed to Assist Tribes with	GAO-18-537	Aug 6, 2018	The Secretary of State should direct State's members of the interagency working group for protection of Native American	ECA	The interagency working group developed a draft legal assessment and draft legislative options. The interagency working group also conducted a listening session with

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	Repatriating Items from Overseas Auctions			cultural property to collaborate with the interagency working group members from other agencies to assess, in consultation with Indian tribes, whether and how amending the U.S. legal framework governing the export, theft, and trafficking of Native American cultural items would facilitate the repatriation of these items from auctions overseas and report its findings to Congress.		tribal members and plans to conduct a tribal consultation in early 2020.
20	Federal Chief Information Officers: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	GAO-18-93	Aug 2, 2018	The Secretary of State should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the six areas we identified.	IRM	The Bureau of Information Resource Management (IRM) has drafted a Plan of Action to include timeline and milestones to identify and update all policies impacted by this recommendation.
21	Reverse Auctions: Additional Guidance Could Help Increase Benefits and Reduce Fees	GAO-18-446	Jul 18, 2018	The Secretary of State should review the agency's current guidance to assess whether it leads contracting officials to use reverse auctions in situations where there is not a highly competitive marketplace, and revise its guidance as appropriate.	A	GAO has requested additional evidence to close this recommendation.
22	Reverse Auctions: Additional Guidance Could Help Increase Benefits and Reduce Fees	GAO-18-446	Jul 18, 2018	The Secretary of State should: assess why reverse auctions that are conducted using existing contract vehicles have only one bidder at higher rates than reverse auctions conducted on the open market; determine what factors indicate that conducting reverse auctions is appropriate when using existing contract vehicles; and provide this information to	A	GAO has requested additional evidence to close this recommendation.

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				contracting officials so that they can consider it when developing their acquisition strategies.		
23	Reverse Auctions: Additional Guidance Could Help Increase Benefits and Reduce Fees	GAO-18-446	Jul 18, 2018	The Secretary of State should review the agency's current guidance to assess whether it adequately addresses contracting officer responsibilities to consider the cost of any fees associated with reverse auction options they may be considering when developing their acquisition strategies, and revise its guidance as appropriate.	A	<b>Implemented and under GAO review for closure</b>
24	Reverse Auctions: Additional Guidance Could Help Increase Benefits and Reduce Fees	GAO-18-446	Jul 18, 2018	The Secretary of State should: document and provide information to contracting officials that describes available reverse auction providers and platforms, and any associated fee structures; and provide guidance, as appropriate, to contracting officials to ensure that they compare the options that are available to them when considering whether to use reverse auctions.	A	<b>Implemented and under GAO review for closure</b>
25	Foreign Assistance: Better Guidance for Strategy Development Could Help Agencies Align Their Efforts	GAO-18-499	Jul 12, 2018	The Secretary of State should ensure that the Director of the Office of U.S. Foreign Assistance Resources leads an effort to establish, in collaboration with the five other agencies that implement most of U.S. foreign assistance, guidance for strategy development that addresses the key elements we identified related to interagency coordination, strategic integration, and assessment of progress toward strategic goals.	F	<b>Implemented and under GAO review for closure</b>

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26	Freedom of Information Act: Agencies Are Implementing Requirements but Additional Actions Are Needed	GAO-18-365	Jun 25, 2018	The Secretary of State should update and publish comprehensive FOIA regulations that describe dispute resolution services, and notifies requesters of the 90 days for appeals.	A	<b>Implemented and under GAO review for closure</b>
27	Freedom of Information Act: Agencies Are Implementing Requirements but Additional Actions Are Needed	GAO-18-365	Jun 25, 2018	The Secretary of State should take steps to develop and document a plan that fully addresses best practices with regards to reduction of backlogged FOIA requests.	A	GAO has requested additional evidence to close this recommendation.
28	Information Technology: Agencies Need to Involve Chief Information Officers in Reviewing Billions of Dollars in Acquisitions	GAO-18-42	Jan 10, 2018	The Secretary of State should ensure that the office of the CAO is involved in the process to identify IT acquisitions.	A	<b>Implemented and under GAO review for closure</b>
29	Information Technology: Agencies Need to Involve Chief Information Officers in Reviewing Billions of Dollars in Acquisitions	GAO-18-42	Jan 10, 2018	The Secretary of State should ensure that IT acquisition plans or strategies are reviewed and approved according to OMB's guidance.	IRM	GAO has requested additional evidence that demonstrates the Chief Information Officer (or designee) is reviewing and approving IT acquisition plans or strategies, to close this recommendation.
30	Democracy Assistance: State Should Improve Accountability Over Funding; USAID Should Assess Whether New Processes Have Improved Award Documentation	GAO-18-136	Dec 14, 2017	<b>(Priority)</b> The Secretary of State should direct the Bureau of International Narcotics and Law Enforcement Affairs to identify and address factors that affect the reliability of its democracy assistance data, such as miscoded or missing data.	CGFS/ A	The analysis underway between the Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of Administration (A) is expected to complete in 2020 with an associated action plan that will be put in place to enhance the overseas acquisitions processes and assure the integrity of the data reported to FPDS-NG/USASpending.gov. Our plan will address the issues encountered by INL and other bureaus with a sustainable solution supported by both internal and system controls understanding the importance of timely, accurate, complete, and quality data

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						being reported to FPDS-NG and USASpending.gov.
31	Democracy Assistance: State Should Improve Accountability Over Funding; USAID Should Assess Whether New Processes Have Improved Award Documentation	GAO-18-136	Dec 14, 2017	The Secretary of State should direct the Director of the Office of U.S. Foreign Assistance Resources to implement a process to improve the reliability, accessibility, and standardization of democracy assistance data across the geographic regions of the Bureaus of European and Eurasian Affairs and South and Central Asian Affairs, such as utilizing a centralized database for award data.	F	<b>Implemented and under GAO review for closure</b>
32	Federal Contracting: Additional Management Attention and Action Needed to Close Contracts and Reduce Audit Backlog	GAO-17-738	Sep 28, 2017	To enhance management attention to closing out contracts, the Secretary of State should develop a means at the agency level to track data on the entirety of the number and type of contracts eligible for closeout, where the contracts are in the closeout process, and establish goals and performance measures for closing contracts.	A	The Department continues to utilize its contracting system to manually identify and close inactive contracts. Plans for the Department to expand the system in the future include tracking of contract administration and closeout milestones and estimates to implement the tracking functionality remain a priority, though implementation has been delayed into calendar year 2020. The Department is conducting a large scanning, archiving, and massive contract close-out effort of hard-copy files for contracts expired in 2014 or prior in preparation for a building relocation at the end of calendar year 2019. The Department's weekly activity report chronicles the close out files inventoried and closed manually weekly. That said, completed enhancements to the Department's contract writing system allow data on new contracts to be tracked for eligibility and confirmation of closeout

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						going forward which the Department aims to automate in 2020.
33	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	The Director of the Office of Allowances (ALS) should clearly document how the conditions at relevant posts meet the criteria for Director Points to ensure that hardship pay rates for overseas posts are consistently determined across posts and tenures of ALS Directors.	A	As of March 2020, the Director of the Office of Allowances (ALS) has not granted any Director Points since the subject GAO review was completed. Should points be necessary in the future, ALS will document why the conditions at relevant posts require the use of Director Points connected with hardship pay rates.
34	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	<b>(Priority)</b> The Undersecretary of Management should assess the cost-effectiveness of State's policies and procedures for stopping and starting hardship pay for employees who temporarily leave their assigned overseas posts.	CGFS	<p>The Department is taking a two-pronged approach to reviewing this issue.</p> <p>First, the Department is conducting a comprehensive review of all costs associated with the processing of hardship and other associated allowance and differential cables through reviews of post-level and central expenditures. Costs are expected to be complete by FY 2021.</p> <p>Second, the Department is costing out alternative methods of addressing allowance and differential costs that reduce the manual effort on the Department but address the need to support costs incurred by Foreign Service Officers overseas. Meetings with Foreign Service Officer staff to understand variances in the current model were held in the summer of 2019. Highlights from those sessions are being incorporated into the proposal to coincide with the costing from the first phase. Proposal is expected to be complete by the end of CY 2020.</p>

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35	Overseas Allowances: State Should Assess the Cost-Effectiveness of Its Hardship Pay Policies	GAO-17-715	Sep 13, 2017	<b>(Priority)</b> The Department's Comptroller should analyze available diplomatic cable data from overseas posts to identify posts at risk of improper payments for hardship pay, identify any improper payments, and take steps to recover and prevent them.	CGFS	<p>The Department continues to identify, document, and seek repayment of the overpayment of allowances and differences as they occur as part of the notification of arrivals and departures. We continue to communicate the importance of timely actions to the regional bureaus and posts to ensure underpayments and overpayments do not occur.</p> <p>As arrival and departure data is critical to identifying and recovering improper payments, CGFS supports the roll out of the Bureau of Human Resources (HR) Overseas Personnel System (OPS). OPS centralizes the collection of this data and allows for the possibility of faster digestion of the arrival and departure information in CGFS for calculation or formulation of improper payment notification and risk analysis.</p> <p>To fully implement the recommendation, HR must complete worldwide deployment of OPS, expected in Fall 2020, and both HR and CGFS would need to complete integration work to enable arrival and departure data to flow in an automated fashion between the two systems. CGFS would then need to provide documentation that the system allows it to more easily identify and prevent improper payments. Preliminary development of the integration will begin in the summer of 2020 while the OPS roll-out continues.</p>
36	Refugees:	GAO-17-706	Jul 31, 2017	To provide reasonable assurance that USRAP applicant fraud	PRM	In February 2019, State and DHS provided GAO the interim progress report on their

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	Actions Needed by State Department and DHS to Further Strengthen Applicant Screening Process and Assess Fraud Risks			prevention and detection controls are adequate and effectively implemented, the Secretaries of Homeland Security and State should conduct regular joint assessments of applicant fraud risk across USRAP.		efforts to conduct an assessment of applicant fraud risk across USRAP. The final report is expected to be completed by March 31, 2020.
37	Embassy Construction: State Needs to Better Measure Performance of Its New Approach	GAO-17-296	Mar 16, 2017	To better assess OBO's performance, the Secretary of State should finalize the mechanisms OBO will use to centrally manage project management data (to include project cost and schedule information), currently termed the Ideal Operational State, and document through appropriate policies, procedures, or guidance.	OBO	OBO identified standard milestones for the project life cycle and created the Integrated Master Schedule Standard Operating Procedures. All new capital projects have started using the integrated master schedule template. Additionally, OBO is on track to launch a bureau-wide effort to develop a holistic Data Management Strategy by March 2020, including project management data among additional categories of data. OBO is conducting interviews to understand data needs, identify authoritative data sources, data owners, and challenges across the organization and conducting research regarding industry best practices for data management and data analysis. OBO will use the information from the interviews and industry best practices to formulate a path forward.
38	Data Center Optimization: Agencies Need to Address Challenges and Improve Progress to Achieve Cost Savings Goal	GAO-17-448	Aug 15, 2017	The Secretaries of Agriculture, Commerce, Defense, Homeland Security, Energy, HHS, Interior, Labor, State, Transportation, Treasury, and VA; the Attorney General of the United States; the Administrators of EPA, GSA, and SBA; the Director of OPM; and the Chairman of NRC should take action to, within existing OMB reporting mechanisms, complete	IRM	There was a change in OMB requirements and GAO now expects agencies to demonstrate how they will implement/have implemented automated tools at their tiered data centers that are currently marked as "not closing". GAO is reviewing to determine what else is needed to close this recommendation.



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				plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of fiscal year 2018.		
39	Cuba: U.S. Policy Changes Increased Engagement with Private Sector, but Agency Information Collection Is Limited	GAO-17-201	Dec 15, 2016	To ensure that all relevant U.S. agencies have information on the effect of changes in U.S. policy related to Cuba, the Secretary of State, in consultation with the Department of Commerce, Department of the Treasury, U.S. Department of Agriculture, and other relevant agencies, should take steps to identify and begin to collect the information that would allow them to monitor changes in economic engagement, including with the Cuban private sector.	WHA	<b>Implemented and under GAO review for closure</b>
40	Human Trafficking: State Has Made Improvements in Its Annual Report but Does Not Explicitly Explain Certain Tier Rankings or Changes	GAO-17-56	Dec 5, 2016	To improve the transparency and clarity of the Trafficking in Persons Report and improve its usefulness as a diplomatic tool to encourage countries to address trafficking, the Secretary of State should improve explanations in narratives for Tier 1 rankings, including using consistent language, as feasible, in the Trafficking in Persons Report.	J/TIP	GAO's content analysis of the 2019 TIP report was completed. GAO will follow-up with the TIP Office in early 2020 to discuss what else is needed to close this recommendation.
41	Diplomatic Security: State Should Enhance Its Management of Transportation-Related Risks to Overseas U.S. Personnel	GAO-17-124	Oct 4, 2016	<b>(Priority)</b> To enhance State's efforts to manage transportation-related security risks overseas, the Secretary of State should direct DS to clarify whether or not the FAH's armored vehicle policy for overseas posts is that every post	DS	In process of being published and expected to close in early 2020.

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				must have sufficient armored vehicles, and if DS determines that the policy does not apply to all posts, articulate the conditions under which it does not apply.		
42	Diplomatic Security: State Should Enhance Its Management of Transportation-Related Risks to Overseas U.S. Personnel	GAO-17-124	Oct 4, 2016	<b>(Priority)</b> To enhance State's efforts to manage transportation-related security risks overseas, the Secretary of State should direct DS to develop monitoring procedures to ensure that all posts comply with the FAH's armored vehicle policy for overseas posts once the policy is clarified.	DS	In process of being published and expected to close in early 2020.
43	Diplomatic Security: State Should Enhance Its Management of Transportation-Related Risks to Overseas U.S. Personnel	GAO-17-124	Oct 4, 2016	<b>(Priority)</b> To enhance State's efforts to manage transportation-related security risks overseas, the Secretary of State should direct DS to improve guidance for RSOs, in coordination with other relevant State offices and non-State agencies as appropriate, on how to promote timely communication of threat information to post personnel and timely receipt of such information by post personnel.	IRM	<b>Implemented and under GAO review for closure</b>
44	Information Technology: Agencies Need to Improve Their Application Inventories to Achieve Additional Savings	GAO-16-511	Sep 29, 2016	To improve federal agencies' efforts to rationalize their portfolio of applications, the heads of the Departments of Agriculture, Commerce, Education, Energy, Health and Human Services, Housing and Urban Development, the Interior, Labor, State, Transportation, the Treasury, and Veterans Affairs; and heads of the Environmental Protection Agency;	IRM	<b>Implemented and under GAO review for closure</b>

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				National Aeronautics and Space Administration; National Science Foundation; Nuclear Regulatory Commission; Office of Personnel Management; Small Business Administration; Social Security Administration; and U.S. Agency for International Development should direct their Chief Information Officers (CIOs) and other responsible officials to improve their inventories by taking steps to fully address the practices we identified as being partially met or not met.		
45	Combating Wildlife Trafficking: Agencies Are Taking a Range of Actions, but the Task Force Lacks Performance Targets for Assessing Progress	GAO-16-717	Sep 22, 2016	To provide a basis for comparing actual results with intended results that can generate more meaningful performance information, the Secretaries of the Interior and State and the Attorney General of the United States should jointly work with the Task Force to develop performance targets related to the National Strategy for Combating Wildlife Trafficking Implementation Plan.	OES	<b>Implemented and under GAO review for closure</b>
46	Federal Chief Information Security Officers: Opportunities Exist to Improve Roles and Address Challenges to Authority	GAO-16-686	Aug 26, 2016	To ensure that the role of the CISO is defined in department policy in accordance with FISMA 2014, the Secretary of State should define the CISO's role in department policy for ensuring that the department has procedures for incident detection, response, and reporting.	IRM and DS	The Foreign Affairs Manual was updated and provided to GAO in July 2017. However, GAO responded that it does not identify the CISO's role in ensuring procedures for cybersecurity incident detection, response, and reporting since that role currently falls under another State bureau, the Bureau of Diplomatic Security (DS). IRM is working with DS on language to update the policy.

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47	Foreign Assistance: Actions Needed to Improve Transparency and Quality of Data on ForeignAssistance.gov	GAO-16-768	Aug 24, 2016	<b>(Priority)</b> To improve the quality of the data published on ForeignAssistance.gov and help ensure consistency in published information, the Secretary of State should, in consultation with the Director of OMB and the USAID Administrator, undertake a review of the efforts to date on ensuring data quality.	F	<b>Implemented and under GAO review for closure</b>
48	Foreign Assistance: Actions Needed to Improve Transparency and Quality of Data on ForeignAssistance.gov	GAO-16-768	Aug 24, 2016	<b>(Priority)</b> To improve the quality of the data published on ForeignAssistance.gov and help ensure consistency in published information, the Secretary of State should, in consultation with the Director of OMB and the USAID Administrator, develop additional guidance that takes into consideration current challenges to updating ForeignAssistance.gov with verified data.	F	<p>State and USAID have jointly created a three-phase consolidation plan of ForeignAssistance.gov and Explorer.USAID.gov in order to elevate the mission of making U.S. foreign assistance data agency-validated, accurate, high-quality, and timely. As part of the plan, State and USAID will establish a governance framework that will guide data collection, curation, validation, and visualization starting with FY 2020 data, and will work closely with agencies and relevant stakeholders in the process.</p> <p>The end state of the consolidation process will better align foreign assistance reporting with the above priorities, while also fulfilling all domestic and international aid transparency requirements and preserving State and USAID's existing authorities.</p> <p>State and USAID anticipate the conclusion of this plan will align with the timeline established in the FY 2020 Consolidated Appropriations Act.</p>
49	Female Genital Mutilation/Cutting:	GAO-16-645	Jun 30, 2016	To increase awareness of the health and legal consequences of	CA	<b>Implemented and under GAO review for closure</b>

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	Existing Federal Efforts to Increase Awareness Should Be Improved			FGM/C among visa recipients, the Secretary of State should update the Foreign Affairs Manual to require posts located in countries where FGM/C is commonly practiced to directly provide information about FGM/C to nonimmigrant visa recipients in the same manner as is done for immigrant visa recipients.		
50	Female Genital Mutilation/Cutting: Existing Federal Efforts to Increase Awareness Should Be Improved	GAO-16-645	Jun 30, 2016	To increase awareness of the health and legal consequences of FGM/C among visa recipients, the Secretary of State should update the Foreign Affairs Manual to require posts located outside of the countries where FGM/C is commonly practiced to directly provide information on FGM/C to immigrant and nonimmigrant visa recipients who are nationals of countries where FGM/C is commonly practiced.	CA	<b>Implemented and under GAO review for closure</b>
51	IT Dashboard: Agencies Need to Fully Consider Risks When Rating Their Major Investments	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more accurately reflect risk, the Secretaries of the Departments of Agriculture, Education, Energy, Health and Human Services, the Interior, State, and Veterans Affairs; and the Director of the Office of Personnel Management should direct their CIOs to factor active risks into their IT Dashboard CIO ratings.	IRM	GAO has requested additional documentation showing how risk exposure calculations are factored into CIO ratings. IRM is developing a policy and guidance on how CIO risk ratings are calculated, which is expected to be finalized in early 2020.
52	IT Dashboard: Agencies Need to Fully Consider	GAO-16-494	Jun 2, 2016	To better ensure that the Dashboard ratings more accurately reflect risk, the Secretaries of the	IRM	GAO has requested additional documentation showing how risk exposure calculations are factored into CIO ratings.

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	Risks When Rating Their Major Investments			Departments of Agriculture, Commerce, Defense, Education, Energy, Health and Human Services, Homeland Security, State, Transportation, the Treasury, Veterans Affairs; the Administrator of the Environmental Protection Agency; and the Commissioner of the Social Security Administration should direct their CIOs to ensure that their CIO ratings reflect the level of risk facing an investment relative to that investment's ability to accomplish its goals.		IRM is developing a policy and guidance on how CIO risk ratings are calculated, which is expected to be finalized in early 2020.
53	Security Assistance: U.S. Government Should Strengthen End-Use Monitoring and Human Rights Vetting for Egypt	GAO-16-435	Apr 12, 2016	<b>(Priority)</b> To strengthen compliance with the Leahy laws and implementation of State's human rights vetting process and to help ensure that U.S. funded assistance is not provided to Egyptian security forces that have committed gross violations of human rights, as State works to implement a revised version of the International Vetting and Security Tracking system (INVEST) system that is expected to help facilitate equipment vetting, the Secretary of State should develop time frames for establishing corresponding policies and procedures to implement a vetting process to help enable the U.S. government to provide a more reasonable level of assurance that equipment is not transferred to foreign security	DRL	The global equipment procedures are expected to be published in early 2020. These procedures are being developed to comply with GAO's recommendation for Egypt and all other applicable countries that receive U.S. security assistance, and to comply with section 7035(b)(7) of the Further Consolidated Appropriations Act, 2020.

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				forces, including those in Egypt, when there is credible information that a unit has committed a gross violation of human rights.		
54	Data Center Consolidation: Agencies Making Progress, but Planned Savings Goals Need to Be Established [Reissued on March 4, 2016]	GAO-16-323	Mar 3, 2016	The Secretaries of the Departments of Agriculture, Commerce, Defense, Education, Energy, Health and Human Services, Homeland Security, Housing and Urban Development, the Interior, Labor, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General of the United States; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and U.S. Agency for International Development; the Director of the Office of Personnel Management; the Chairman of the Nuclear Regulatory Commission; and the Commissioner of the Social Security Administration should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges.	IRM	GAO is closing the recommendation for all agencies as unimplemented due to a change in OMB metrics, making the recommendation no longer relevant.
55	Nonimmigrant Visas: State Has Reduced Applicant Interview Wait Times, but Sustainability of Gains Is Uncertain	GAO-15-773	Oct 9, 2015	To further improve State's processing of nonimmigrant visas, the Secretary of State should evaluate the relative impact of efforts undertaken to reduce nonimmigrant visa interview wait times to help managers make informed future resource decisions.	CA	This recommendation was closed by GAO as not implemented. In June 2017, the Trump administration amended Executive Order 13597 and eliminated the former requirement that 80 percent of nonimmigrant visa applicants be interviewed within 3 weeks of application. The focus shifted to ensuring security

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						through a thorough vetting process for each visa applicant. Further, each post has a different process for managing visas and ensuring efficiency. Under these circumstances, it would not be practicable to conduct an analysis of process improvements on efficiency because of the caseload limits and the difficulty in factoring out the variance in post procedures.
56	Managing for Results: Agencies Report Positive Effects of Data-Driven Reviews on Performance but Some Should Strengthen Practices	GAO-15-579	Jul 7, 2015	To help ensure that agency review processes provide frequent, regular opportunities to assess progress on agency priority goals (APG), and are conducted in a manner consistent with GPRA Modernization Act of 2010 (GPRAMA) requirements, OMB guidance, and leading practices, the Secretary of State should work with the COO and PIO to modify the Department's review processes to ensure that progress on each APG is reviewed in an in-person review meeting at least quarterly.	BP	The Department is carrying out data-driven reviews per GPRAMA. IT Modernization and Category Management APG progress is reviewed quarterly by the Under Secretary for Management's (COO) via "High Five Priorities." Quarterly calls with world-wide partners are held by HIV/AIDS Goal Leads. For CY 2020, these goals will also be incorporated into strategy reviews chaired by the COO with participation by other Under-Secretaries. The Department is drafting a response requesting GAO closure.
57	Managing for Results: Agencies Report Positive Effects of Data-Driven Reviews on Performance but Some Should Strengthen Practices	GAO-15-579	Jul 7, 2015	To help ensure that agency review processes provide frequent, regular opportunities to assess progress on agency priority goals (APG), and are conducted in a manner consistent with GPRA Modernization Act of 2010 (GPRAMA) requirements, OMB guidance, and leading practices, the Secretary of State should work with the COO and PIO to modify the Department's review processes	BP	The Department is carrying out data-driven reviews per GPRAMA. IT Modernization and Category Management APG progress is reviewed quarterly by the Under Secretary for Management's (COO) via the "High Five Priorities". Quarterly calls with world-wide partners are held by HIV/AIDS Goal Leads. Quarterly calls with world-wide partners are held by HIV/AIDS Goal Leads. For CY 2020, these goals will also be incorporated into strategy reviews chaired by the COO with participation by other



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				to ensure that the reviews are led by the agency head or COO.		Under-Secretaries. The Department is drafting a response requesting GAO closure.
58	Nonproliferation: State Should Minimize Reporting Delays That May Affect Sanctions on Trade with Iran, North Korea, and Syria	GAO-15-519	May 22, 2015	The Secretary of State should reconsider State's INKSNA process to ensure that it (1) complies with INKSNA's 6-month reporting cycle, and (2) minimizes delays in its ability to opt to impose sanctions.	ISN	This recommendation was closed by GAO as not implemented. The Department agreed to reconsider the INKSNA process, and as a result, streamlined the decision-making process to reduce the workload in making sanctions determinations by modifying the INKSNA decision and background memo to consolidate certain cases on which the interagency has achieved consensus recommendations. However, the Department noted in its response to the GAO report the inherent difficulties in meeting the INKSNA timelines given the law's very broad reporting requirements and the need for the large number of resulting sanctions decisions. These decisions carry significant foreign policy implications and therefore involve careful deliberations.
59	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on Mobile Devices and Services	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure an inventory of mobile devices and services is established department-wide (i.e., all components' devices and associated services are accounted for).	IRM	The Department is implementing a Telecom Expense Management System, expected to be completed by Spring 2020. GAO has requested the Department's by-device inventory of mobile devices linked to the service information (i.e., plan and rate) associated with each device, to close this recommendation.
60	Telecommunications: Agencies Need Better Controls to Achieve Significant Savings on	GAO-15-431	May 21, 2015	To help the department effectively manage spending on mobile devices and services, the Secretary of State should ensure a reliable department-wide inventory of	IRM	The Department is implementing a Telecom Expense Management System, expected to be completed by Spring 2020, to ensure a reliable department-wide inventory of mobile service contracts is maintained.

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	Mobile Devices and Services			mobile service contracts is maintained.		GAO has requested the Department's mobile service contracts inventory, including international users, to close this recommendation.
61	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that sponsors provide complete and consistent lists of fees that participants must pay.	ECA	The fee study was completed in 2019. However, the Department cannot implement the recommendation until the Summer Work Travel (SWT) rule is published. Publication of the final rule is on hold as a result of new legislative requirements.
62	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish a mechanism to ensure that information about these participant fees is made publicly available.	ECA	The Department published a notice for proposed rulemaking on January 12, 2017. When finalized, this would require each sponsor to include in its recruiting material, and post on its main Web site (e.g., with a visible link to such a page on the sponsors homepage), examples of the typical monthly budgets of exchange visitors placed in various regions of the United States to illustrate wages (based on the required weekly minimum of 32-hours of work at a typical host placement) balanced against itemized fees and estimated costs. Publication of the final rule is on hold as a result of new legislative requirements.
63	Summer Work Travel Program: State Department Has Taken Steps to Strengthen Program Requirements, but Additional Actions Could Further Enhance Oversight	GAO-15-265	Feb 11, 2015	To enhance State's efforts to protect SWT participants from abuse and the SWT program from misuse, the Secretary of State should direct the Bureau of Education and Cultural Affairs to establish detailed criteria that will allow State to assess the sufficiency and appropriateness of	ECA	The Department published a notice for proposed rulemaking on January 12, 2017. When finalized, this would require sponsors and their host entities to create cultural opportunities at least once per month. The proposed rule also notes that State will issue guidance outlining best practices for cross-cultural programming. Publication of the

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				opportunities for cultural activities outside the workplace that sponsors provide to SWT participants.		final rule is on hold as a result of new legislative requirements.
64	Critical Technologies: Agency Initiatives Address Some Weaknesses, but Additional Interagency Collaboration Is Needed	GAO-15-288	Feb 10, 2015	To ensure a consistent and more collaborative approach to the protection of critical technologies, the Secretaries of Commerce, Defense, Homeland Security, State, and the Treasury; as well as the Attorney General of the United States, who have lead and stakeholder responsibilities for the eight programs within the critical technologies portfolio, should take steps to promote and strengthen collaboration mechanisms among their respective programs while ongoing initiatives are implemented and assessed. These steps need not be onerous; for example, they could include conducting an annual meeting to discuss their programs, including the technologies they are protecting, their programs' intent, any new developments or changes planned for their programs, as well as defining consistent critical technologies terminology and sharing important updates.	PM	GAO has credited State with having collaboration mechanisms within individual programs. However, the Department's understanding is another agency was expected to take the lead for high-level interagency coordination on critical technologies. Therefore, this recommendation will remain open.
65	Defense Base Act Insurance: State Department Should Evaluate Its Open Market System and Incorporate Leading Practices into	GAO-15-194	Jan 6, 2015	The Secretary of State should direct State's Office of the Procurement Executive to incorporate leading practices into any future single insurer solicitations by determining whether existing guidance could be	A	This recommendation was closed by GAO as not implemented. The Department agreed to incorporate leading practices into any future single Defense Base Act (DBA) insurance solicitation if it decided to transition back to a single DBA insurer program. However, the Department has not

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	Any Future Single Insurer Solicitation			used, or by developing guidance based on leading practices in federal and State Department acquisition regulations and State internal control standards.		experienced any issues with the current open market DBA system and does not intend to transition back to a single insurer program. A Procurement Information Bulletin was issued in 2017 to provide additional guidance to the Department's contracting officers and contracting officer representatives.
66	Building Partner Capacity: State and DOD Need to Define Time Frames to Guide and Track Global Security Contingency Fund Projects	GAO-15-75	Nov 20, 2014	To enhance the definition of the GSCF program and assist stakeholders in assessing whether GSCF is meeting its goals, the Secretary of State and the Secretary of Defense should provide a range of time to clarify the time frames associated with near- to mid-term GSCF projects.	PM	This recommendation was closed by GAO as not implemented. The Department was in the process of implementing this recommendation when Congress did not reauthorize additional funding for the GSCF program.
67	Building Partner Capacity: State and DOD Need to Define Time Frames to Guide and Track Global Security Contingency Fund Projects	GAO-15-75	Nov 20, 2014	To enhance the definition of the GSCF program and assist stakeholders in assessing whether GSCF is meeting its goals, the Secretary of State and the Secretary of Defense should track GSCF projects against established time frames.	PM	This recommendation was closed by GAO as not implemented. The Department was in the process of implementing this recommendation when Congress did not reauthorize additional funding for the GSCF program.
68	Countering Overseas Threats: Gaps in State Department Management of Security Training May Increase Risk to U.S. Personnel	GAO-14-360	Mar 10, 2014	<b>(Priority)</b> To strengthen State's ability to ensure that U.S. civilian personnel are in compliance with the FACT training requirement, the Secretary of State should take steps to ensure that management personnel responsible for assigning personnel to designated high-threat countries consistently verify that all assigned U.S. civilian personnel under chief-of-mission authority who are required to complete FACT training have completed it	HR	HR compiled a compliance framework that identifies internal controls and outreach strategies across multiple offices and bureaus to provide reasonable assurance that individuals take FACT training prior to travel overseas. After sharing the framework, GAO requested additional documentation to support a few of the compliance steps identified. This information will be provided to GAO in early 2020.

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				before arrival in the designated high-threat countries.		
69	Countering Overseas Threats: Gaps in State Department Management of Security Training May Increase Risk to U.S. Personnel	GAO-14-360	Mar 10, 2014	<b>(Priority)</b> To strengthen State's ability to ensure that U.S. civilian personnel are in compliance with the FACT training requirement, the Secretary of State should monitor or evaluate overall levels of compliance with the FACT training requirement among U.S. civilian personnel under chief-of-mission authority who are subject to the requirement.	HR with DS	HR is developing a specialized report that tracks overall FACT compliance trends by bureau during a specified period of time. This report is based on a quarterly Knowledge Center report. The report will be incorporated into regular meetings between HR and DS starting in early 2020.
70	Nonproliferation and Disarmament Fund: State Should Better Assure the Effective Use of Program Authorities	GAO-13-83	Nov 30, 2012	To more effectively manage NDF's resources, increase program accountability, and ensure that NDF has the information necessary to improve program performance, the Secretary of State should direct ISN and NDF to periodically and systematically conduct and document program evaluations of NDF.	ISN	<b>Implemented and under GAO review for closure</b>
71	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	Sep 26, 2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space	IRM	The enterprise architecture plan is expected to be finalized in early 2020.

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				Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the Directors of the National Science Foundation and the Office of Personnel Management should fully establish an approach for measuring enterprise architecture outcomes, including a documented method (i.e., steps to be followed) and metrics that are measurable, meaningful, repeatable, consistent, actionable, and aligned with the agency's enterprise architecture's strategic goals and intended purpose.		
72	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	Sep 26, 2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the	IRM	The enterprise architecture plan is expected to be finalized in early 2020.

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				Directors of the National Science Foundation and the Office of Personnel Management should periodically measure and report enterprise architecture outcomes and benefits to top agency officials (i.e., executives with authority to commit resources or make changes to the program) and to OMB.		

DEPARTMENT OF STATE – OIG OPEN  
RECOMMENDATIONS  
FY 2021  
(February 2020)



#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
1	(U) Management Assistance Report: Modification and Oversight of the Bureau of Medical Services' Contract for Aeromedical Biocontainment Evacuation Services Violated Federal Requirements	AUD-SI-19-11	12/21/2018	(U) OIG recommends that the Deputy Under Secretary for Management direct that all Department of State aviation services, except those for logistics support of nonrecurring and unpredictable requirements managed by the Bureau of Administration, be assigned to the Bureau of International Narcotics and Law Enforcement Affairs, Office of Aviation, to support Department of State compliance with applicable Federal aviation regulations and requirements.	Office of the Under Secretary for Management	<p>The Under Secretary for Management's (M) role as Chair of the Aviation Governance Board (AGB) postures M well to manage oversight and approval on all decisions relating to aviation services. M's position is that ensuring proper oversight can be accomplished in a manner that does not mandate an exclusive aviation service provider, and that requirements within the AGB charter are adequate to ensure that proposed new aviation programs undergo an appropriate approval process and receive follow-up oversight.</p> <p>In addition, the AGB oversight committees will develop specific written procedures to ensure centralized management, such as the inclusion of required safety and flight program standards and program assessments. The Department will update 2 FAM 800 to reflect the AGB charter, Federal Management Regulations, guidance within OMB Circular A-123, and 2 FAM 811c. This will include the designation of M instead of INL as the Chair of the AGB, and the removal of references to INL as the sole provider of aviation services. This will be influenced by several lengthy efforts including completion of the Department's Aviation Strategic Plan and the deliberations of three newly formed AGB committees that will be developing policies and procedures. The AGB expects to complete its revision of 2 FAM 800 by April 2020, after which the formal Department-wide clearance and publication process will take place through the Office of Directive Management.</p>

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
2	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, in coordination with the Bureau of Administration, provide sufficient resources to the Contract Management Office-Frankfurt to ensure all unallowable costs are identified and recovered in a timely manner. This review should begin with those invoices provisionally approved beginning in September 2014 and already identified as awaiting post-payment review and then going back to identify and review those provisionally approved invoices submitted before September 2014 that did not receive full review.	Bureau of Near Eastern Affairs	In its October 2019 response, the Bureau of Near Eastern Affairs provided accounting of the reconciled amounts, as requested by OIG, including validated expenses, and credits due from contractors.
3	Aspects of the Invoice Review Process Used by the Bureau of Near Eastern Affairs to Support Contingency Operations in Iraq Need Improvement	AUD-MERO-17-33	3/3/2017	OIG recommends that the Bureau of Near Eastern Affairs, develop reliable, objective, clear, and measurable performance metrics and include these metrics in all current and future Bureau of Near Eastern Affairs Iraq contracts.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs developed metrics and is committed to including the metrics in future contracts, but cannot offer concrete documentation until the new solicitations are finalized.
4	Audit of Bureau of East Asian and Pacific Affairs Compliance With Trafficking in Persons Requirements	AUD-IP-12-02	10/20/2011	We recommend that the Office to Monitor and Combat Trafficking in Persons include, in the Foreign Affairs Manual, the U.S. Government policy regarding trafficking in persons (TIP) to include the definition of TIP activity and information on the prohibition against involvement in acts of TIP for Department of State personnel and contractors, the associated penalties for violations, and the mechanism to report such violations.	Office to Monitor and Combat Trafficking in Persons	The Department updated 3 FAM 4377 (50) and 3 FAM 4546 (48) to address this recommendation.

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5	Audit of Bureau of East Asian and Pacific Affairs Compliance With Trafficking in Persons Requirements	AUD-IP-12-02	10/20/2011	We recommend that the Office to Monitor and Combat Trafficking in Persons, in consultation with the Office of the Legal Adviser and the Director General of Human Resources, develop and include a trafficking in persons policy in the Department of State Standards of Conduct.	Office to Monitor and Combat Trafficking in Persons	The Office to Monitor and Combat Trafficking in Persons (J/TIP) will continue to work with Human Resources (HR/ER/CSD), the entity authorized to update the Foreign Affairs Manual (FAM) on issues related to Standards for Appointment and Continued Employment, with a target date of end of FY 2020 to have draft revised 3 FAM 4130 in clearance. J/TIP will follow up with HR/ER/CSD on a quarterly basis on the progress of HR/ER/CSD's review and clearances with other stakeholders.
6	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations issue a Construction Alert defining which building equipment and systems must be fully commissioned prior to substantial completion and update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to include those requirements.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) is in consultation with Legal (L/BA) to discuss additional revisions made to the Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) regarding the definition of critical systems in relation to substantial completion.
7	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends the Bureau of Overseas Buildings Operations require project directors to certify that all required building equipment and systems are fully commissioned prior to issuing the certificate of substantial completion.	Bureau of Overseas Buildings Operations	The "Project Director's Certificate of Substantial Completion" letter is now included on page 41 of the draft Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01). The supporting documentation meets the intent of this recommendation.

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8	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations establish and implement internal controls to verify that all required documentation in support of commissioning testing is completed prior to substantial completion. This should include all pre-functional checks, functional performance tests, and integrated systems tests to ensure that building equipment and systems are functioning as intended.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) is in consultation with Legal (L/BA) to discuss additional revisions made to the Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) regarding the definition of critical systems in relation to substantial completion.
9	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to include procedures for identifying and approving instances in which it is appropriate to issue the certificate of substantial completion before commissioning has been fully completed. Specifically, these protocols should include mechanisms that (a) require a formal waiver be issued by the construction executive to proceed with substantial completion and occupancy even though commissioning is not yet complete, (b) establish milestones for completing the commissioning process after substantial completion and occupancy, and (c) execute a contract modification requiring the contractor to grant an extended warranty for those systems that were not commissioned at the time of substantial completion.	Bureau of Overseas Buildings Operations	Section 8, page 12 of the revised Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01), has been revised to specify special circumstances where beneficial occupancy may be deemed necessary prior to substantial completion. For (b), the Bureau of Overseas Building Operations (OBO) asked the OIG to review Specification section 014010, 3.03 B – Completion Inspection (Schedule of Defects) in June 2019, 1) Punch List Inspection and 2) Final Acceptance Inspection. Section 017705, 3.06 B Warranty Management Plan and C Warranty Management. Based on feedback from the OIG, OBO will circulate the P&PD CM 01 in February 2020.

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10	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) as well as its Guide to Excellence in Diplomatic Facilities to ensure that references to the commencement of the warranty period are consistent with FAR 52.246-21, Warranty of Construction. Specifically, existing policies and procedures should be updated to indicate that the warranty period either begins at final acceptance unless the Government takes possession of any part of the work before final acceptance, in which case, the warranty shall begin at the date the Government takes possession. The Bureau of Overseas Buildings Operations should also explicitly define when the Government officially takes possession of the completed work, including whether possession occurs at substantial completion or at the time of occupancy.	Bureau of Overseas Buildings Operations	Section 10, page 31 of the revised Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01), has been updated to clarify when the warranty period begins. The revised language includes the following: The General Contractor's one-year warranty shall begin at substantial completion. The warranty period is extended for one year on a repair or replacement with respect to the work. The Bureau of Overseas Building Operations (OBO) contracts include the FAR 52.246-21 clause, if the Government takes possession of any part of the work before final acceptance, this warranty shall continue for a period of one year from the date the U.S. government takes possession. At substantial completion on OBO projects, the government takes control of the facility for Diplomatic Security accreditation and tenant build-out.
11	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations establish requirements in its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) for the preparation and submission of key project documents for newly constructed facilities, including (a) owner's project requirements, (b) a Basis of Design document, (c) systems manuals, (d) a commissioning plan, and (e) a final commissioning report. These documents should be prepared and	Bureau of Overseas Buildings Operations	Section 6, page 11 of the revised Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) addresses the preparation of the following documents: (a) owner's project requirements, (b) a basis of design document, (c) systems manuals, (d) a commissioning plan, and (e) a final commissioning report.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				submitted at the appropriate interval of construction for each building or facility constructed by the Bureau of Overseas Buildings Operations. Additionally, the requirements should indicate the parties responsible for preparation, review, and approval of each of the key project documents.		
12	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to require its project directors and facility managers to establish a memorandum of agreement 9 months prior to the estimated substantial completion target date to facilitate the building turnover process. This memorandum of agreement should, at a minimum, (a) define the type of access that Facility Management personnel and operations and management contractors should be given to new buildings prior to substantial completion; (b) specify relevant documentation, such as punch lists, lists of equipment to be maintained, and commissioning documentation that should be provided to facility managers and operations and management contractors; and (c) establish timelines for providing building access and documentation to facility personnel and operations and management contractors prior to substantial completion and occupancy.	Bureau of Overseas Buildings Operations	Section 1.4, page 25 of the revised Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01), has been updated to include language defining Facility Management's (FM) access to new buildings prior to substantial completion. The key activities are listed in 1.4 that are important for FM and their staff to be in attendance. These activities are typically during the final year of construction. The Office of Facility Management Validation Checklist specifies relevant documentation that should be provided to the FM and Operations and Maintenance contractors. This can also be found in Construction Alert 2018-02. The revised changes, along with the updated checklist, meet the intent of this recommendation.

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13	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations update its Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01) to require its project directors and facility managers to hold a pre-turnover meeting approximately 60 days prior to substantial completion. The entire project team should be included in this meeting with participants discussing the status of construction, commissioning, required turnover documentation, and the planned schedule and outstanding actions required to ensure a smooth and successful turnover of facilities.	Bureau of Overseas Buildings Operations	Section 1.5, page 25 of the revised Policy and Procedures Directive for the Commissioning and Transition to Occupancy of Overseas Facilities (P&PD CM 01), has been updated to include language requiring the Project Director to hold a pre-turnover meeting approximately 60 days prior to substantial completion. The revised language also mentions required participants to discuss the status of construction, commissioning, required turnover documentation, remaining work and schedule. The revisions made to Section 1.5 meet the intent of this recommendation.
14	Audit of Bureau of Overseas Buildings Operations' Oversight of New Construction Projects at the U.S. Embassy in Kabul, Afghanistan	AUD-MERO-18-17	1/30/2018	OIG recommends that the Bureau of Overseas Buildings Operations develop requirements mandating the use of a phased approach for projects that involve the construction of multiple buildings or facilities. This approach should outline specific phasing requirements for each building or facility constructed, including separate and distinctive commissioning, substantial completion, turnover, and acceptance requirements. This approach should also include protocols for a phased operations and management turnover process, requiring the contractor to provide key operations and management deliverables at the completion of each building if multiple buildings or facilities are being constructed under a single Bureau of Overseas Buildings Operations construction contract.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) met with the Bureau of Administration in September 2019 to address this recommendation and discuss projects that involve taking possession of multiple buildings in phases. Process improvements related to phased projects will be codified by the end of calendar year 2020.

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15	Audit of Cost Controls Within the Baghdad Life Support Services Contract Food Services Task Order SAQMMA14F0721	AUD-MERO-18-55	8/30/2018	OIG recommends that the Bureau of Near Eastern Affairs incorporate requirements for food services cost controls and a contractor cost control plan into the new worldwide support services contract and develop an acquisition plan that addresses their implementation and comprehensive oversight.	Bureau of Near Eastern Affairs	The task order containing food services is expected to be solicited in 2020. While the draft statement of work incorporates food cost controls and requires a contractor cost control plan, the Department recognizes that OIG will require the exercised task order in order to confirm compliance with this recommendation.
16	Audit of Department of State FY 2016 Compliance With Improper Payments Requirements	AUD-FM-17-42	5/12/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a method to obtain information on improper payments identified and recovered by other Department of State bureaus and offices through contract and grant oversight activities, such as contract and grant closeout procedures, during the applicable fiscal year.	Bureau of the Comptroller and Global Financial Services	The Department submitted a status update to the OIG on January 3, 2020 and provided a summary of the new extensive procedures performed and developed for fiscal year 2019 reporting. The Department believes the recommendation has been remediated and requested closure.
17	Audit of Department of State FY 2016 Compliance With Improper Payments Requirements	AUD-FM-17-42	5/12/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement a process to report all improper payments identified by sources outside payment recapture audits for the applicable period in the annual Agency Financial Report. The process should require the Bureau of the Comptroller and Global Financial Services to present this information even if corresponding collection information is not readily available, unless written instructions advising otherwise is obtained from the Office of Management and Budget.	Bureau of the Comptroller and Global Financial Services	The Department submitted a status update to the OIG on January 3, 2020 and provided a summary of the new extensive procedures performed and developed for fiscal year 2019 reporting. The Department believes the recommendation has been remediated and requested closure.



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18	Audit of Department of State Selection and Positioning of Contracting Officer's Representatives	AUD-CG-14-07	1/31/2014	OIG recommends that the Bureau of African Affairs not use contractors to perform contract administration and oversight tasks to avoid assigning inherently governmental, critical, or closely related functions to contractors, as prohibited by the Federal Acquisition Regulation.	Bureau of African Affairs	To close this recommendation, the Bureau of African Affairs (AF) sent documentation to show it has ended the requirement for contracted site coordinators in the Democratic Republic of the Congo (DRC). There are no longer any contracted actions that require oversight in DRC or Mali, so the requirement for site coordinator or Embassy oversight no longer exists. In situations such as Somalia where this cannot be done, AF has completed Form DS-4208; has obtained the approval of the Contracting Officer, as specified in Procurement Information Bulletin, No. 2012-11; and has established mitigation factors (approved by the Contracting Officer as appropriate) to ensure Site Coordinators do not perform Inherently Government Functions.
19	Audit of Food Safety Controls Under Baghdad Life Support Services Task Order SAQMMA14F0721	AUD-MERO-18-38	5/3/2018	OIG recommends that the Bureau of Near Eastern Affairs, within 60 days of its approval of the Hazard Assessment and Critical Control Point plans, incorporate the plans' requirements into the Baghdad Life Support Service food service inspection checklist.	Bureau of Near Eastern Affairs	Post is updating the Quality Assurance Surveillance Plan (QASP) to reflect the Hazard Assessment and Critical Control Point (HACCP) plans approved October 9, 2019. Action will be complete by March 2020.
20	Audit of Food Safety Controls Under Baghdad Life Support Services Task Order SAQMMA14F0721	AUD-MERO-18-38	5/3/2018	OIG recommends that the Bureau of Near Eastern Affairs develop and implement food safety training for all current and future personnel assigned to conduct oversight of food services in Iraq, including training on Hazard Analysis and Critical Control Point principles.	Bureau of Near Eastern Affairs	Contracting Officer Representatives (CORs) and Assistant CORs with food service duties have been trained and the requirement has been added into the curriculum for future personnel.
21	Audit of Foreign Assistance for Internally Displaced Persons in Iraq	AUD-MERO-18-56	8/22/2018	OIG recommends that the Bureau of Population, Refugees, and Migration provide training to its program officers responsible for managing voluntary	Bureau of Population, Refugees, and Migration	The Bureau of Population, Refugees, and Migration (PRM) is currently engaged in a process to overhaul and streamline all Policy and Program Review Committee (PPRC) guideline

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				contributions for internally displaced persons in Iraq on adhering to its guidance on uploading and managing files in the State Assistance Management System Domestic.		procedures including specific State Assistance Management System (SAMS) Domestic guidance for uploading, managing, and validating the files at least annually. In order to do this most effectively, PRM required additional time to ensure that file management and validation procedures were the best fit with other SAMS Domestic and PPRC guidance and Standard Operating Procedures (SOPs). The revised final implementation date is February 28, 2020.
22	Audit of Foreign Assistance for Internally Displaced Persons in Iraq	AUD-MERO-18-56	8/22/2018	OIG recommends that the Bureau of Population, Refugees, and Migration develop and implement a process to validate periodically, at least annually, that the required documentation associated with the voluntary contributions for internally displaced persons in Iraq is recorded and maintained in the State Assistance Management System Domestic.	Bureau of Population, Refugees, and Migration	The Bureau of Population, Refugees, and Migration (PRM) is currently engaged in a process to overhaul and streamline all Policy and Program Review Committee (PPRC) guideline procedures including specific State Assistance Management System (SAMS) Domestic guidance for uploading, managing, and validating the files at least annually. In order to do this most effectively, PRM required additional time to ensure that file management and validation procedures were the best fit with other SAMS Domestic and PPRC guidance and Standard Operating Procedures (SOPs). The revised final implementation date is February 28, 2020.
23	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	OIG recommends the Bureau of Administration develop and implement a plan to use independent economic data to determine post allowance rates where such data for post exist.	Bureau of Administration	The process to determine posts' cost of living allowances (COLAs) has been outsourced to obtain global independent economic data. The Office of Allowances will receive indexes of living costs from the Contractor for all required posts no later than February 29, 2020. Anticipated transition applying the Contractor-provided indexes to posts' COLAs is expected to commence effective March 29, 2020. Effective January 1, 2020, posts will no longer be required to conduct surveys for either Retail Prices or the Living Pattern Questionnaire (LPQ). The Office of Allowances will publish updated guidance

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						once it has gone through the appropriate clearances and has been approved by Department leadership.
24	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	OIG recommends the Bureau of Administration re-determine and update by the end of FY 2018 post allowance rates using independent economic data where such data for post exist. Once the rates have been updated, the Bureau of Administration should determine the amount saved by implementing the new rates. OIG determined that using independent, economic data would have saved the Department approximately \$18.2 million between FY 2013 and FY 2015 for six posts tested (that is, funds that could have been put to better use).	Bureau of Administration	The process to determine posts' cost of living allowances (COLAs) has been outsourced to obtain global independent economic data. The Office of Allowances will receive indexes of living costs from the Contractor for all required posts no later than February 29, 2020. Anticipated transition applying the Contractor-provided indexes to posts' COLAs is expected to commence effective March 29, 2020. Effective January 1, 2020, posts will no longer be required to conduct surveys for either Retail Prices or the Living Pattern Questionnaire (LPQ). The Office of Allowances will publish updated guidance once it has gone through the appropriate clearances and has been approved by Department leadership.
25	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration revise and distribute updated policies and procedures to posts related to the post allowance rate methodology that provide detailed procedures for (a) collecting and summarizing living pattern questionnaires in eAllowances, and (b) collecting prices that reflect the requirements outlined in the Department of State Standardized Regulations on the retail price schedule Parts 3 and 4.	Bureau of Administration	The process to determine posts' cost of living allowances (COLAs) has been outsourced to obtain global independent economic data. The Office of Allowances will receive indexes of living costs from the Contractor for all required posts no later than February 29, 2020. Anticipated transition applying the Contractor-provided indexes to posts' COLAs is expected to commence effective March 29, 2020. Effective January 1, 2020, posts will no longer be required to conduct surveys for either Retail Prices or the Living Pattern Questionnaire (LPQ). The Office of Allowances will publish updated guidance once it has gone through the appropriate

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						clearances and has been approved by Department leadership.
26	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration revise and distribute updated internal procedures for calculating post allowances to include detailed instructions to (a) verify post living pattern questionnaire summary information in eAllowances with hard copies provided by post, and (b) remove inappropriate or unreasonable prices from the retail price schedule in a consistent manner across all subcategories of goods, including the use of independent data when available.	Bureau of Administration	The process to determine posts' cost of living allowances (COLAs) has been outsourced to obtain global independent economic data. The Office of Allowances will receive indexes of living costs from the Contractor for all required posts no later than February 29, 2020. Anticipated transition applying the Contractor-provided indexes to posts' COLAs is expected to commence effective March 29, 2020. Effective January 1, 2020, posts will no longer be required to conduct surveys for either Retail Prices or the Living Pattern Questionnaire (LPQ). The Office of Allowances will publish updated guidance once it has gone through the appropriate clearances and has been approved by Department leadership.
27	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	For cases in which independent cost-of-living indexes are not available to establish post allowance rates, OIG recommends that the Bureau of Administration conduct and formally document an analysis to determine how to make the Washington, DC, prices used in the post allowance rate calculations reflective of the consumption patterns of the average salary level for a post employee stationed in a foreign area so that data are comparable between Washington, DC, and the overseas post. The Bureau of Administration should implement changes as necessary as a result of its analysis.	Bureau of Administration	The process to determine posts' cost of living allowances (COLAs) has been outsourced to obtain global independent economic data. The Office of Allowances will receive indexes of living costs from the Contractor for all required posts no later than February 29, 2020. Anticipated transition applying the Contractor-provided indexes to posts' COLAs is expected to commence effective March 29, 2020. Effective January 1, 2020, posts will no longer be required to conduct surveys for either Retail Prices or the Living Pattern Questionnaire (LPQ). The Office of Allowances will publish updated guidance once it has gone through the appropriate clearances and has been approved by Department leadership.

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28	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	OIG recommends the Bureau of Administration revise the Department of State Standardized Regulations for changes in the post allowance methodology as a result of implementing recommendations from this report.	Bureau of Administration	The Bureau of Administration and the Bureau of Human Resources have notified the representative for the American Foreign Service Association (AFSA). The contractor's Cost of Living Adjustment data is due to the Department by February 29, 2020 and updated Department of State Standardized Regulations (DSSR) are expected to be published in the spring of 2020.
29	Audit of Select Cost-of-Living Allowances for American Employees Stationed in Foreign Areas	AUD-FM-17-51	8/31/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement uniform guidance for use by overseas posts to identify education allowance payments by student to comply with Department of State Standardized Regulations. This process, at a minimum, should include setting up individual obligations by student for the education allowance and include documentation requirements. The process instructions should be disseminated to all posts and then formalized in relevant Foreign Affairs Manual or Foreign Affairs Handbook sections, accordingly.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) has formalized education allowance payment guidance and published the changes to the relevant Foreign Affairs Manual (4 FAM 443.2) in June 2019. In September 2019, the Post Support Unit (PSU) updated their voucher requirements matrix used for vendor voucher submissions. Further guidance is being drafted in conjunction with Special Needs Education Allowance process changes and will be released to the field by the end of March 2020.
30	Audit of the Administration and Oversight of Contracts and Grants Within the Bureau of African Affairs	AUD-CG-14-31	8/12/2014	OIG recommends that the Bureau of African Affairs discontinue the use of site coordinators in locations that allow Government personnel to work within that country and develop and implement processes to ensure that contracting officer's representatives and government technical monitors with the appropriate levels of Federal Acquisition Certification for Contracting Officer's Representatives are officially delegated to conduct oversight of their assigned contracts.	Bureau of African Affairs	Following up to previous correspondence and to close this recommendation, the Bureau of African Affairs (AF) sent documentation to show it has ended the requirement for its contracted site coordinators in the Democratic Republic of the Congo (DRC) and Mali. There are no longer any contracted actions that require oversight in DRC or Mali, so the requirement for site coordinator or Embassy oversight no longer exists. The Somalia Mitigation Plan further demonstrates that AF government employees are performing oversight responsibilities previously held by contract site coordinators in Somalia.

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31	Audit of the Administration and Oversight of Contracts and Grants Within the Bureau of African Affairs	AUD-CG-14-31	8/12/2014	OIG recommends that Bureau of African Affairs Grants Officer Representatives (GOR) assess the risk of ongoing grants and identify and re-classify high-risk grant recipients. For each high-risk recipient, GORs should develop a risk mitigation strategy that includes changes to oversight and a corrective action plan.	Bureau of African Affairs	The Bureau of African Affairs (AF) Grants Officers and Grants Officer Representatives regularly assess the risk of its ongoing grants to identify high-risk grant recipients. It adheres to established procedures for developing risk mitigation plans. Grants Officers and Grants Officer Representatives in AF follow standard procedures from the Bureau of Administration for risk assessment and monitoring. As an example of the AF's ongoing efforts to identify and re-classify high risk recipients, in 2016 the Bureau suspended activities with StartUp Cup in Mali, following a site visit and requests for correction actions; the following year, AF terminated the award due to non-compliance. A copy of the termination letter is was sent to OIG.
32	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman develop and implement procedures that safeguard Embassy Amman against unauthorized commitments when processing all types of requests for fuel.	Embassy Amman	Embassy Amman has issued policies and notices and implemented practices in order to meet the intent of this recommendation.
33	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman develop and implement training on contract file retention requirements as defined in Federal Acquisition Regulation 4.805, "Storage, Handling, and Contract Files," and the Foreign Affairs Handbook (FAH), 14 FAH-2 H-573.3, "Government Contract Files," and provide this training annually to all personnel within the General Services Office.	Embassy Amman	Embassy staff have taken the required training and completion records were submitted to the OIG.

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34	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman provide training to Contracting Officers regarding their obligations to verify that all Contracting Officer's Representative (COR) candidates are certified at the appropriate level of the Federal Acquisition Certification for Contracting Officer's Representatives prior to appointing candidates as a COR.	Embassy Amman	Embassy Amman submitted documentation regarding training and COR certification, as requested.
35	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman review all invoices submitted by fuel contractors from October 2012 through January 2017 for contracts SJO10013D0001, SJO10015D0003, and SJO10016D0017 to (a) determine whether the \$1.9 million paid against those invoices and identified by OIG as unsupported costs are allowable, and (b) recover any costs determined to be unallowable.	Embassy Amman	Embassy Amman has reviewed all invoices and submitted relevant documentation to the OIG. No unallowable costs were found.
36	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman review all invoices submitted by fuel contractors from October 2012 through January 2017 for contracts SJO10013D0001, SJO10015D0003, and SJO10016D0017 to (a) determine whether the \$6.4 million paid against those invoices and projected by OIG as unsupported costs are allowable, and (b) recover any costs determined to be unallowable.	Embassy Amman	Embassy Amman has reviewed all invoices and submitted relevant documentation to the OIG. No unallowable costs were found.

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37	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman review all invoices paid against contracts SJO10013D0001, SJO10015D0003, and SJO10016D0017 and (a) determine the dates by which to start the prompt payment clock, (b) compare those dates to the dates the invoices were paid, (c) calculate interest penalties for those invoices that were paid after the 30-day requirement, and (d) determine if interest penalties should be paid to the contractors in accordance with prompt payment requirements in 5 Code of Federal Regulations § 1315.	Embassy Amman	Embassy Amman has reviewed all invoices and all Prompt Payment Act penalties due have been processed. Post submitted relevant documentation to the OIG.
38	Audit of the Administration and Oversight of Fuel Contracts at U.S. Embassy Amman, Jordan	AUD-MERO-18-33	3/23/2018	OIG recommends that Embassy Amman (1) perform a reconciliation of all invoices submitted and paid against contracts SJO10013D0001, SJO10015D0003, and SJO10016D0017 to determine whether the contractors were overpaid or underpaid, and (2) either pursue reimbursement or pay any additional amounts owed to the contractors.	Embassy Amman	Embassy Amman has reviewed all invoices and submitted relevant documentation to the OIG. No unallowable costs were found.
39	Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services	AUD-FM-17-53	9/7/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services determine whether the Bureau of Consular Affairs violated the Antideficiency Act, 31 U.S. Code § 1341, for each year in which it spent funds from any fee collections in excess of cost, and report any violations as required by 31 U.S. Code § 1351 and in accordance with Office of Management and Budget Circular A-11, Section 145.	Bureau of the Comptroller and Global Financial Services	A team of accountants have been identified to review the actions that have been taken to date to address this recommendation. The team will conduct further review as appropriate. Expected completion date of this review is February 28, 2020. Upon completion of the review, the Bureau of the Comptroller and Global Financial Services (CGFS) will report on the next steps necessary to bring this recommendation to closure.



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40	Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services	AUD-FM-17-53	9/7/2017	OIG recommends that the Bureau of Consular Affairs conduct an analysis to determine and formally document in its procedures, a reasonable maximum threshold for carry forward balances for each consular fee. Carry forward balances should be adequately precise and adequately explained estimates of actual costs. This determination should consider known and anticipated Consular and Border Security Program costs.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) provided a Standard Operating Procedure (SOP) describing the analysis required to determine a reasonable threshold for carry forward balances by fee type. CA also provided results of this analysis for FY 2019. CA requested that OIG close this recommendation.
41	Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services	AUD-FM-17-53	9/7/2017	OIG recommends that the Bureau of Consular Affairs design, implement, and formally document a procedure to review prior-year net financial results annually to determine whether the fee-setting methodology should be adjusted. The procedure should include a process to determine the effect of excess revenue and carry forward balances on the fee levels.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) provided a Standard Operating Procedure (SOP) describing the methodology to review net financial results on an annual basis. CA requested that OIG close this recommendation.
42	Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services	AUD-FM-17-53	9/7/2017	OIG recommends that the Bureau of Consular Affairs remit unobligated balances that exceed the carry forward threshold (Recommendation 4), which OIG reported as \$284 million in funds that could be put to better use for FY 2017, to the Department of the Treasury.	Bureau of Consular Affairs	The Bureau of Consular Affairs' (CA) position is that the carry forward amount for FY 2018 and the projected carry forward amounts for FYs 2019 and 2020 fell below the reasonable maximum threshold amount determined in Recommendation 4 of this audit. In order to close this recommendation, OIG asked for details of the analysis used in Recommendation 4 to determine the threshold amount. That analysis was provided in the 09/30/2019 response. CA requested that OIG close this recommendation.

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43	Audit of the Bureau of Consular Affairs Fee-Setting Methodology for Selected Consular Services	AUD-FM-17-53	9/7/2017	OIG recommends that the Bureau of Consular Affairs develop and implement procedures to include only actual expenditures in the Cost of Service Model and eliminate non-expenditure items (such as obligations and allotments) from the cost inputs entered into the model.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) is committed to removing unliquidated obligations from prior fiscal years in future Cost of Service Model updates. CA requested that OIG close this recommendation.
44	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security develop a methodology to determine the recommended number of armored vehicles needed at overseas posts. This guidance should provide baselines established using a variety of factors, such as the number of individuals under Chief of Mission authority and local threat ratings.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
45	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security develop and implement a process to periodically perform an independent validation of the adequacy of each post's armored vehicle fleet size.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
46	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a methodology to facilitate the armored vehicle request process. The methodology should include metrics relating to response times, fulfillment of requests, and status updates for the requesting post or bureau.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.

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47	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a centralized tool to track requests for armored vehicles. This tool should be able to maintain a complete list of requests and the status of the efforts to fulfill the requests.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
48	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in conjunction with the Overseas Security Policy Board, update the Foreign Affairs Handbook to include a policy that mandates the use of armored vehicles that meet Overseas Security Policy Board standards for all individuals under Chief of Mission authority.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
49	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in conjunction with the Overseas Security Policy Board, develop an action plan to modify or replace the non-Overseas Security Policy Board standard armored vehicles currently in use at overseas posts so that the vehicles meet Overseas Security Policy Board standards.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
50	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security develop and implement a policy requiring Regional Security Officers to perform and document a review of all armored vehicles arriving at post, regardless of agency, to ensure that each one meets Overseas Security Policy Board standards.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
51	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security develop and provide training for all Regional Security Officers related to identifying whether armored vehicles meet required standards.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
52	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security develop and implement guidance for overseas posts on the construction and use of shelters for armored vehicles.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
53	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security update the current armored vehicle policy to define specifically what types of maintenance must be performed under an embassy employee's observation.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.

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54	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a process to ensure that all Regional Security Office personnel receive training, including periodic refresher training, regarding the disposal requirements for armored vehicles.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
55	Audit of the Bureau of Diplomatic Security's Administration of the Armored Vehicle Program	AUD-SI-17-21	2/10/2017	(U) OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Administration, develop and implement a process to ensure that all General Services Office personnel receive training, including periodic refresher training, regarding the disposal requirements for armored vehicles.	Bureau of Diplomatic Security	OIG and the Bureau of Diplomatic Security (DS) are scheduled to discuss further in March 2020.
56	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are monitoring Contracting Officer's Representatives files in accordance with Procurement Information Bulletin No. 2014-10.	Office of Acquisitions Management	The Bureau of Administration has implemented the new file review checklist and will provide a sample of a completed checklist to OIG in March 2020 to close this recommendation.
57	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs, in coordination with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement a communications strategy to ensure that	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) has put a policy in place to ensure compliance with this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				Contracting Officer's Representatives are aware that a quality assurance surveillance plan is required for contracts and task orders.		
58	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Contracting Officers are developing quality assurance surveillance plans for all service contracts and monitoring Contracting Officers Representatives adherence to the quality assurance surveillance plans.	Office of Acquisitions Management	The Bureau of Administration will meet in March 2020 to discuss a path forward to implement the intent of this recommendation. Milestones will be drafted during the meeting to provide to OIG by FY20 Q2 in order to close the recommendation.
59	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs, develop and implement procedures detailing the required use of COR eFiling.	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) has begun using Contracting Officer Representative (COR) eFiling in accordance with this recommendation.
60	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs develop and implement procedures to ensure that it complies with the Bureau of Administration, Office of the Procurement Executive's guidance to nominate eligible Federal Acquisition Certification for Contracting Officer's Representative candidates to the Contracting Officer (CO) for official designation as a Contracting Officer's Representative (COR), Alternate Contracting Officer's Representative (A/COR), and Government Technical	Bureau of European and Eurasian Affairs	The Bureau has put a policy in place to ensure compliance with this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				Monitor (GTM) and ensure that other COR, A/COR, or GTM candidates do not fulfill this role without the CO's concurrence and written designation.		
61	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify the formal designation and authorization of Contracting Officer's Representatives (COR) and Alternate CORs or Government Technical Monitors as necessary for each task order in writing and that the designation memoranda are tailored, as necessary, to identify specific duties, responsibilities, and limitations for each contract or task order administered.	Office of Acquisitions Management	The Bureau of Administration signed and funded a Memorandum of Understanding to develop a ServiceNow based solution for this verification process. User testing is expected to start in Q3 FY 2020 and delivery of a final product is expected by end of Q2 FY 2021.
62	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, require Contracting Officers to use the Contracting Officer's Representative Certification and Appointment Criteria Matrix in Volume 14 of the Foreign Affairs Handbook (FAH)-2 Exhibit H-143 to document their analyses of the training and experience of Contracting Officer's Representative nominees and their decision of the appropriateness of the Federal Acquisition Certification for Contracting Officer's Representative level needed for oversight of the Bureau of European and Eurasian Affairs task orders, as required by 14 FAH-2 H-143(b).	Office of Acquisitions Management	The Bureau of Administration will issue the final policy memo in March 2020. This action is expected to close the recommendation.

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63	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs develop and implement standard operating procedures to require and verify that grant awards include appropriate and clearly defined performance indicators with all required components to measure the progress of a grant award in accordance with the Department of State's Federal Assistance Directive.	Bureau of European and Eurasian Affairs	Based on OIG's most recent July 2019 feedback, the Office of the Coordinator of U.S. Assistance to Europe and Eurasia (EUR/ACE) has made additional edits to the Standard Operating Procedures (SOPs) in order to address recommendations from OIG. Specifically, EUR was asked to verify that the grant includes performance indicators to measure the award. New grants issued since October 2018 include the use of Foreign Assistance indicators, as recommended by the Bureau of Administration, where applicable. EUR also produced a checklist for Grants Officer Representatives (GORs) and Program Officers in EUR/ACE to use for assessment and monitoring requirements in compliance with the Federal Assistance Directive. To assure continued compliance, all EUR/ACE staff will take required training. In addition, EUR/ACE has strengthened the performance component of its annual meetings with grantees (over \$1 million) to meet its project design, monitoring, and evaluation requirements. EUR provided a response to this recommendation as of October 28, 2019 and is continuing to work with OIG to close out this recommendation.
64	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs develop and implement a process to verify that Grants Officer Representatives develop and use monitoring plans that include all required elements to conduct surveillance activities in accordance with the Department of State's Federal Assistance Directive.	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) has been using the approved monitoring plan templates from the Office of the Procurement Executive (A/OPE) toolkit since 2015 for all grants. Additionally, EUR continues to conduct annual performance reviews with grantees and carries out performance reviews during any applicable site visits. EUR in coordination with A/OPE, has adopted the practice of identifying milestones and/or target dates as an element of new grant monitoring plans. To ensure that EUR Grants Officer



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						Representatives (GORs) are conducting grant activities in accordance with Department directives, EUR is developing a compliance team within the Office of the Coordinator of U.S. Assistance to Europe and Eurasia (EUR/ACE) Budget and Administration unit to undertake routine checks to ensure compliance with the monitoring plan. EUR has provided a response to this recommendation as of October 28, 2019 and is continuing to work with OIG to close out this recommendation.
65	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs develop and implement a process to verify Grants Officer Representatives compliance with requirements to perform and document reviews of performance and financial reports within 30 days of receipt of reports, which is consistent with the Department of State's Federal Assistance Directive.	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) response to the final OIG report in March 2019 noted that the OIG recommendations were released prior to the Bureau of Administration's launch of the SAMS Domestic grant system/database. The State Assistance Management System (SAMS) Domestic now incorporates reminders and areas to note that performance and financial reports Grants Officer Representatives (GORs) have reviewed. To ensure compliance, EUR has requested that the State Grants Systems support section send EUR these reports of this activity every quarter, and EUR has been using these reports to verify that the reviews are being conducted. EUR has provided a response to this recommendation as of October 28, 2019 and is continuing to work with OIG to close out this recommendation.

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66	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs develop and implement a process to require and verify that Grants Officer Representatives perform and document site visits for each grant recipient commensurate with the complexity and value of the grant and as outlined in the monitoring plan for the grant.	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) has created new site visit report forms for Grants Officer Representatives (GORs) to use and is in the process of developing an annual site visit calendar that notes any visits planned for that year and reminders for GORs to submit the new forms. EUR discussed recommendations and these forms with OIG in November 2019. EUR will be providing these updated forms to OIG in March 2020. EUR has provided a response to this recommendation as of October 28, 2019 and is continuing to work with OIG to close out this recommendation.
67	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of European and Eurasian Affairs, in coordination with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement guidelines requiring supervisors of Grants Officer Representatives (GOR) to obtain formal feedback from Grants Officers regarding GOR performance and incorporate this feedback into GOR performance evaluations.	Bureau of European and Eurasian Affairs	The Bureau of European and Eurasian Affairs (EUR) has a policy in place to solicit input for performance evaluations in accordance with this recommendation.

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68	Audit of the Bureau of European and Eurasian Affairs Administration and Oversight of Selected Contracts and Grants	AUD-CGI-18-50	8/13/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement procedures to verify that Grants Officers are monitoring Grants Officer Representatives oversight activities and reviewing grant files to verify completeness, retention, and accessibility of required documentation within the grant file in accordance with the Department of State's Federal Assistance Directive.	Office of Acquisitions Management	The Bureau of Administration is reviewing options to create a new standard operating procedure to meet the intent of the recommendation. In its last compliance update, the Procurement Executive (A/OPE) provided OIG documentation showing guidance has been provided from the Branch Chief to all grants staff requiring grants officers to increase monitoring of grants officer representatives. The OIG did not accept this guidance as fulfilling the intent of the recommendation, and OPE has been working to develop a Grants Branch standard operating procedure to be issued as formal policy guidance for grants officers. The standard operating procedures are expected to be issued early in third quarter of FY 2020. OPE's next compliance update will be delivered to OIG in February 2020.
69	Audit of the Bureau of International Narcotics and Law Enforcement Affairs Invoice Review Process for Contracts in Afghanistan	AUD-MERO-18-30	2/9/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs fill the permanent Contracting Officer's Representative vacancies in Kabul, Afghanistan, to ensure adequate oversight of its Afghanistan contracts.	Bureau of International Narcotics and Law Enforcement Affairs	In October 2019, following Bureau of International Narcotics and Law Enforcement Affairs (INL) staffing reductions in the overall context of the embassy rightsizing exercise, INL determined a third Contracting Officer Representative (COR) was needed to provide adequate contract oversight. As a result, staffing adjustments were made within INL Kabul Section's rightsized number of 33 American and Third Country Nationals. The third COR is scheduled for required training and consultations and is currently obtaining necessary passport and visas. It is expected that the third COR will arrive in Afghanistan by mid-March 2020. INL expects to make a determination about the number of CORs needed in Afghanistan by July 2020.

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70	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs (a) analyze all contracts for which it assigns Contracting Officer's Representatives and Government Technical Monitors and determine the appropriate level of Federal Acquisition Certification for Contracting Officer's Representatives, the technical expertise, and other qualifications required; (b) document the analysis and determinations; (c) and provide the determinations to the Contracting Officers assigned to those contracts.	Bureau of Near Eastern Affairs	OIG has accepted the determinations regarding the required level of Federal Acquisition Certification needed but has asked for further analysis on the other qualifications. This analysis is ongoing.
71	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs compare Contracting Officer's Representative and Government Technical Monitor nominee qualifications to the analysis conducted for the Bureau's contracts as noted in Recommendation 1 and only nominate those with the necessary technical expertise and level of Federal Acquisition Certification for Contracting Officer's Representatives to oversee the contract and hold contractors accountable for quality and cost performance in accordance with contract terms.	Bureau of Near Eastern Affairs	This recommendation cannot be resolved while the preceding recommendation (AUD-MERO 19-10, #1) is pending.
72	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs discontinue the practice of nominating Contracting Officer's Representatives and Government Technical Monitors who do not meet Level III Federal Acquisition Certification for Contracting Officer's Representatives and	Bureau of Near Eastern Affairs	All Contracting Officer's Representatives are now Level III Federal Acquisition Certification for Contracting Officer's Representatives certified.

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				technical expertise requirements for its contracts or obtain a temporary waiver from the Bureau of Administration, Office of the Procurement Executive, as required by Procurement Information Bulletin No. 2012-15.		
73	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Administration, Office of the Procurement Executive create, organize, and lead a multi-bureau working group with the goal of remedying identified shortfalls with the current and future Contracting Officer's Representative (COR) workforce. The working group should, at a minimum: (a) explore building a roster of certified Federal Acquisition Certification for Contracting Officer's Representatives and their technical expertise and a mechanism to keep this roster current, (b) research the inclusion of CORs in the strategic human capital plan with the goal of addressing current and future COR needs and developing plans Department-wide to alleviate identified shortfalls, (c) study other alternatives for feasibility of implementation, such as using special pay incentives or a new COR skill code or employment track within the Foreign Service, and (d) provide its documented results and recommendations to the Under Secretary for Management for his awareness and consideration.	Office of the Procurement Executive	Participants in the next Contracting Officer Representative (COR) Council meeting on January 14, 2020 have been requested to provide solutions to the components of the recommendation for discussion. In addition, the Office of the Procurement Executive's Policy Division will be surveying participants at future COR workshops. The timeline for implementation and providing documented results remains 18 to 24 months. The Bureau of Administration intends to use the COR Council participants to close this recommendation in lieu of a separate working group. The Bureau of Administration intends to provide the results of the COR Council meeting and any follow up to OIG in its February 2020 compliance update.
74	Audit of the Bureau of Near Eastern Affairs Selection and Management of	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs develop and implement a process that requires Contracting Officer's Representatives and Government Technical Monitors whose contract	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) provided documentation showing that it has developed procedures requiring Contracting Officer's Representatives and Government Technical Monitors whose contract

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	Contract Oversight Personnel in Iraq			administration and oversight duties entail 25 percent or more of their workload to establish work commitments in annual performance evaluations that are aligned with their delegated contracting administration and oversight responsibilities and consistent with 14 Foreign Affairs Handbook-2 H-114, "COR Work Commitments."		administration and oversight duties entail 25 percent or more of their workload to establish work commitments in annual performance evaluations.
75	Audit of the Bureau of Near Eastern Affairs Selection and Management of Contract Oversight Personnel in Iraq	AUD-MERO-19-10	11/29/2018	OIG recommends that the Bureau of Near Eastern Affairs develop and implement procedures that require rating officials of Contracting Officer's Representatives to solicit performance input from the Contracting Officer via email or memorandum for related work commitments when the Contracting Officer's Representatives' duties entail 25 percent or more of their workload, as required by 14 Foreign Affairs Handbook-2 H-114, "COR Work Commitments."	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs (NEA) developed, and OIG acknowledged, a mechanism to solicit Contracting Officers' input. The mechanism is in use and the requirement has been added into the materials that the Regional Contract Support Office (RCSO) presents to Iraq-bound personnel. NEA plans to report this to the OIG in February 2020.
76	Audit of the Bureau of Overseas Buildings Operations' Value Engineering Program	AUD-CGI-18-54	8/29/2018	OIG recommends that the Bureau of Overseas Buildings Operations develop and implement a communications strategy to periodically keep Bureau of Overseas Buildings Operations personnel informed of the purpose and requirements of the value engineering program and respective roles in maintaining value engineering program data.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO/COST) continues to offer Value Engineering (VE) Informational classes, and established/incorporated the VE Quarterly Presentations into the updated VE Standard Operating Procedures (SOP). The updated VE SOP is currently in clearance.

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77	Audit of the Bureau of Overseas Buildings Operations' Value Engineering Program	AUD-CGI-18-54	8/29/2018	OIG recommends that the Bureau of Overseas Buildings Operations (a) develop standards to assess adherence to value engineering program requirements and (b) insert those standards into the work commitments and annual performance evaluations of all personnel substantially involved with the value engineering program.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO/PDC) has updated one of the work commitments for all Project Managers (PMs) who are involved with Value Engineering to reflect the language stated in OBO's last response.
78	Audit of the Bureau of Overseas Buildings Operations' Value Engineering Program	AUD-CGI-18-54	8/29/2018	OIG recommends that the Bureau of Overseas Buildings Operations develop and implement a quality assurance process to periodically (and no less than bi-annually) validate that it is: (a) implementing value engineering study recommendations that are considered reasonable and that offer a potential for cost savings, cost avoidances, and expenditures; (b) considering all value engineering study recommendations offered and documenting the basis for rejecting recommendations; and (c) informing the Director of the Bureau of Overseas Buildings Operations about the results of the quality assurance review.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO/COST) provided the first semiannual report (memo) to the OBO Director on July 29, 2019. Included with the memo are the FY 2019 Value Engineering (VE) report, the VE Implementation memo template, and the VE Implementation instructions. OBO provided the documentation to the OIG in March 2020.
79	Audit of the Bureau of Overseas Buildings Operations' Value Engineering Program	AUD-CGI-18-54	8/29/2018	OIG recommends that the Bureau of Overseas Buildings Operations develop and implement procedures to verify that the current value engineering database is updated with accurate and current value engineering information when value engineering documentation and data are received.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) sent out a notice (memo) to OBO offices specifying the information required in the reporting of their implementation of Value Engineering (VE) recommendations and the procedures to update the VE database. OBO has included this policy in the updated VE Standard Operating Procedures (SOPs). The updated VE

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						SOP is currently in clearance. OBO provided the documentation to the OIG in March 2020.
80	Audit of the Bureau of Overseas Buildings Operations' Value Engineering Program	AUD-CGI-18-54	8/29/2018	OIG recommends that the Bureau of Overseas Buildings Operations develop and implement a central repository to maintain complete and accurate value engineering program information to include, at a minimum: value engineering studies, implementation spreadsheets, implementation memoranda, requests for and approval of waivers, calculations regarding value engineering cost savings and cost avoidances for recommendations implemented, and the justifications for rejecting value engineering study recommendations.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO/COST) is using the SharePoint site as a permanent central repository to maintain complete and accurate Value Engineering (VE) program information, including VE studies, implementation spreadsheets, implementation memoranda requests for and approval of waivers. The SharePoint site is for domestic use only by OBO, and is not intended to be used at overseas posts. The policy to use this central depository is included in the updated VE Standard Operating Procedure (SOP).
81	Audit of the Bureau of South and Central Asian Affairs Invoice Review Process for the Afghanistan Life Support Services Contract	AUD-MERO-17-47	6/30/2017	OIG recommends that the Bureau of South and Central Asian Affairs direct its Afghanistan Life Support Services contract contracting officers' representatives to record performance for each distinct performance standard in the Afghanistan Life Support Services contract food services task order quality assurance report to further ensure that each performance standard is satisfactorily achieved and any identified deficiencies are addressed accordingly.	Bureau of South and Central Asian Affairs	The Department is in active discussion with OIG on an acceptable format of documentation to close this recommendation. By mid-March 2020 the Bureau of South and Central Asian Affairs will issue a directive to Embassy Kabul to ensure the quality assurance reports prepared by the Contracting Officers Representatives responsible for monitoring, evaluating and reporting contractor performance for the ALiSS contract Task Order 001-Food Services, appropriately address each of the 19 individual performance



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						standards contained in the food services quality assurance plan.
82	Audit of the Bureau of South and Central Asian Affairs Invoice Review Process for the Afghanistan Life Support Services Contract	AUD-MERO-17-47	6/30/2017	OIG recommends that the Bureau of South and Central Asian Affairs develop and implement training to fully prepare and familiarize newly assigned contracting officer's representatives charged with overseeing the Afghanistan Life Support Services contract with the unique features of the contract.	Bureau of South and Central Asian Affairs	The Regional Contracting Support Office (RCSO) has developed a new mandatory training course for Kabul contracting officer's representatives (CORs). The first training session was held the week of January 12, 2020. All Kabul CORs are scheduled to attend this training. In addition, all incoming CORs for the ALiSS contract must complete five days of consultations with Acquisition Management (AQM) in Washington and with the Bureau. The consultations include familiarization with the ALiSS contract in Kabul. Embassy Kabul has a mandatory five-day overlap policy for incoming CORs and incumbents.
83	Audit of the Contract Closeout Process for Contracts Supporting the U.S. Mission in Iraq	AUD-MERO-14-06	12/12/2013	OIG recommends that the Bureau of Administration, Office of the Procurement Executive, in conjunction with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, develop and implement an e-Filing policy and document management system to provide effective contract file inventory control and documentation standards while allowing for ready accessibility through a central locator system. The policy should include minimum guidance over the completeness of data contained in the files and a schedule of milestones identifying mandatory implementation dates.	Office of the Procurement Executive	The Bureau of Administration (A) has developed a policy covering Contracting Officer Representative (COR) and Contracting Officer (CO) eFiling. A Bureau is targeting end of second quarter FY 2020 to issue a Procurement Information Bulletin mandating eFiling.  Regarding the system component of the recommendation, an automated eFiling solution is currently available worldwide and the Bureau of Administration continues to train end users to effectively use the system and ensure user profiles are appropriate for each overseas location.
84	Audit of the Department of State Process To Select and Approve	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management establish and implement a plan to review IT investment reorganizations that	Bureau of Information Resource Management	The Federal Enterprise Architecture, the framework for the organization of investments, has not been updated as government services and technologies have evolved. The Office of

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	Information Technology Investments			occurred since FY 2010 to ensure that the investments resulting from the reorganizations comply with Office of Management and Budget requirements for information technology investments.		Management and Budget (OMB) has proposed a possible solution, the Federal Integrated Business Framework, and the Bureau of Information Resource Management (IRM) continues to support broader federal management efforts to review and validate the IT portfolio by aligning investments into services. Ongoing OMB efforts to develop new guidance along with OMB's use of the Technology Business Management (TBM) framework for the accounting of IT investment costs will inform the Department's efforts to reorganize its portfolio based on the services that investments support. The Department is awaiting OMB guidance to confirm its approach before implementation proceeds. OMB guidance is anticipated by June 2020 and the Department's plan for implementation will be developed at that time.
85	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to (a) identify and review all bureau-specific IT investment methodologies (ones currently in place as well as ones that will be developed in the future); (b) determine whether the bureau-specific IT investment methodologies comply with Office of Management and Budget Circular A-130; and, if they do not comply, (c) provide bureaus with guidance regarding the modifications needed to fully comply and verify that the methodologies were modified as necessary. This effort should include reviewing the standard forms used by each bureau during the IT selection process to ensure consistency and compliance with Office of Management and Budget Circular A-130.	Bureau of Information Resource Management	<p>The Bureau of Information Resource Management (IRM) and the Bureau of Budget and Planning (BP) have initiated an effort to develop a process map that aligns and optimizes the budget formulation and IT capital planning processes.</p> <p>The IT Investment Process Map will enable BP and IRM to document clear roles and responsibilities in the Department's methodology for reviewing bureau-specific IT investments, ensure that all IT investments comply with OMB A-130, and develop proper policy and guidance that facilitate compliance. The updated methodology, policy and guidance will be put into place in FY 2020.</p>

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
86	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to oversee and enforce requirements for bureaus and offices to avoid duplicative IT investments.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) performs IT Acquisition reviews and is collecting information that can be used to support federal-wide efforts to define duplication and recommend policies and program changes that will aid the avoidance of unnecessary duplication. This information will be used in conjunction with efforts by the Office of Management and Budget (OMB) to define a federal-wide IT shared service approach as outlined in OMB M-19-16. OMB completion is anticipated by June 2020.
87	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to perform periodic, but no less than annual, reviews of the entire agency IT portfolio to enforce bureau accountability and identify potential duplicative systems.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) conducted a comprehensive review of the IT portfolio with bureau executives and IT leaders in FY 2019. IRM has also implemented a solution using the Integrated Logistics Management System (ILMS) Ariba system to identify all IT purchase types, including contracts for the development of IT systems. Purchases over \$10,000 are reviewed by the Chief Information Officer (CIO) organization. Furthermore, IRM is implementing an IT Modernization plan to outline the Department's approach to identifying systems that require replacement or modernization. IRM provided the IT Modernization plan to the OIG in March 2020.

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88	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	For duplicative systems that are identified by the new process implemented to perform periodic reviews of the entire agency IT portfolio (Recommendation 12), OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to combine, eliminate, or replace duplicative systems, as practicable.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) is implementing an IT Modernization plan to reduce unnecessary duplication through the delivery of effective IT services and outline the Department's approach to identifying systems that require replacement or modernization. IRM provided the IT Modernization plan to the OIG in March 2020.
89	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a strategy to perform semiannual or more frequent reviews of bureau-funded IT contracts to identify new IT investments developed as part of the contracts.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) has implemented a solution using the Integrated Logistics Management System (ILMS) Ariba system to identify IT procurement types, including contracts for the development of IT systems. Purchases over \$10,000 are reviewed as part of the OCIO approval workflow and alignment to the IT investment portfolio is validated. IRM provided the OIG with the Policy and Process for IT Acquisitions Exceeding \$10,000 FY 2020 Guidance in October 2019.
90	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management (a) develop and implement a policy requiring bureaus and offices to provide details of IT investments, programs, and projects in iMatrix and (b) develop and disseminate guidance specifying the level of detail necessary for each investment, including general descriptions and technical capabilities.	Bureau of Information Resource Management	The Department references Office of Management and Budget (OMB) IT Budget – Capital Planning Guidance (policy) on the data and level of detail required by investment type and provides Department-wide training and further guidance to address the reporting requirements. Furthermore, for the FY 2021 budget preparation, a data quality check procedure was implemented to ensure bureaus provide accurate, timely, and consistent data for IT investment reporting. IRM provided documentation to the OIG to close this recommendation in October 2019.

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91	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and issue a policy stating that bureaus must update the information on non-major investments in iMatrix quarterly, rather than only when the reports are due to be submitted to the Office of Management and Budget.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) will follow Office of Management and Budget (OMB) new IT Budget – Capital Planning guidance which provides timelines for data updates to occur four times per year - September, January, February, and June. IRM provided documentation to the OIG to close this recommendation in October 2019.
92	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to verify that all bureau and office IT investment managers and budget analysts complete the respective training courses related to IT capital planning and reporting that are provided annually.	Bureau of Information Resource Management	In FY 2020 the Department will update its annual training on Information Resource Management (IRM) and the Bureau of Budget and Planning (BP) A-130 IT Investment Review methodology, policy and guidance.
93	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, include information on reporting reimbursable costs in the annual training provided to investment managers and budget analysts on how to report IT investment data in iMatrix.	Bureau of Information Resource Management	The Department issued new iMatrix reporting procedures and published the guidance to its E-Gov SharePoint site, which includes OMB's guidance on reporting reimbursable costs. IRM also provided the IT Modernization plan to the OIG in January 2020.

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94	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the completeness of the data in iMatrix. At a minimum, this process should include an analysis of IT expenditures in the financial management system to ensure expenditures are reported in iMatrix, as needed.	Bureau of Information Resource Management	<p>Data validation in iMatrix is threefold. First, Executive Directors, or their equivalent, from respective Bureaus are required to certify the completeness and accuracy of the data submitted in the iMatrix system. Second, since FY2018, all IT purchases/expenditures over \$10K are reviewed and approved by the Office of the Chief Information Officer (CIO) and are aligned to valid IT investments in iMatrix. Third, IRM reviews Bureau IT investments submitted in the Bureau's annual Budget Resource Request (BRR) to ensure they align with the IT resource requests and/or investment in iMatrix. IRM provided documentation to the OIG to close this recommendation in October 2019.</p> <p>In addition, IRM is working with the Bureau of Administration and the Bureau of the Comptroller and Global Financial Services (CGFS) organizations to identify ways to automate reporting on IT expenditures in accordance with the Technology Business Management (TBM) framework.</p>
95	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management, in coordination with the Bureau of Budget and Planning, develop and implement a process to validate the accuracy of data in iMatrix. This could include developing and implementing analytical procedures to identify anomalies in iMatrix data.	Bureau of Information Resource Management	<p>In addition to IRM's process for reviewing and approving all IT purchases/expenditures over \$10K and aligning these to valid IT investments in iMatrix, IRM's iMatrix data validation process of Bureau certification, IT acquisitions review, and alignment and assessment of Bureau Resource Requests with iMatrix-registered IT investments provide the Department with data and analytics to validate and refine the accuracy of the Department's IT investment portfolio. Furthermore, this data, analysis, and reporting is available to Bureau Executives for use in their IT investment validation process. IRM provided</p>

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						documentation to the OIG to close this recommendation in October 2019.
96	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a policy requiring bureaus and offices to submit source documents to support the information entered into iMatrix.	Bureau of Information Resource Management	The Department continues to direct investment management teams to upload required source documents in iMatrix. Furthermore, the Bureau of Information Resource Management (IRM) has been making incremental updates to iMatrix to automate information collection and to reduce the need for sharing of electronic files. These updates make reviews more efficient and do more to ensure that sufficient information is being collected and reviewed at times that are relevant to the decision-making process. IRM maintains that policy set by OMB A-11 guidance addresses this recommendation. IRM is meeting with the OIG in February 2020 to discuss this recommendation.
97	Audit of the Department of State Process To Select and Approve Information Technology Investments	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that bureaus and offices are submitting source documents to support the information entered into iMatrix in accordance with the policy developed that requires bureaus and offices to submit source documents that support the information entered into iMatrix.	Bureau of Information Resource Management	The Department continues to direct investment management teams to upload required source documents in iMatrix. Furthermore, The Bureau of Information Resource Management (IRM) has been making incremental updates to iMatrix to automate information collection and to reduce the need for sharing of electronic files. These updates make reviews more efficient and do more to ensure that sufficient information is being collected and reviewed at times that are relevant to the decision-making process. IRM maintains that policy set by OMB A-11 guidance addresses this recommendation. IRM is meeting with the OIG in February 2020 to discuss this recommendation.
98	Audit of the Department of State Process To Select and Approve	AUD-FM-16-31	3/23/2016	OIG recommends that the Bureau of Information Resource Management determine the information for non-major investments that should be included in	Bureau of Information Resource Management	The Department defers to Office of Management and Budget (OMB) IT Budget – Capital Planning Guidance (policy) on the data and level of detail required by investment type. The Department

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	Information Technology Investments			iMatrix and develop a policy to implement that determination.		has policy to enforce OMB reporting requirements and additionally provides at least annual training and supplemental guidance to address the reporting requirements. IRM updated OIG on the status of this recommendation in October 2019.
99	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop, implement, and publish policies in the Foreign Affairs Manual and/or Foreign Affairs Handbook governing cash advances on Department-issued travel cards, specifically regarding the timing and dollar value of cash advances that may be obtained.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) has received 4 FAM 460 clearance from all but two stakeholders and expects to receive full clearance by March 2020. The updated 4 FAM 460 policy specifically states that cash advances on Department-issued travel cards may be obtained from authorized contractor-affiliated banks or ATMs up to three business days prior to the start of travel. Cash advances may be obtained up to 50 percent of the overcall card credit limit. Cash advances obtained after the final travel date may be considered misuse.
100	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Office of the Director General for Human Resources, in conjunction with the Bureau of the Comptroller and Global Financial Services, develop and implement administrative and disciplinary procedures related to the Travel Card Program to include misuse, non-usage, and abuse.	Bureau of Human Resources	The Bureau of Human Resources (HR), in conjunction with the Comptroller and Global Financial Services, has piloted a mechanism for tracking non-use of travel cards. In addition, HR expects full clearance of the updates to the Foreign Affairs Manual / Foreign Affairs Handbook (FAM/FAH) by second quarter of FY 2020. HR/ER is making revisions to the table of penalties to include non-use and abuse, and anticipates that the revisions will be complete in February 2020.
101	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services establish controls to identify Department employees eligible to receive a travel card who travel more than two times in a 12-month period and verify that they obtain	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) has received 4 FAM 460 clearance from all but two stakeholders and expects to receive full clearance by second quarter FY 2020. The updated 4 FAM 460 policy specifically states that all United States Direct



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				and use a Department-issued travel card for all official travel-related expenses.		Hire (USDH) employees who are expected to conduct travel in order to carry out their official duties must apply for and use the Department-issued travel charge card for authorized travel expenses. The Bureau of Human Resources (HR), in conjunction with the CGFS, has piloted a mechanism for tracking non-use of travel cards.
102	Audit of the Department of State Travel Card Program	AUD-CGI-16-48	9/27/2016	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement split disbursement procedures as required by the Office of Management and Budget for all Department-issued travel card holders.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) initiated discussions with the travel management software developer, the financial management software developer, and Citibank to determine how split disbursement procedures can be implemented. The project was put on hold due to other system priorities; however, CGFS is planning to re-engage efforts in 2020. Once the software functionality is configured, CGFS will begin testing the split disbursement process.
103	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Under Secretary for Management consolidate and codify all current Syria vetting policies issued by the Department and bureaus into one detailed guidance explaining specifically how the vetting process should be carried out for Syrian awards. This consolidated guidance should be distributed to all bureaus once completed.	Office of the Procurement Executive	<p>The Bureau of Administration, in coordination with the office of the Legal Adviser, expects to send a draft Rulemaking document to the Office of Management and Budget (OMB) by February 1, 2020. The current draft is being updated to include clarifications after a round of internal stakeholder review and is expected to be internally finalized in March 2020. The Department hopes the OMB review is completed expeditiously to allow for publication in the Federal Register for public comment during OMB's Spring agenda period.</p> <p>The Department currently expects to publish the final Vetting Policy no later than October 1, 2020. This action is expected to close the recommendation.</p>

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104	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.	Bureau of Near Eastern Affairs	Per the Department's response on 9/19/19, the consolidated vetting guidance is in the final stages of development and will be distributed internally on or about October 2020. Once the consolidated vetting guidance is issued, the Bureau of Near Eastern Affairs will distribute it to its implementing partners.
105	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs will develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1 of the same report once the consolidated vetting guidance is issued.
106	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Near Eastern Affairs include in future award agreements the vetting requirements for Syria programs.	Bureau of Near Eastern Affairs	The Bureau of Near Eastern Affairs will revise its award agreement template to include the new vetting requirements once the consolidated vetting guidance is issued.
107	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor distribute the consolidated vetting guidance from Recommendation 1 to implementing partners to make them aware of Department vetting requirements.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of Administration, Office of the Procurement Executive on consolidated vetting guidance for the Department. Per the OIG, the Bureau of Administration is responsible for drafting the consolidated guidance and DRL will immediately distribute it once finalized.

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108	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor develop and implement internal controls to verify that its implementing partners are submitting information on required individuals for vetting in accordance with the consolidated vetting guidance from Recommendation 1.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of Administration, Office of the Procurement Executive on consolidated vetting guidance for the Department. Per the OIG, the Bureau of Administration is responsible for drafting the consolidated guidance and DRL will immediately distribute it once finalized.
109	Audit of the Department of State Vetting Process for Syrian Non-Lethal Assistance	AUD-MERO-17-01	11/10/2016	OIG recommends that the Bureau of Democracy, Human Rights, and Labor include in future award agreements the vetting requirements for Syria programs.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of Administration, Office of the Procurement Executive on consolidated vetting guidance for the Department. Per the OIG, the Bureau of Administration is responsible for drafting the consolidated guidance and DRL will immediately distribute it once finalized.
110	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Under Secretary for Management develop and implement a plan to enforce the centralized management and oversight of all Department aviation programs and assets, including oversight of and approval by the Aviation Governing Board, on all decisions related to providing aviation services, in accordance with the Foreign Affairs Manual.	Office of the Under Secretary for Management	<p>The Under Secretary for Management's (M) role as Chair of the Aviation Governance Board (AGB) postures M well to manage oversight and approval on all decisions relating to aviation services. M's position is that ensuring proper oversight can be accomplished in a manner that does not mandate an exclusive aviation service provider, and that requirements within the AGB charter are adequate to ensure that proposed new aviation programs undergo an appropriate approval process and receive follow-up oversight.</p> <p>In addition, the AGB oversight committees will develop specific written procedures to ensure centralized management, such as the inclusion of required safety and flight program standards and program assessments. The Department will</p>

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						update 2 FAM 800 to reflect the AGB charter, Federal Management Regulations, guidance within OMB Circular A-123, and 2 FAM 811c. This will include the designation of M instead of INL as the Chair of the AGB, and the removal of references to INL as the sole provider of aviation services. This will be influenced by several lengthy efforts including completion of the Department's Aviation Strategic Plan and the deliberations of three newly-formed AGB committees that will be developing policies and procedures. The AGB expects to complete its revision of 2 FAM 800 by April 2020, after which the formal Department-wide clearance and publication process will take place through the Office of Directive Management.
111	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Aviation Governing Board, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop and implement a detailed strategic plan for all of the Department of State's aviation needs, including medical needs and country-specific needs like those of Embassy Bogota. The plan should have clear goals and attainable objectives, both at the headquarters level and for each country of operation.	Aviation Governing Board	In February 2019, the Aviation Governance Board formed a working group to develop the detailed strategic plan. Since that time, the group has developed a vision and mission statement as well as strategic goals and management objectives and is now working on developing other components of the plan. The working group will complete the plan and seek approval from the voting members and concurrence of the Chair by February 2020.
112	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Aviation Governing Board (AGB), in coordination with the Bureau of International Narcotics and Law Enforcement Affairs (INL), revise Volume 2 of the Foreign Affairs Manual (FAM) 800 to clarify that INL is the sole provider of aviation services for the Department of State and any requests for exceptions to, or waiver of this policy,	Aviation Governing Board	As the first step towards updating the overall 2 FAM 800, the Aviation Governance Board's (AGB) Aviation Safety Management Systems and Flight Program Standards Committee has developed safety and flight program standards which are incorporated into a draft revision of 2 FAM 816.2. The Committee anticipates submitting that portion of the FAM to the AGB for approval by the end of March 2020 after

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				along with a written justification, must be submitted to and approved by the AGB. The FAM revision should include a requirement for a periodic review of the decision to determine whether it remains beneficial.		which time a working group will update the remainder of 2 FAM 800 for the Board's approval. The final content of the revised 2 FAM 800 will be influenced by several lengthy efforts including completion of the Department's Aviation Strategic Plan and the deliberations of the three newly formed AGB committees that will be developing policies and procedures. Although a thorough update of 2 FAM 800 will be conducted, per discussions with and guidance from the Office of the Under Secretary for Management (M), at this time the AGB does not intend to include language to require that INL be the sole provider of aviation services. The AGB expects to complete its revision of 2 FAM 800 by April 2020, after which the formal Department-wide clearance and publication process will take place through the Office of Directive Management.
113	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs (INL) update the "INL Financial Management Handbook" to include guidance related to recording assets purchased with INL project funding or transferred to INL from another agency that are not being donated to a host government in the Integrated Logistics Management System.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) is currently working on updating its Financial Management Handbook that will address the recommendation of recording assets purchased with INL project funding or transferred to INL from another agency that are not being donated to a host government in the Integrated Logistics Management System. INL expects it to be completed by May 2020.
114	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs (INL) implement a process to ensure it performs Property Management System Analysis inspections of INL operations in all countries with aviation programs.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) will continue to compile and develop its Property Management System Analysis Standard Operating Policies and Procedures (SOPP) regarding inspections. INL aims to complete the SOPP by March 2020.

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115	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that Embassy Lima, Peru, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, identify all Department-owned aviation assets in Peru that meet the definition of accountable property and record them in the Integrated Logistics Management System.	Embassy Lima	Embassy Lima's International Narcotics and Law Enforcement Affairs (INL) section completed the upload of all its applicable aviation assets into the integrated logistics management system (ILMS) and forwarded documentary evidence to the OIG in December 2019.
116	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop updated formal policies, procedures, and implementing guidance to ensure that Department of State aviation assets, including assets donated by other agencies, are accurately valued when initially obtained and the correct value is recorded in the accounting system.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of International Narcotics and Law Enforcement Affairs (INL) completed International Standards Organization work instructions guidance in the form of a Reference Document (Article #67416 and updates to 4 FAM 736.2, Department of State Aviation Assets) on August 1, 2019. For next steps, policy and guidance updates to the INL Financial Management handbook and INL Property Handbook will be finalized, cleared, and made operational.
117	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop policies, procedures, and implementing guidance to update the valuation of an aircraft after undergoing other-than-routine maintenance, including both programmed depot maintenance and phase maintenance.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of International Narcotics and Law Enforcement Affairs (INL) completed International Standards Organization work instructions guidance in the form of a Reference Document (Article #67416 and updates to 4 FAM 736.2, Department of State Aviation Assets) on August 1, 2019. For next steps, policy and guidance updates to the INL Financial Management handbook and INL Property Handbook will be finalized, cleared, and made operational.

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118	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, develop and implement policies, procedures, and implementing guidance to determine when an aircraft should be considered "In Service" and "Not in Service" and to update the status of the aircraft in the Integrated Logistics Management System.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) and the Bureau of International Narcotics and Law Enforcement Affairs (INL) completed International Standards Organization work instructions guidance in the form of a Reference Document (Article #67416 and updates to 4 FAM 736.2, Department of State Aviation Assets) on August 1, 2019. For next steps, policy and guidance updates to the INL Financial Management handbook and INL Property Handbook will be finalized, cleared, and made operational.
119	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, review and update all aircraft valuations, including accumulated depreciation, taking into account the acquisition value, the amount of any refurbishments, and the impact of any periods the aircraft was not in service.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) completed the valuations and depreciation amounts for the aircraft. As of March 2020, CGFS is addressing OIG's request to provide updated post-adjusted depreciation expense and updated accumulated depreciation.

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120	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs, in coordination with the Bureau of Administration, develop and implement a policy and implementing guidance on the process for overseas aviation asset disposal, which should include clear instructions on the appropriate forms and approvals that are needed.	Bureau of International Narcotics and Law Enforcement Affairs	In October 2019, the Bureau of International Narcotics and Law Enforcement Affairs (INL) completed a Standard Operating Policies and Procedures (SOPP) for the disposal of domestic and overseas government property for all airwing-managed assets. INL is in the process of incorporating this Airwing-specific SOPP into a broader bureau-wide SOPP related to the disposal of all government property. INL looks to complete this broader SOPP by March 2020.
121	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs, in coordination with the Bureau of Administration, develop and implement a policy and implementing guidance on the process for domestic aviation asset disposal, which should include clear instructions on the appropriate forms and approvals that are needed.	Bureau of International Narcotics and Law Enforcement Affairs	In October 2019, The Bureau of International Narcotics and Law Enforcement Affairs (INL) completed a Standard Operating Policies and Procedures (SOPP) for the disposal of domestic and overseas government property for all airwing-managed assets. INL is in the process of incorporating this Airwing-specific SOPP into a broader bureau-wide SOPP related to the disposal of all government property. INL looks to complete this broader SOPP by March 2020.
122	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs, in coordination with the Bureau of the Comptroller and Global Financial Services, develop and implement guidance to use proceeds of sale from aviation assets for replacement property.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) has produced a final draft policy for use of proceeds of sale from aviation assets. INL expects to finalize and publish it by the end of March 2020.
123	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs develop and implement a methodology for obtaining systematic input from each Senior Aviation Advisor and Government Technical	Bureau of International Narcotics and Law Enforcement Affairs	In its last response, OIG requested a signed and dated copy of the revised Quality Assurance Surveillance Plan (QASP) SOP. The signed and dated QASP SOP was transmitted to OIG.



#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				Monitor when developing statements of requirements, performance standards, and the quality assurance plan for contractors performing maintenance of aircraft and incorporating, as appropriate, the input into the new worldwide aviation support services contract.		
124	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs develop and implement policies and procedures requiring that all country-specific aviation programs use SeeSOR for quality assurance oversight.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) has published an Administrative Notice stating that all country-specific aviation programs use a computer-based monitoring and evaluation software for quality assurance oversight.
125	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that the Bureau of International Narcotics and Law Enforcement Affairs develop and implement policies and procedures requiring that all foreign assistance aviation programs have documented nationalization plans with clear goals and attainable objectives, both at the headquarters level and for each country of operation.	Bureau of International Narcotics and Law Enforcement Affairs	The Bureau of International Narcotics and Law Enforcement Affairs (INL) provided the approved aviation nationalization policy.
126	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that Embassy Panama City, Panama, develop and implement a documented nationalization plan with clear goals and attainable objectives for the aviation program.	Embassy Panama City	The last of three documents required to implement Aircraft Title Transfer was signed on June 28, 2019. The transfer initiates the International Narcotics and Law Enforcement (INL) Aviation Nationalization Program in Panama, which decreases U.S. support and increases Panama's capacity building for the new Helicopter Unit to become a self-sufficient operation by February 2023. The transfer includes the Government of Panama's agreement to fund the Aviation Nationalization Program with \$5M per year beginning in 2020. INL

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						Panama, in coordination with Panama Air and Naval Service (SENAN), has developed and is implementing a nationalization plan with clear goals and objectives for the aviation program. Embassy Panama is working with INL to request that the OIG close this recommendation.
127	Audit of the Department of State's Administration of its Aviation Program	AUD-SI-18-59	9/25/2018	OIG recommends that Embassy Lima, Peru, develop and implement a documented nationalization plan with clear goals and attainable objectives for the aviation program.	Embassy Lima	The Project to nationalize the helicopter program in Peru is ongoing. On December 4, 2019 International Narcotics and Law Enforcement (INL) Lima presented the Government of Peru (GOP) a draft copy of the Letter of Agreement (LOA) to nationalize 21 helicopters. INL is currently negotiating the LOA with GOP. INL is optimistic the LOA will be signed by May 2020 and both parties begin working to meet the timeline of the agreement and complete the transfer title to GOP.
128	Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-18-03	11/6/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services complete the implementation of system interfaces and new procedures that are designed to improve financial data collection overseas.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) Digital Accountability and Transparency Act (DATA) Act project team is coordinating with all stakeholders through second quarter FY 2020 to address this recommendation. CGFS expects to complete integration among its three systems and provide evidence to the OIG by the end of second quarter FY 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
129	Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-18-03	11/6/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, update and issue guidance for Contracting Officers and Grants Officers related to entering accurate and complete procurement and financial assistance award transaction data into the Federal Procurement Data System – Next Generation and the Award Submission Portal.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) Digital Accountability and Transparency Act (DATA) Act project team is coordinating with all stakeholders through second quarter FY 2020 to address this recommendation. CGFS, in coordination with the Bureau of Administration, expects to modify the existing procedures and provide evidence to the OIG by the end of second quarter FY 2020.
130	Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-18-03	11/6/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Administration, modify existing quality control procedures to include a requirement to verify the accuracy of data contained in DATA Act Files D1 and D2 generated from the Federal Procurement Data System – Next Generation and the Award Submission Portal.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) Digital Accountability and Transparency Act (DATA) Act project team is coordinating with all stakeholders through second quarter FY 2020 to address this recommendation. CGFS, in coordination with the Bureau of Administration, plans to meet in February 2020 to discuss corrective actions taken and gather evidence needed to close this recommendation
131	Audit of the Department of State's Implementation of the Digital Accountability and Transparency Act of 2014	AUD-FM-18-03	11/6/2017	OIG recommends that the Bureau of the Comptroller and Global Financial Services develop and implement procedures to verify that the quarterly DATA Act File C submission includes only transactions that occurred within the applicable quarter.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) Digital Accountability and Transparency Act (DATA) Act project team is coordinating with all stakeholders through second quarter FY 2020 to address this recommendation. CGFS, in coordination with the Bureau of Administration, plans to meet in February 2020 to discuss corrective actions taken and gather evidence needed to close this recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
132	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a detailed program plan for the Information Technology Configuration Control Board process that includes clear goals and attainable objectives and defines areas of authority and responsibility.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
133	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to establish and periodically update a list of system, product, or software owners who will be authorized to make change requests for their system, product, or software. The list should be made available to users and members of the Information Technology Configuration Control Board through the Information Technology Configuration Control Board website or applicable policies and procedures outlined in Recommendation 12.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
134	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management determine what documentation is needed to support a change request and modify the policies and procedures outlined in Recommendation 12 or other guidance, such as the submitters guide, provided to change request submitters to reflect the documentation that is required for a complete and accurate change request submission.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.

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135	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement guidance for change requests to require and include: (a) minimum testing standards for change requests, (b) instructions that testing be performed in advance of the change request being submitted and that the testing documentation be submitted as part of the change request process, and (c) a clearly defined technical review of the testing documentation that is submitted to verify the documentation complies with minimum standards.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
136	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management remove the default proceed ability for Technical Reviewers in the Virtual Information Technology Configuration Control Board application.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.

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137	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management formally notify all Technical Reviewers that default proceeds are no longer allowed and that all Technical Reviewers must review all change requests and either approve, stop, or reject the change request. Policies and procedures outlined in Recommendation 12 or other guidance should be updated to reflect this change to the process.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
138	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a quality assurance assessment process for all change requests going through the enterprise-wide Information Technology Configuration Control Board. At a minimum, the quality assurance process should include periodic evaluation of open "stops," reviews to ensure retention of all relevant documentation, and a final check prior to adding change to the baseline to ensure all pertinent process controls occurred at a minimum.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
139	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management define the roles, responsibilities, and technical skillsets for each technical review and voting area and develop and implement a vetting process to verify Technical Reviewers and Voters have the knowledge, skills, and abilities to perform their assigned duties related to the Information	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				Technology Configuration Control Board process.		
140	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to verify that Technical Reviewers and Voters have formally appointed alternatives.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
141	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement complete and consistent policies and procedures and supplemental guidance, such as a Submitter's Guide, for the Information Technology Configuration Control Board process. The policies, procedures, and guidance should, at a minimum, include guidance on roles and responsibilities, detailed procedure steps for submitters, minimum testing requirements, instructions on how Technical Reviewers and Voters should conduct their review, the appropriate use of "stops," and established timelines for the process.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
142	Audit of the Department of State's Information Technology	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a process to periodically review and validate the	Bureau of Information Resource Management	Although commitment of formal controls for review and update of IT Configuration Control Board (ITCCB) data is awaiting draft charter approval, ITCCB has implemented an "Approved

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Configuration Control Board			accuracy and completeness of the data in the Virtual Information Technology Configuration Control Board database and to correct data integrity, omissions and inaccuracies existing between the new and old databases and when identified going forward. As part of this effort, the Bureau of Information Resource Management should ensure that the old database is available solely as a read-only reference resource and that new data cannot be entered into that database.		Until” field in Virtual ITCCB and expects to confirm all existing records no later than fourth quarter of FY 2021. ITCCB is also normalizing and reviewing quality of data for reporting product approvals via iPost.
143	Audit of the Department of State’s Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement required, periodic, training for Information Technology Configuration Control Board management and personnel, Bureau Sponsors, Technical Reviewers, Voters, and change request submitters involved in the Information Technology Configuration Control Board process.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
144	Audit of the Department of State’s Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to (a) monitor the status of all change requests throughout each stage of the change request process and (b) notify stakeholders when a request is nearing the end of a deadline or when an event occurs that may affect the deadline for a change request.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.



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145	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement policies and procedures to hold officials accountable for failure to meet established deadlines in the Information Technology Configuration Control Board change request process. Once completed, the policies, procedures, and supplemental guidance discussed in Recommendation 12 should be updated.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
146	Audit of the Department of State's Information Technology Configuration Control Board	AUD-IT-17-64	9/27/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a formal process to periodically gather, assess, and report on its change request review process timeliness metrics and to make those results available to its stakeholders and customers in addition to appropriate bureau officials.	Bureau of Information Resource Management	Approval of the new IT Configuration Control Board (ITCCB) Charter that addresses scope and provides authorization to effect policy and processes changes is still in review and clearance, with a new target milestone of CY 2020 for completion of review. Relevant Foreign Affairs Manual / Foreign Affairs Handbook entries will be subsequently updated upon the approval of the ITCCB charter, as needed.
147	Audit of the Department of State's Process to Identify and Transfer Excess Working Capital Funds	AUD-FM-18-44	6/27/2018	OIG recommends that the Bureau of Administration, as part of its annual review of the Working Capital Fund cost centers and in coordination with the Bureau of Budget and Planning, determine the amount of excess earnings in the Working Capital Fund for FY 2018, report the results to OIG, and remit the identified excess to the U.S. Department of the Treasury, as required by 22 U.S. Code § 2684.	Bureau of Administration	The Bureau of Administration's Office of Procurement Executive (A/OPE) issued internal policy guidance in May 2019 to reflect system enhancements to the global financial management system completed in April 2019. In the November 2019 compliance update to OIG, A/OPE provided documentation to support closing the recommendation and, based on the actions taken and documentation provided in November 2019, the recommendation is expected to be closed.

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148	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs conduct a study to determine the appropriate numbers of trained, technically experienced, and certified oversight personnel required to oversee the Baghdad Life Support Services and Operations and Maintenance Support Services contracts, to include specific consideration of 2-year assignments, required Federal Acquisition Certification for Contracting Officer's Representatives certification levels, and appointment of additional assistant contracting officer's representatives.	Bureau of Near Eastern Affairs	The Department has drafted a study and it is in final stages of review with senior leadership. Report will be provided to OIG to close out recommendation.
149	Audit of the Oversight of Fuel Acquisition and Related Services Supporting Department of State Operations in Iraq	AUD-MERO-17-16	12/12/2016	OIG recommends that the Bureau of Near Eastern Affairs use the results of the study from Recommendation 5 to nominate appropriate personnel so contracting officers with the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management, can assign qualified oversight personnel for those contracts and task orders.	Bureau of Near Eastern Affairs	The Department has selected potential hires for contract officers and as soon as the hiring action is complete, the Department will share documentation demonstrating qualifications of the assistant contracting officer's representatives.
150	Audit of the Process To Approve, Disburse, and Report Rewards for Justice Payments	AUD-SI-17-48	7/28/2017	OIG recommends that the Bureau of Diplomatic Security, in coordination with the Bureau of Legislative Affairs, establish and implement a process to (a) identify the expenses necessary to operate the Rewards for Justice Program, (b) compile and accumulate data on operating expenses annually, (c) prepare the annual report, and (d) submit the report to the Bureau of Legislative Affairs within timeframes as agreed to by the Bureau of Legislative Affairs to meet the requirement to submit	Bureau of Diplomatic Security	The FY 2019 Annual Report to Congress and Transmittal were signed in the Department on November 26, 2019. The Bureau of Diplomatic Security (DS) informed OIG on December 5, 2019 that the Bureau of Legislative Affairs sent the transmittal letter to Congress. OIG informed DS on January 7, 2020, that the documentation provided by DS should be sufficient to close the recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				the annual report no later than 60 days after the end of each fiscal year.		
151	Capital Security Cost Sharing Program: Inconsistent Criteria for Managing Vacant Positions Resulted in Inaccurate Counts	ISP-I-17-30	8/9/2017	The Under Secretary for Management, in coordination with the Bureau of Human Resources, should implement consistent criteria for managing vacant positions overseas.	Office of Management Strategy & Solutions	In December 2019, the Department published a revision to the Foreign Affairs Handbook (FAH) section entitled “COM Responsibility To Manage Staffing (Rightsizing and NSDD 38),” which provides overseas Human Resources and Management Officers with clarified and consolidated guidance on the management of vacant positions. As a final step in closing this recommendation, the Office of Management Strategy & Solutions (formerly Management Policy, Rightsizing, and Innovation) is currently preparing a memorandum from the Executive Secretariat to inform interagency stakeholders of this FAH update.
152	Compliance Follow-up Audit of the Process To Request and Prioritize Physical Security-Related Activities at Overseas Posts	AUD-ACF-16-20	12/15/2015	OIG recommends that the Bureau of Overseas Buildings Operations, in coordination with the Bureau of Diplomatic Security, develop and implement formal standardized processes to prioritize physical security-related deficiencies at posts by category, such as major physical security upgrades, forced-entry/ballistic-resistant projects, and minor physical security upgrades. The prioritizations should be performed based on a comprehensive list of all physical security needs and should be periodically updated based on changes in risk factors or posts’ needs. The processes used to perform the prioritizations should be documented and repeatable. In addition, in developing the processes, consideration should be given to how the Overseas Security Policy Board standards will be utilized, what risk factors will be	Bureau of Overseas Buildings Operations	The Army Corps of Engineers – Engineering Research and Development Center (ERDC) completed the peer review of the criteria and factor weights. The Bureau of Diplomatic Security (DS) began integrating the ERDC recommendations into a revised prioritization algorithm. The Bureau of Overseas Building Operations (OBO) is simultaneously developing the Standard Operating Procedures (SOPs) to use the revised prioritization criteria and weights to inform the future major and minor security upgrade planning, as well as the other security funding needs. OBO is assessing the use of decision software to transparently rank these competing needs, including demonstrations and discussions with the software developer. OBO’s revised target date for completion of internal SOPs is August 2020. Upon completion of the revised prioritization algorithm, factors, and weights, OBO will use the SOP to produce an initial prioritized list of projects.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				considered, and what impact upcoming major rehabilitation projects or new construction would have on the prioritized rankings.		
153	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should issue consistent guidance to overseas missions on the proper procedures for paying Official Residence Expense staff salaries within 30 days of the issuance of this report.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) continues to review proposed guidance with Departmental officials. Once guidance is cleared by appropriate officials, CGFS will issue approved guidance accordingly. Proposed timeline is mid-calendar year 2020.
154	Compliance Follow-up Review: Department of State Has Not Implemented Recommendations Pertaining to Official Residence Expense Staff Salaries	ISP-C-17-32	8/9/2017	The Bureau of the Comptroller and Global Financial Services, in coordination with the Bureau of Human Resources, should revise and reissue consistent Foreign Affairs Manual and Foreign Affairs Handbook guidance on paying Official Residence Expense staff salaries within 6 months of the issuance of this report.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) continues to review proposed guidance with Departmental officials. Once guidance is cleared by appropriate officials, CGFS will issue approved guidance accordingly. Proposed timeline is mid-calendar year 2020.
155	Department of State Has Administrative Leave Policies but Lacks Complete and Accurate Data on the Use of Leave	ESP-16-04	9/30/2016	The Bureau of the Comptroller and Global Financial Services, in conjunction with the Bureau of Human Resources, should ensure that its new payroll systems have the ability to collect information regarding the justification for why administrative leave is granted.	Bureau of the Comptroller and Global Financial Services	The Bureau of the Comptroller and Global Financial Services (CGFS) has drafted a Department Notice to all timekeepers on instructions to record administrative leave in the time and attendance systems. The Department Notice is currently in the management review and approval process, though CGFS expects to

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						complete this action and provide evidence to the OIG by the end of second quarter FY 2020.
156	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability, in coordination with the Bureau of Human Resources, should establish clear responsibilities for the processing of security clearance requests in order to reduce or eliminate unnecessary delays in the clearance process and require appropriate training for all Human Resources personnel involved in the process.	Bureau of Diplomatic Security	The Department is moving ahead with training on security clearances and finalizing written guidance. In addition, the online training component on security clearances for HR users has been developed and should be implemented in 2020.
157	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security should perform a workforce analysis of its employees who perform security clearance work to ensure that it has the proper staffing levels in place to meet its timeliness goals.	Bureau of Diplomatic Security	The Department is working to staff the relevant office in Diplomatic Security. Due to the overall U.S. government backlog of background investigations, the personnel security community continues to review the entire security clearance and suitability/fitness process.
158	Evaluation of the Department of State's Security Clearance Process	ESP-17-02	7/19/2017	The Bureau of Diplomatic Security, Office of Personnel Security and Suitability should determine the actual cost of the security clearance work it performs for other Government agencies in order to fully recoup its expended funds, in accordance with the Economy Act and Department policy.	Bureau of Diplomatic Security	The Department continues to hire new staff who will address the workforce analysis mentioned in Recommendation 3 of this same report and initiate the development of a dynamic cost model.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
159	Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan	AUD-MERO-16-35	4/20/2016	OIG recommends that Embassy Kabul procure a new vehicle-fueling system (hardware and software) to replace the current outdated system.	Embassy Kabul	Embassy Kabul last submitted a response to OIG on December 12, 2019. Embassy Kabul implemented a fuel-dispensing and tracking system (PROKEE) on July 1, 2019. The system requires vehicle and drivers to be registered in the system before any fuel is dispensed which allows for full usage accountability. Data captured in the PROKEE system is integrated into the Fleet Management Information System (FMIS). The Motor Pool supervisor runs a monthly fuel report to review and reconcile fuel transitions and vehicle usage.
160	Improvements Needed To Strengthen Vehicle-Fueling Controls and Operations and Maintenance Contract at Embassy Kabul, Afghanistan	AUD-MERO-16-35	4/20/2016	OIG recommends that the Embassy Kabul motor pool manager review and reconcile the vehicle fuel transactions and the vehicle usage reports on a monthly basis to determine if any theft or improper use of fuel has occurred, in accordance with the Foreign Affairs Handbook, 14 FAH-1, H-814.2-2, "Motor Pool Manager Review."	Embassy Kabul	Motor Pool management began generating monthly reports as of fourth quarter 2019 using data captured by the fuel-dispensing and tracking system (PROKEE) and integrated into the Fleet Management Information System (FMIS) to analyze vehicle and fuel usage. The new system enabled Post to improve accuracy and accountability by identifying anomalies and errors, allowing corrective action as required.
161	Inconsistent Guidance Governing Property Controls Over Mobile Devices Left Department at Risk of Wasteful Spending	ISP-17-31	8/14/2017	The Bureau of Administration should publish specific, clear and consistent guidance and procedures for the management, inventory, and tracking of smart phones and similar mobile devices.	Bureau of Administration	The Bureau of Administration is preparing revised guidance for the management, inventory, and tracking of smart phones and mobile devices. The Foreign Affairs Manual (FAM) will be updated and consistent guidance governing property controls over mobile devices is expected to be completed before the end of FY 2020.
162	Inspection of Consulate General Hong Kong, China	ISP-I-18-06	11/30/2017	The Bureau of East Asian and Pacific Affairs, in coordination with Consulate General Hong Kong and the Bureau of Human Resources, should determine the number and levels for language designated positions at Consulate General Hong Kong	Bureau of East Asian and Pacific Affairs	The Director General launched the Triennial Language Review Process in Q4 of CY 2019 with an estimated completion date of May 2020. EAP is reviewing all language designated positions (and levels) in Hong Kong within this

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				in accordance with Department standards, and put up to \$2 million to better use.		structure and will report on the results when completed.
163	Inspection of Embassy Accra, Ghana	ISP-I-17-17	6/2/2017	Embassy Accra, in coordination with the Bureau of Overseas Buildings Operations, should reconfigure the Consular Section and neighboring office space in accordance with Department standards.	Embassy Accra	Post reports that the Millennium Challenge Corporation office has been relocated out of the Consular area, resolving this issue.
164	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of Administration, should implement property management internal controls, in accordance with Department standards.	Embassy Addis Ababa	Post submitted reports as requested from the GPS monitoring device, the fuel master, and the dispensed fuel monitoring device. The residential generator fuel monitoring device has been installed and is being calibrated.
165	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should implement a real property management program that is fully compliant with Department requirements.	Embassy Addis Ababa	The Post Occupational Safety and Health Officer (POSHO) certification of the 150 residences will be dependent on the installation of the Ground Fault Circuit Interrupter devices, which are in the pipeline to be completely installed within the next two months.
166	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Addis Ababa, should implement a plan to address the embassy's safety, health, and environmental management deficiencies.	Embassy Addis Ababa	Embassy Addis Ababa purchased 600 Ground Fault Circuit Interrupter outlets and received the equipment. The Facilities team plans to install these devices by April 15, 2020. After the installation is complete, the Post Occupational Safety and Health Officer (POSHO) will be able to certify the houses. Post has requested that this recommendation be closed.
167	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of African Affairs, should conduct a detailed and thorough review of locally employed staff position descriptions.	Embassy Addis Ababa	Embassy Addis Ababa reported that the work is in progress. Post submitted what has been accomplished to date and classifications pending with the African Regional Classification Center. Post is requesting additional staff for classification support.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
168	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa, in coordination with the Bureau of Human Resources, should update and translate its Local Employee Handbook in accordance with Department guidance.	Embassy Addis Ababa	Local legal counsel finished review of the handbook on October 18, 2019. Post is currently reviewing recommendations from local counsel and incorporating the changes from the new labor law issued September 2019. Human Resources will provide the new handbook to agency representatives and the Front Offices in December 2019 for final clearance. The handbook will then be translated into Amharic.
169	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should conduct annual information technology contingency plan testing of the unclassified and classified networks, in accordance with Department standards.	Embassy Addis Ababa	Embassy Addis Ababa has emailed the IT plan and signed documentation of testing to a collective email address on December 6, 2019.
170	Inspection of Embassy Addis Ababa, Ethiopia	ISP-I-18-18	5/25/2018	Embassy Addis Ababa should stop managing personal internet service for embassy employees and collect \$99,324 from employees who had internet service at their residences that was paid by the embassy in FY 2017 and put those funds to better use.	Embassy Addis Ababa	Post has researched the outstanding amount and has collected and reconciled the entire balance, except for \$40.25 which it is working with the Financial Center to write off.
171	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	The Bureau of Overseas Buildings Operations, in coordination with Embassy Banjul and the Bureau of Consular Affairs, should renovate Embassy Banjul's Consular Section to comply with Department standards.	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) traveled to post in early November 2019 to look at potential solutions with post personnel on the issues raised by OIG to renovate Embassy Banjul's Consular Section to comply with Department Standards. The Consular Affairs Executive Office (CA/EX) has asked that the Consular Officer (CO) provide their input and approval of the project proposal. The CO is currently on extended leave and tentatively scheduled to return in mid-March 2020. CA/EX will fund the project as part of their FY 2020 spending plan. A scope of work (SOW) will be



#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						prepared for the project once CA/EX approves the project proposal. The project is in BMIS (#VW-13068). A target implementation date is pending preparation and approval of the SOW.
172	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul, in coordination with the Bureau of the Comptroller and Global Financial Services, should collect outstanding salary advances of \$2,000 due from former employees and put those funds to better use.	Embassy Banjul	Post confirms that the outstanding funds cannot be collected, and has reached out to the Comptroller and Global Financial Services (CGFS) for the required documentation to close these out. The Receivables Management and Interface Control Division Manager at CGFS has directed Post to follow the guidance in 4 FAM 494.7, which is underway.
173	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	The Bureau of Administration, in coordination with Embassy Banjul, should conduct a staff assistance visit to address contract administration deficiencies.	Bureau of Administration	The Bureau of Administration will travel to Embassy Banjul during March 2020 to conduct a staff assistance visit. The onsite actions taken during the visit are expected to close the recommendation.
174	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul should implement knowledge management procedures for capturing, sharing, transferring, and retaining information related to its information management programs.	Embassy Banjul	Post developed and maintains a knowledge management library on its Post SharePoint site. Some articles relate to using Outlook, and there are PowerPoint Presentations on using printers and Microsoft Word. This information was sent to the OIG in September 2019.
175	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul should test the information technology contingency plans for unclassified and classified networks in accordance with Department guidance.	Embassy Banjul	Post submitted a copy of the approved and signed IT contingency plan for the unclassified network scheduled for full completion to the OIG on September 25, 2019. This plan takes into consideration the recent changes in the operating environment and has been reviewed and approved by senior leadership at post.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
176	Inspection of Embassy Banjul, The Gambia	ISP-I-19-04	11/21/2018	Embassy Banjul should implement a plan for conducting information technology contingency training for appropriate employees, in accordance with Department standards.	Embassy Banjul	Post submitted the training plan based on contingency planning roles and responsibilities to the OIG on September 25, 2019. Previously, Post did not maintain training records. As a result of the OIG inspection, Post will maintain the records going forward.
177	Inspection of Embassy Beijing and Constituent Posts, China	ISP-I-18-04	12/11/2017	Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a wheelchair-accessible interview window for consular applicants at Consulate General Chengdu.	Bureau of Overseas Buildings Operations	A wheelchair-accessible interview window and privacy booth will be addressed as part of the Major Rehabilitation project. The project is scheduled as a back-up project on the FY 2023 Capital Security Construction Program list. The Project Development Survey is nearing completion and the design will be awarded in FY 2020.
178	Inspection of Embassy Beijing and Constituent Posts, China	ISP-I-18-04	12/11/2017	Embassy Beijing, in coordination with the Bureau of Overseas Buildings Operations and the Bureau of Consular Affairs, should provide a privacy booth in the consular waiting room at Consulate General Chengdu.	Bureau of Overseas Buildings Operations	A wheelchair-accessible interview window and privacy booth will be addressed as part of the Major Rehabilitation project. The project is scheduled as a back-up project on the FY 2023 Capital Security Construction Program list. The Project Development Survey is nearing completion and the design will be awarded in FY 2020.
179	Inspection of Embassy Copenhagen, Denmark	ISP-I-18-23	4/23/2018	Embassy Copenhagen, in coordination with the Bureaus of Diplomatic Security and Overseas Buildings Operations, should complete the installation of the mail screening facility and implement a procedure for processing incoming local mail in accordance with Department standards.	Embassy Copenhagen	Embassy Copenhagen will be enlarging the concrete foundation pad in December 2019. Once this is completed, Post will connect water, power, and telecommunications so that the facility will be operational by March 2020. Post notes the aesthetic metal cladding required by the local municipality must be custom fabricated and will not be ready for installation until at least March 2020.

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180	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	The Bureau of African Affairs, in coordination with Embassy Djibouti, should restructure the staffing of the Political-Economic Section to more effectively support the embassy's Integrated Country Strategy goals	Bureau of African Affairs	The Bureau of African Affairs (AF) concurs with the recommendation and has modified the staffing in the Political-Economic Section. The 03 Economic Officer position was upgraded to an 02 Pol/Econ Chief position. The 02 Pol/Econ Chief position was filled in the current bid cycle, and the officer will arrive at Post in 2021, when the incumbent departs. AF requested that this recommendation be closed.
181	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with the Bureau of Consular Affairs and the Bureau of Overseas Buildings Operations, should renovate the Consular Section to expand consular processing capabilities, improve management controls, and accommodate anticipated increased staffing.	Embassy Djibouti	Post is working with the Bureau of Overseas Building Operations and the Bureau of Consular Affairs to address the consular renovation plan. The recent flooding of Embassy premises has impacted progress on construction front.
182	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with the Bureau of Administration and the Bureau of African Affairs, should bring the embassy's property program into full compliance with Department standards.	Embassy Djibouti	The General Services Officer (GSO) worked closely with the Bureau of Administration and successfully submitted annual inventory reports. The GSO has since put in place necessary internal controls to safeguard property. The next set of Inventory reports will be submitted on schedule early next year. In late 2019, the IT section assumed responsibility for tracking their inventory and submitting necessary reports in a timely manner. They submitted their first report a few months ago and are working on the next set of reports due early next year. Post has fully implemented the Automated Inventory Management (AIM) system for tracking and reporting inventory. Going forward, all reports should be submitted in a timely manner.

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183	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should implement a comprehensive residential fuel delivery program that complies with Department standards.	Embassy Djibouti	Post is currently developing a fuel delivery program, based on best practices at other posts to comply with Departmental standards.
184	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should update its vehicle policy to be compliant with Department standards.	Embassy Djibouti	The Motor Vehicle Policy was updated to comply with Department standards in September 2019, and was disseminated to the Mission. Post requests this recommendation be closed.
185	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should prohibit locally employed staff mechanics from repairing personally owned vehicles on U.S. Government property or with U.S. Government equipment.	Embassy Djibouti	The revised policy prohibiting this practice has been communicated to both Embassy Mechanics and Personnel at the mission. The practice has been discontinued.
186	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with Bureau of African Affairs, should implement a comprehensive program for updating locally employed staff position descriptions in accordance with Department standards.	Embassy Djibouti	The Human Resources Officer is working on this as Post is preparing to migrate from WebPass to the Overseas Personnel System in March 2020. Post's goal is to utilize Standard Job Descriptions and other pre-cleared position descriptions to the maximum extent possible. Post is working with the Human Resources section in the Bureau of African Affairs to do so.
187	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should implement a comprehensive policy outlining the requirements for vehicle third party liability coverage in accordance with Department standards.	Embassy Djibouti	The new policy was communicated by the Deputy Chief of Mission to relevant Embassy personnel in September 2019. The embassy shipping team is monitoring compliance and sending reminders of policy renewal dates to minimize policy lapses.

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188	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should charge agencies and personnel appropriately for official and personal phone calls.	Embassy Djibouti	The Embassy's ability to charge personnel for personal calls is limited by the opaque billing practices of the only service provider in the country. Post's repeated attempts to obtain itemized billings have been unsuccessful to date as current practices remain advantageous to Djibouti Telecom, a government owned entity. Post will continue its efforts and provide updates.
189	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should use electronic funds transfer for taxi and medical reimbursements over \$25 to local employees.	Embassy Djibouti	Local taxi claims are now submitted via E2 (the Department's travel system). Local claims and disbursement are done via Electronic Funds Transfers. Post is working to do the same with medical reimbursements and continues to make progress.
190	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with the Bureau of Overseas Buildings Operations, should train its local staff in preventive maintenance or establish appropriate preventive maintenance contracts.	Embassy Djibouti	The recently-arrived Facility Manager has made preventive maintenance a top priority for her section. Facilities Staff kick-started implementation and is making good use of otherwise slow periods to conduct preventative maintenance.
191	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti, in coordination with the Bureau of Overseas Buildings Operations, should establish and implement a plan to appropriately store flammable materials.	Embassy Djibouti	The General Services and Facilities teams are finalizing plans for the migration of flammable materials into appropriate storage areas.
192	Inspection of Embassy Djibouti, Djibouti	ISP-I-18-14	4/18/2018	Embassy Djibouti should conduct annual information technology contingency plan testing of the unclassified and classified networks in accordance with Department standards.	Embassy Djibouti	Post is working to conduct the annual testing of the unclassified and classified network, per Departmental standards. It is expected to be done by the first quarter of 2020.

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193	Inspection of Embassy Georgetown, Guyana	ISP-I-18-19	5/16/2018	Embassy Georgetown should vacate the U.S. Government-owned warehouse and notify the Bureau of Overseas Buildings Operations so it can be sold.	Embassy Georgetown	Post will vacate the U.S. government-owned warehouse around May 2020 upon the completion of its build-to-lease warehouse, which is scheduled to be completed in April 2020.
194	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, should develop and monitor benchmarks for its Bureau of International Narcotics and Law Enforcement Affairs aviation program to improve administrative, technical, and operational capabilities of Guatemala's aviation operations.	Embassy Guatemala City	OIG noted that the recommendation can be closed when Embassy Guatemala provides documentation that they are monitoring progress towards achieving these goals. As such, the U.S. Government and Government of Guatemala established a working group to monitor programmatic progress. The working group has met regularly.
195	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Human Resources, should complete updates to the local compensation plan.	Embassy Guatemala City	OIG noted that the recommendation can be closed when Embassy Guatemala City updates the local compensation plan (LCP), Human Resources (HR) authorizes the pending sections, and provide documentation of implementing the recommendation in the next schedule compliance update. As of December 30, 2019, six sections of the LCP are still pending authorization or review by HR. Once authorized by HR, Post will implement the required revisions.

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196	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City, in coordination with the Bureau of Overseas Buildings Operations, should perform seismic evaluations of its residential properties in accordance with Department standards.	Embassy Guatemala City	Post has 163 operating lease properties in the Real Property Application (RPA) inventory, of which 19% were previously completed, 33% were assessed by the Crosby Group in July 2018 and are still under the Bureau of Overseas Building Operations (OBO) review, 42% are not completed, 4% are new since last year, and Post is dropping 2% of the units from last year. The Chief of Mission Residence (CMR) is the only government-owned residence at Post and was given a seismic rating of “Fair” from a previous seismic survey. OBO approval is pending for assignment of the seismic engineers for the residences still needing assessment. Post also understands that OBO funding for these assessments will not likely be available in one fiscal year and may take multiple years to complete. Post estimates completion of the remaining seismic assessments will cost \$82,000. Target implementation dates will depend on OBO funding availability which has not been confirmed to date.
197	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should inventory, secure, monitor, and register its dedicated internet networks with the Bureau of Information Resource Management.	Embassy Guatemala City	Both Mission Dedicated Internet Networks (DINs) have been inventoried and inspected. They are monitored and registered with the Bureau.
198	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should conduct an assessment of the Bureau of International Narcotics and Law Enforcement Affairs’ wireless dedicated internet network based on the National Institute of Standards and Technology Risk Management Framework and implement appropriate controls to protect the information stored on the network.	Embassy Guatemala City	The Bureau of International Narcotics and Law Enforcement Affairs' Wireless Internet Access was assessed and ultimately disconnected. Post has requested the Department's enterprise WiFi solution instead. It will be centrally managed in Washington, DC.

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199	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should manage its classified and unclassified Active Directory groups in accordance with Department standards.	Embassy Guatemala City	Embassy Guatemala's recent move to ClassNet Regionalization (CNR) has resolved these issues on ClassNet. Information Security personnel have reviewed the file and folder permissions and made the appropriate corrections on the Department's unclassified system. Group Policy Objects have also been implemented to control access to system resources. Account creations are in line with the system administration guide.
200	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should implement standard operating procedures for managing its classified and unclassified networks.	Embassy Guatemala City	System administrators completed Standard Operating Procedures (SOPs) for all networks, incorporating any changes to procedures. The Department's System administration guide serves as a template for these SOPs. With ClassNet Regionalization (CNR).
201	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should conduct annual tests of the information technology contingency plans for unclassified and classified networks in accordance with Department standards.	Embassy Guatemala City	The IT Contingency Plan was updated and a copy was sent via ClassNet. Staff conducted annual testing of the contingency plan on August 28, 2019.
202	Inspection of Embassy Guatemala City, Guatemala	ISP-I-18-16	5/16/2018	Embassy Guatemala City should implement a plan for conducting initial and annual refresher information technology contingency training for employees with information technology contingency planning responsibilities.	Embassy Guatemala City	A comprehensive refresher and skills enhancement training plan has been developed with Management and Human Resources. Many Information Resources Management staff have already completed many of the training priorities identified. Budget has also provided funding for future training. All Information System Security Officers have recently completed information assurance training.
203	Inspection of Embassy Lisbon and Consulate	ISP-I-18-22	5/4/2018	The Bureau of Human Resources should respond to Embassy Lisbon's request for proposed changes to the local compensation plan.	Bureau of Human Resources	Human Resources (HR) continues to work on revisions to the local compensation plan (LCP). The revisions for Sections 12, 13, and 15 are complete. Section 3 (Allowances) - Post's next full review will occur in 2021; Section 4



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	Ponta Delgada, Portugal					(Bonuses) - HR authorized bonus plan revisions via on 10/23/2019 in response to which Post HR requested additional revisions and HR is reviewing the documentation again; Section 5 (Premium pay) - HR authorized bonus plan revisions on 10/23/2019; Section 8 (Leave) - The expected date for implementation is targeted for February 2020; Section 11 (Severance) - The expected date for implementation is targeted for March 2020; and Section 14 (RIF) - HR has not received a formal inquiry from post with any additional information regarding revisions to the RIF plan standard template.
204	Inspection of Embassy Luanda, Angola	ISP-I-17-19	6/1/2017	Embassy Luanda, in coordination with the Bureau of Overseas Buildings Operations, should relocate employees to residences that meet Department fire standards.	Embassy Luanda	Post has begun the process to relocate employees to residences that meet Departmental standards.
205	Inspection of Embassy Luanda, Angola	ISP-I-17-19	6/1/2017	Embassy Luanda should strengthen its controls over residential fuel deliveries in accordance with Department standards to include establishing a receiving clerk to oversee deliveries.	Embassy Luanda	Post has revised standard operating procedures regarding residential fuel deliveries to bring them into sync with Departmental standards. It is also in the process of hiring a clerk to oversee deliveries.
206	Inspection of Embassy Monrovia, Liberia	ISP-I-17-12	5/24/2017	Embassy Monrovia, in coordination with the Bureau of Human Resources, should update its local employee staff human resources handbook and local compensation plan to reflect the Government of Liberia's labor law.	Bureau of Human Resources	The Bureau of Human Resources completed one of the revisions to the local compensation plan (LCP), Change to premium pay, in March 2020. HR is continuing to work with post to prioritize the three remaining revisions to the LCP: 1., Change to mandatory retirement age: this item is not required by law, therefore extensive review is required. 2., Change to local leave plan: currently under review. 3., Local Social Security System participation: review of Section 6 of the LCP has determined that it is incorrect and must be re-authorized in its entirety. All are expected to be completed by March 2020.

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207	Inspection of Embassy Monrovia, Liberia	ISP-I-17-12	5/24/2017	Embassy Monrovia, in coordination with the Bureau of Diplomatic Security, should train Information Management staff in accordance with Department guidance.	Embassy Monrovia	Post sent the completed training certificates for all five Locally Employee Staff members in the Information Systems Center and requested that the recommendation be closed.
208	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should close out its outstanding grants awards in compliance with Department standards.	Embassy Nairobi	For FY 2016, the lone pending grant has a final reports due date of 12/31/19. For FY 2017, Post expects to have all pending close-outs completed by the end of February 2020.
209	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should prepare an annual acquisition plan and obtain input from the U.S. Mission to Somalia on its plan.	Embassy Nairobi	Post provided the OIG with the fiscal year General Services Office procurement cutoff day Management Notice and the additional requested documentation.
210	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should clear its electronic filing backlog in accordance with Department guidelines.	Embassy Nairobi	The General Services Office has addressed the current process but requires significant labor in order to clear the backlog. Post is in the process of adding temporary staff to upload all financial documentation to the e-filing system so that the Contracting Officer may close them out.
211	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should establish a comprehensive corrective action plan to certify all residences for occupancy in accordance with Department standards.	Embassy Nairobi	Post reports that all certifications are now complete.
212	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should renegotiate all residential leases that do not comply with Department guidance and use the model lease as required by Department standards.	Embassy Nairobi	Upon further review, the timeline of 12/31/2020 originally identified in the response is not feasible to bring all leases into compliance. At present, 24 leases are non-compliant with the Model Lease, and 101 are using an old version of the Model Lease. With the planned new development at Rosslyn Ridge (DH3), these 24 will be among the top candidates for leases that can expire, and have residents move to the new DH3 units. The remaining 101 that are using an

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						older version of the model lease will need to be renegotiated and updated with the most current version of the model lease at the time of their expiration. As such, the General Services Office will plan to update all leases in this category to the most current model lease as they expire.
213	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should conduct a utilization survey and sell or dispose of excess inventory in accordance with Department standards, and put up to \$14 million to better use.	Embassy Nairobi	Post has provided the requested documentation of the receipt of sales (OF158) for the auctions held July 2019 and September 2019.
214	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should ensure that all residential inventories are accounted for and documented in its files in accordance with Department guidelines.	Embassy Nairobi	With the exception of the Chief of Mission Residence, all residences have a signed inventory on file. The inventory has been completed for the Chief of Mission Residence but cannot be finalized until a thorough review of representational china is completed.
215	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should terminate official payments for private domestic staff without legally obligating documentation.	Embassy Nairobi	Post submitted the Standard Operating Procedures and evidence of its current practice. Post has not issued any direct payments since 9/30/2019. Post submitted sample voucher which illustrates the methodology used for all current payments.
216	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should review all unliquidated obligations with no activity for over one year, deobligate those that are no longer valid in accordance with Department standards, and put up to \$1.7 million to better use.	Embassy Nairobi	Post provided the OIG with an update on the current status of unliquidated obligations on December 6, 2019.
217	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should submit the hotel and restaurant report for all listed localities in Kenya to the Office of Allowances.	Embassy Nairobi	Post submitted the remaining hotel and restaurant surveys to the OIG on 11/27/19.

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218	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi, in coordination with the Bureau of Diplomatic Security and the Bureau of Information Resource Management, should remediate all physical cybersecurity recommendations from Department reviews.	Embassy Nairobi	Post submitted to the OIG the requested written documentation citing the resolution of all recommendations identified in the recent cyber security review.
219	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should implement its standard operating procedures for managing and tracking mobile devices and encrypted flash drives, as required by Department standards.	Embassy Nairobi	A Standard Operating Procedure is now in place for the managing and tracking of mobile devices and encrypted flash drive assets.
220	Inspection of Embassy Nairobi, Kenya	ISP-I-19-08	10/26/2018	Embassy Nairobi should dispose of obsolete sensitive information processing equipment, in accordance with Department guidance.	Embassy Nairobi	Post provided to the OIG the requested disposal reports.
221	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should implement functional training plans for incumbent and incoming staff in the mission's Political Sections.	Embassy New Delhi	The Political office is preparing a training plan in coordination with the Consulates to ensure all incumbent and incoming officers receive appropriate training.
222	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of International Narcotics and Law Enforcement Affairs, should develop and implement an end-use monitoring plan to conduct required end-use monitoring checks in accordance with Department standards.	Embassy New Delhi	Embassy New Delhi submitted the end-use monitoring (EUM) annual report initially in January 2019. It was finalized, including the Corrective Action Plan, per request on July 12, 2019 to the Bureau of International Narcotics and Law Enforcement Affairs (INL). Mission India staff implemented the EUM via secondary means during November/December 2019 and will submit the EUM annual report to INL by January 31, 2020.
223	Inspection of Embassy New Delhi and	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Counterterrorism and Countering Violent Extremism and the	Embassy New Delhi	This issue is now with the Bureau of Counterterrorism and Countering Violent Extremism (CT). Position descriptions for

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Constituent Posts, India			Bureau of South and Central Asian Affairs, should prepare a position description for the regional counterterrorism coordinator position, number 10262001, that contains an accurate statement of responsibilities.		regional CT coordinators are one of the subjects currently being explored as part of an OIG inspection of the CT bureau. OIG interviewed Political staff on October 9, 2019, and CT staff will speak with CT to provide input on how to better define the role of this position in the section.
224	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	The Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs, in coordination with Embassy New Delhi and the Bureau of South and Central Asian Affairs, should update all public diplomacy position descriptions by implementing the Public Diplomacy Locally Employed Staff Initiative at Mission India in FY 2019.	Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs	In June 2019, the Department of State's Office of Policy, Planning, and Resources for Public Diplomacy and Public Affairs (R/PPR) deployed a subject matter expert (SME) team to Embassy New Delhi and Consulate General Kolkata to begin Mission India's six-month implementation process for the Public Diplomacy (PD) Staffing Initiative. From June to December 2019, the R/PPR team conducted training and planning sessions with public affairs staff mission-wide, supported by R/PPR's training and change management unit in Washington. From December 1-13, additional R/PPR SME teams deployed to Embassy New Delhi and all four consulates; these teams held individual and group preparation sessions with all American officers and locally employed (LE) staff, helping all five posts revise their PD organizational charts. R/PPR will now work with Mission India to write new position descriptions for all PD Locally Employed staff positions, mission-wide. Throughout this process, R/PPR also worked separately with each post to draft job descriptions for, and classify, remaining vacant positions, in order to help Mission India fill those positions as expeditiously as possible, thus avoiding staffing gaps during PD Staffing Initiative implementation.
225	Inspection of Embassy New	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Office of Policy, Planning, and	Embassy New Delhi	The Public Affairs Section magazine (SPAN) team attended the training module on Audience

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	Delhi and Constituent Posts, India			Resources for Public Diplomacy and Public Affairs and the Bureau of International Information Programs, should develop audience and impact metrics for the Public Affairs Section's magazine, SPAN.		Analysis with the Public Diplomacy Policy Planning and Resources delegation that visited Delhi as part of the Public Diplomacy Staffing Modernization Initiative (PDSMI). Online survey rollout in three languages occurred October 15, 2019 and the survey will run for several months. The print surveys will be published with the November-December editions. Analysis and reporting should be completed by March 2020.
226	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should provide a closed-circuit TV monitoring system or other means to enable consular managers to visually observe activities at nonimmigrant and immigrant visa windows within the Consular Section.	Embassy New Delhi	Post has not yet received an official response from the Bureau of Diplomatic Security (DS). Therefore, Post does not anticipate a timely response from DS either concurring with or objecting to the recommendation. Consular managers continue to conduct regular, unscheduled walk-throughs of all interviewing windows.
227	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should reconcile transactions in the Suspense Deposit Abroad account in accordance with Department guidelines, and put funds of \$65,772 to better use.	Embassy New Delhi	The remaining \$3,973 is an outstanding refund of excise duty on the destruction of two armored vehicles on which post is regularly following up with the Ministry of Finance.
228	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should clear overdue travel advances in accordance with Department guidelines, and put funds up to \$52,385 to better use.	Embassy New Delhi	The \$52,385 in overdue travel advances was fully cleared and as of October 2019, Post had approximately \$3,000 in outstanding advances over 30 days old. Management Policy #8 on travel advances is currently under rewrite.
229	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of South and Central Asian Affairs and the Bureau of the Comptroller and Global Financial Services, should reprogram additional Diplomatic and Consular Program-funded Information	Embassy New Delhi	In the August 2019 meeting, the International Cooperative Administrative Support Services (ICASS) Council approved the reprogramming of one Information Management Specialist (IMS) position valued at \$81,331 from Program to ICASS, effective FY 2020. The position will be

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
				Management positions to International Cooperative Administrative Support Services-funded positions in order to put funds of \$81,331 per position to better use.		budgeted for in ICASS during the initial FY 2020 budgets.
230	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should bring its motor vehicle fleet into compliance with Department standards.	Embassy New Delhi	The remaining vehicle identified during the OIG inspection may not be subject to the airbag requirement as it may be classified as a Class 4 Medium Truck based on its gross vehicle weight rating (GVWR). Consulate Hyderabad is working with Overseas Buildings Operations' Safety, Health, and Environmental Management (SHEM) Risk Analysis and Management Office for a definitive answer to the requirement.
231	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi, in coordination with the Bureau of Overseas Buildings Operations, should implement an action plan to resolve the mission's safety, health, and environmental management deficiencies and bring the program into full compliance with Department standards.	Embassy New Delhi	The Safety, Health, and Environmental Management (SHEM) visits have been rescheduled due to delays in Indian visa issuance to the SHEM inspectors. The Hyderabad, Kolkata, and Mumbai visits were completed on September 16-October 2, 2019. The New Delhi and Chennai visits are tentatively rescheduled for March 2020.
232	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should submit design plans to the Bureau of Overseas Buildings Operations for the unapproved modifications to property numbers X9990, X9991, X9992, X9993, X9999, and X29001 in Kolkata, and R1007 and R1012 in Mumbai.	Embassy New Delhi	On October 4, 2019, Consulate Kolkata provided Bureau of Overseas Building Operations (OBO) with the drawings of the modified property. Post is in the process of obtaining funding for the contracting of an Architectural & Engineering company (A&E) for drawings of the original building. Once ready, these drawings will be submitted to OBO for permit processing of current and past projects.
233	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	The Bureau of Overseas Buildings Operations should conduct a technical review of the design plans for property numbers X01021 in New Delhi; X9990, X9991, X9992, X9993, X9999, and	Bureau of Overseas Buildings Operations	The Bureau of Overseas Building Operations (OBO) memo rejecting the permit request for the New Delhi property X10201 was signed on July 1, 2019. However, the formal cable to Mission India is still pending final decisions on the other

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				X29001 in Kolkata; and R1007 and R1012 in Mumbai, in accordance with Department standards, and work with Embassy New Delhi to remedy any modifications that OBO is unable to retroactively approve.		<p>properties in Kolkata.</p> <p>With regards to the Kolkata properties, post recently provided OBO with the design drawings for review and requested funding for an Architectural &amp; Engineering (A/E) firm to produce as-built drawings to process permit for the modifications of the properties. OBO has entered this request and is waiting for availability of funds. Mumbai has informed OBO that all issues concerning the properties in question have been resolved.</p> <p>In November 2019, funding in the amount of \$20,000 was provided to post for the Kolkata A/E firm. OBO is awaiting the next analysis from OIG, however OBO anticipates that this recommendation either be closed or reassigned to Post.</p>
234	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should bring its method and procedures for procuring fuel from the American Community Support Association into compliance with the Federal Acquisition Regulation.	Embassy New Delhi	Embassy New Delhi began discussions with the Office of Procurement Executive (A/OPE) in August 2019 regarding the procurement waiver process. Post is now collecting the necessary data and will submit a class exception waiver to A/OPE by November 30, 2019 to formally allow the purchase of fuel from the American Community Support Association (ACSA).



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235	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should implement a detailed plan that includes a cost-benefit analysis to consolidate its two print and graphics operations.	Embassy New Delhi	Since the print shop consolidation was proposed, justified, and approved by the International Cooperative Administrative Support Services (ICASS) Council over two years ago, all in-house Mission India print jobs have been recorded in MyServices. All agencies at all Mission India posts are able to utilize in-house professional printing services. The workload counts for Financial Management Center and General Services Office/Procurement for printing purchase requests/orders have greatly decreased.
236	Inspection of Embassy New Delhi and Constituent Posts, India	ISP-I-19-10	12/21/2018	Embassy New Delhi should annually test the information technology contingency plans for the unclassified and classified networks in the embassy and Consulate General Hyderabad in accordance with Department standards.	Embassy New Delhi	A test of the classified network took place on May 10, 2019, and a test of the unclassified network took place on November 13, 2019. The Standard Operating Procedures now include annual tests of the IT contingency plans.
237	Inspection of Embassy Riyadh and Constituent Posts, Saudi Arabia	ISP-I-18-17	5/11/2018	Embassy Riyadh, in coordination with the Bureau of the Comptroller and Global Financial Services, should implement procedures to require U.S. direct-hire employees to comply with Department of State Standard Regulation 532 a)2) and collect overpayments made in cases of non-compliance.	Embassy Riyadh	Post received the list from OIG and is currently verifying whether or not eligible family members remained at Post, which would impact the allowance payment. Post will coordinate with the Bureau of the Comptroller and Global Financial Services to determine if any of the individuals are still employed with the Department, and update on progress in the next response.
238	Inspection of Embassy Riyadh and Constituent Posts, Saudi Arabia	ISP-I-18-17	5/11/2018	Embassy Riyadh, in coordination with the Bureau of Near Eastern Affairs, and the Bureau of the Comptroller and Global Financial Services, should reprogram at least one program-funded information management position to an International Cooperative Administrative Support Services-funded position to realize funds put to better use of up to \$153,480.	Embassy Riyadh	Post anticipates completion with the FY 2020 mid-year International Cooperative Administrative Support Services (ICASS) submission.

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239	Inspection of Embassy Riyadh and Constituent Posts, Saudi Arabia	ISP-I-18-17	5/11/2018	Embassy Riyadh, in coordination with the Bureau of Human Resources, should determine the per employee cost of the homeward passage benefit and pay it to eligible employees through the payroll system to realize funds put to better use of \$361,773.	Embassy Riyadh	Post completed the calculation, received clearance, and is in the process of obtaining approval from the funding bureaus for the Local Compensation Plan update. Post anticipates the benefit to be paid February 21, 2020.
240	Inspection of Embassy Tel Aviv, Israel	ISP-I-17-20	5/5/2017	Embassy Tel Aviv, in coordination with the Bureau of Overseas Buildings Operations, should sell the Hassadot property and put the \$8 million in estimated sales proceeds to better use.	Embassy Tel Aviv	The Department responded on 12/13/19 indicating the sale is complete; however, due to local bureaucratic issues, payment has been postponed. Post has engaged the Ministry of Foreign Affairs (MFA) for assistance resolving the matter. Post is standing by for a decision from the MFA, after which the payment will be processed. Documentation will be provided once the sale is completed.
241	Inspection of Embassy Tel Aviv, Israel	ISP-I-17-20	5/5/2017	Embassy Tel Aviv should comply with the Department's Motor Vehicle Safety Management Program policy to not exceed the daily 10-hour limit on duty shifts.	Embassy Tel Aviv	In the Department's 12/13/19 response, it indicated shifting driver schedules and working with customers to avoid exceeding 10 hours on the road. Much of the overtime and weekend hours relate to VIP visit support needs, and Post has focused on those components within its immediate control by supporting long days with multiple drivers and denying requests that would result in excess of 10 hours.
242	Inspection of Embassy Tel Aviv, Israel	ISP-I-17-20	5/5/2017	Embassy Tel Aviv should update all outdated locally employed staff position descriptions.	Embassy Tel Aviv	Embassy Jerusalem continues to make progress in reviewing the backlog of outdated position descriptions and expects to eliminate the backlog by the end of FY 2020.

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243	Inspection of Emergency Preparedness at Consulate General Hamilton, Bermuda	ISP-I-17-26	5/23/2017	Consulate General Hamilton, in coordination with the Regional Information Management Center in Ft. Lauderdale, should repair or replace the high-frequency radio at its alternate command center.	Consulate General Hamilton	During his December visit, the regional Information Management Officer photographed the proposed location of the antenna/flagpole. On January 2, 2020, the Regional Facilities Manager (R/FM) and the chief of the Regional Information Management Center (RIMC) Florida Radio Branch met in Florida. On January 3, 2020, R/FM spoke to the proposed vendor, who will provide the specifications for the necessary civil works. The proposed antennae/flagpole is rated for 60-90 MPH winds with no flag, but can be removed if higher winds are forecast. Funding for civil works is at Post. R/FM will confirm with the Bureau of Information Resources Management and RIMC Florida that the vendor-proposed model will meet the requirements.
244	Inspection of the Bureau of African Affairs	ISP-I-18-01	10/19/2017	The Bureau of African Affairs, in coordination with the Office of the U.S. Global AIDS Coordinator and Health Diplomacy, should update the President's Emergency Plan for AIDS Relief agreement to define roles and responsibilities for funds control, staffing, and financial reporting.	Office of the U.S. Global AIDS Coordinator and Health Diplomacy	The Office of the U.S. Global AIDS Coordinator and Health Diplomacy (S/GAC) signed a Memorandum of Understanding (MOU) with the Bureau of African Affairs on 12/18/2019. Beginning 3/1/2020, S/GAC will allot funds directly to Post and manage/oversee funds, rather than routing through a regional bureau. This is reliant on S/GAC receiving access to all necessary systems, as described in the MOU.
245	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should document its foreign assistance business processes, including administrative responsibilities and internal control procedures for project planning, funds management, human resources, contract and grants management, and risk management.	Bureau of African Affairs	The Bureau of African Affairs (AF) sent the Standard Operating Procedures (SOP) for the Bureau's Ambassador's Special Self Help Fund and Africa Regional Democracy Fund on December 23, 2019. AF will transmit to OIG the SOPs for its security-related programs.

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246	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should identify duplicative and fragmented administrative functions related to monitoring and evaluation, invoice reviews, and procurement, and consolidate functions to improve program efficiency.	Bureau of African Affairs	The Bureau of African Affairs (AF) confirms that the two positions identified in its correspondence have been filled. AF has consolidated its four Monitoring and Evaluation (M&E) Task Orders into one. This consolidation, along with ongoing efforts to centrally fund all Peacekeeping Operations-funded M&E Specialists creates efficiencies by removing program stovepipes. Based on these actions, AF requested that OIG close this recommendation.
247	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should develop a bureau-wide process to reclassify foreign assistance funds before the funds cancel.	Bureau of African Affairs	The Bureau of African Affairs (AF) sent the new Standard Operating Procedure (SOP) for expiring Economic Support Funds to the OIG on December 23, 2019. The reclassification/reprogramming process can take several months to complete and requires collaboration and action from several entities across AF. According to the new process, program offices identify funds that can be reclassified/reprogrammed. AF requested that OIG close this recommendation.
248	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should implement mechanisms to track information on foreign assistance programs and provide financial and program reports to the bureau's senior leadership on core program management responsibilities.	Bureau of African Affairs	The Bureau of African Affairs (AF) maintains performance monitoring for all security assistance projects under AF's management. It regularly tracks relevant financial and other information on project implementation, including, for example, implementer, location, periods of performance, and strategic alignment. This information is combined with regular situational updates from implementers in their quarterly reports for bureau leadership. Additionally, AF is piloting an online data sharing platform called the Bureau of African Affairs Security Assistance Environment. The platform functions as a performance management tool, program coordination mechanism, and

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						collaboration and information sharing space for interagency members. AF is assessing the best solution to meet this requirement.
249	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should implement risk management policies and procedures for foreign assistance that incorporate measures to further reduce the likelihood that foreign assistance will inadvertently finance terrorist activities.	Bureau of African Affairs	On October 28, 2019, the Bureau of African Affairs (AF) transmitted its Terrorism Financing Risk Assessment Policy and Guide, developed in coordination with the Bureaus of Administration and Global Public Affairs, as well as the Office of the Legal Adviser. Based on this action, AF requested that the OIG close this recommendation.
250	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs, in coordination with the Bureau of Administration, should develop a customized risk assessment template for Federal financial assistance to accurately assess and mitigate risks specific to programs in Africa.	Bureau of African Affairs	On October 28, 2019, the Bureau of African Affairs (AF) transmitted its Terrorism Financing Risk Assessment Policy and Guide, developed in coordination with the Bureaus of Administration and Global Public Affairs, as well as the Office of the Legal Adviser. Based on this action, AF requested that the OIG close this recommendation.
251	Inspection of the Bureau of African Affairs' Foreign Assistance Program Management	ISP-I-18-02	10/26/2017	The Bureau of African Affairs should complete required monitoring and evaluation reports, as specified in individual Federal assistance monitoring plans.	Bureau of African Affairs	The Bureau of African Affairs (AF) completed the monitoring and evaluation reports Stipends for the Somali National Army, Suuqa: The Marketplace-Building Countering Violent Extremism in Somalia, Strengthening Community Resiliency Against Al-Shabaab and Other Fighting Forces. AF has been working to obtain the remaining reports and intends to submit these as soon as possible.

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252	Inspection of the Bureau of Consular Affairs, Office of Consular Systems and Technology	ISP-I-17-04	12/9/2016	The Bureau of Consular Affairs should direct the Office of Consular Systems and Technology to review the \$18.54 million of unliquidated obligations for contracts that require close out and document justifications of remaining prior year balances.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) explained that approximately \$177K of the \$18.54M remains unobligated, and that the Office of Consular Systems and Technology is waiting for the work to be performed before the contractor will invoice. The timeline is being refined to include full testing of the planned technical solution to resolve associated application issues. A full, refined schedule for this work is expected by April 30, 2020.
253	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of Administration, should finalize a service level agreement clarifying the Bureau of Administration's responsibilities for grants support.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Bureau of Administration, Office of the Procurement Executive on drafting a service-level agreement outlining the Bureau of Administration's responsibilities for grants support.
254	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor should modify its grants processes to accurately record expenditures in the Department's financial system.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Comptroller General's office to ensure the Department's Payment Management System, owned by the Department of Health and Human Services, accurately records expenditures on Federal Assistance Awards.

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255	Inspection of the Bureau of Democracy, Human Rights, and Labor's Foreign Assistance Program Management	ISP-I-19-12	10/29/2018	The Bureau of Democracy, Human Rights, and Labor, in coordination with the Bureau of the Comptroller and Global Financial Services and the Office of the Legal Adviser, should determine whether grants payment irregularities identified for award number S-LMAQM-17-CA-1232 constitute a violation of the Anti-Deficiency Act and, if so, report the violation.	Bureau of Democracy, Human Rights, and Labor	The Bureau of Democracy, Human Rights, and Labor (DRL) continues to work with the Comptroller General's office to ensure the subject award was not Anti-Deficient and is also working with the Comptroller General's office and the Legal Adviser on the appropriate documentation to show DRL was not Anti-Deficient on the subject award LMAQM-17-CA-1232.
256	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management, in coordination with the Bureau of Human Resources, should update all employee-supervisor assignments to reflect current roles and responsibilities within the Office of Governance, Resource, and Performance Management.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) Office of Business Management and Planning (BMP) is undergoing a realignment to meet the recommendations listed in the Human Resources study. The realignment will address this issue. BMP aims to complete the realignment by the end of Q2 FY 2020.
257	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should implement a tool to centrally track information technology software acquisitions Department-wide.	Bureau of Information Resource Management	Full implementation is scheduled for calendar year 2020. The Bureau of Information Resource Management (IRM) will provide OIG supporting documentation upon completion.

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258	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should implement procedures to centrally authorize and register Dedicated Internet Networks.	Bureau of Information Resource Management	The Bureau of Information Resource Management (IRM) is working on an alternative solution to address Dedicated Internet Network (DIN) requirements within the Department. The recommendation to leadership has been to halt or eliminate DIN usage and replace with an alternative IT solution such as IaaS and other emerging or existing technologies. IRM will provide notification to the department once these solutions have been thoroughly piloted.
259	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should implement procedures to ensure regularly scheduled reviews and updates to the Department's information technology management policies and procedures in Volume 5 of the Foreign Affairs Manual and its associated Foreign Affairs Handbooks.	Bureau of Information Resource Management	The realignment package should be delivered to Human Resources by the end of FY 2020 Q2.
260	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should revise and promulgate the bureau process for timely handling of responses to U.S. Government Accountability Office recommendations.	Bureau of Information Resource Management	The Bureau of Information Resource Management's Office of Business Management and Planning (BMP) proposed a realignment package for the Government Accountability Office functions to be elevated from BMP to the Principal Deputy Chief Information Officer. This will provide better oversight and ensure prompt reporting. BMP is expected to meet completion date as previously stated by end of Q3 FY 2020.



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261	Inspection of the Bureau of Information Resource Management's Office of Governance, Resource, and Performance Management	ISP-I-18-15	4/24/2018	The Bureau of Information Resource Management should update all Department guidance to reflect the Office of Governance, Resource, and Performance Management's responsibility for the Information Technology Configuration Control Board.	Bureau of Information Resource Management	Following the recommendations of the Human Resources study of Office of Governance, Resource and Performance Management, the IT Configuration Control Board (ITCCB) will be transferred to the Office of Enterprise Architecture, which falls under the authority of the Principal Deputy Chief Information Officer. The Bureau of Information Resource Management (IRM) expects this transfer to take place by end of calendar year 2020. The new IT CCB Charter has been drafted and is in final clearance. IRM's office of Business Management and Planning expects the ITCCB Charter to be cleared and implementation to start by Q3 FY 2020. The new charter, when published will show the Principal Deputy Chief Information Officer's management responsibility for the Information Technology Configuration Control Board.
262	Inspection of U.S. Mission to Somalia	ISP-I-19-09	10/29/2018	The Bureau of Administration, in coordination with the U.S. Mission to Somalia and the Bureau of African Affairs, should finalize all risk mitigation plans for third-party contracts as required by Department guidance.	Bureau of Administration	The Bureau of Administration continues to work with stakeholders to generate and issue risk mitigation plans for covered contracts. Several plans will be completed during Q2 FY 2020, and the Bureau of Administration hopes to complete all risk mitigation plans by end of FY 2020 to close this recommendation.
263	Management Assistance Report: Action Still Needed To Update the Department's Standards of Conduct as They Relate to Trafficking in Persons and To	AUD-ACF-15-43	9/15/2015	OIG recommends that the Under Secretary for Civilian Security, Democracy, and Human Rights provide a plan of action to complete corrective actions, including appropriate milestones, to address Recommendations 1 and 2 from Audit Report AUD/IP-12-02.	Under Secretary for Civilian Security, Democracy, and Human Rights	To address Recommendation 1 from Audit Report AUD/IP-12-02, the Department updated 3 FAM 4377 (50) and 3 FAM 4546 (48). To address Recommendation 2 from Audit Report AUD/IP-12-02, the Office to Monitor and Combat Trafficking in Persons (J/TIP) will continue to work with Human Resources (HR/ER/CSD), the entity authorized to update the FAM on issues related to Standards for Appointment and Continued Employment, with a

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	Comply With a Related Recommendation					target date of end FY 2020 to have draft revised 3 FAM 4130 in clearance. J/TIP will follow up with HR/ER/CSD on a quarterly basis on the progress of HR/ER/CSD's review and clearances with other stakeholders.
264	Management Assistance Report: Challenges Remain in Monitoring and Overseeing Antiterrorism Assistance Program Activities in Pakistan	AUD-MERO-17-37	5/31/2017	OIG recommends that the Bureau of Counterterrorism, in coordination with the Bureau of Diplomatic Security, implement a monitoring and evaluation system to include measuring performance in accordance with the requirements outlined in the Department's Performance Management Guidebook and the Memorandum of Agreement executed between the Bureaus of Counterterrorism and Diplomatic Security's Office of Antiterrorism Assistance.	Bureau of Counterterrorism and Countering Violent Extremism	The Bureau of Counterterrorism and Countering Violent Extremism (CT) worked with the Bureau of Diplomatic Security to develop and implement a comprehensive monitoring and evaluation (M&E) system in compliance with the Department's Program Design and Performance Management policy (18 FAM 300). CT included DS's Monitoring Summary, finalized in FY 2019, which details plans for measuring performance, in previous correspondence with the OIG. CT and DS have not implemented any training assistance for the Government of Pakistan since March 2018, and for this reason have yet to apply the M&E system in Pakistan.
265	Management Assistance Report: Contract Administration Practices Involving the Construction of the New Office Compound Taipei, Taiwan, Require Attention	AUD-SI-18-34	3/20/2018	OIG recommends that the Office of Acquisitions Management develop a plan to issue an annual reminder to Contracting Officers that, if a contractor requests the issuance of a Limited or final Notice to Proceed, the Contracting Officer should consult with the Contracting Officer's Representative and formally respond to the contractor's request by either authorizing the construction project to proceed or notify the contractor in writing the reasons why the Notice to Proceed is being withheld and the actions the contractor needs to complete before the Notice to Proceed can be granted.	Office of Acquisitions Management	The Bureau of Administration is working with a vendor to create and deliver new internal trainings for employees, inclusive of topics identified by OIG and GAO (e.g., limited and final notice to proceed). The first session is expected to be delivered in Q3 FY 2020. The first draft will be delivered in March 2020 for internal review, and the Bureau of Administration will provide OIG updated milestones for delivery of the training based on the preliminary review.  Delivery of the new training to employees is expected to close this recommendation.

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266	Management Assistance Report: Contract Administration Practices Involving the Construction of the New Office Compound Taipei, Taiwan, Require Attention	AUD-SI-18-34	3/20/2018	OIG recommends that the Office of Acquisitions Management develop and implement a process that notifies Assessing Officials and Assessing Official Representatives when contractor performance evaluations are due and ensures the evaluations are recorded and approved in the Contractor Performance Assessment Reporting System in accordance with the Federal Acquisition Regulation.	Office of Acquisitions Management	The Bureau of Administration's Office of Procurement Executive (A/OPE) issued internal policy guidance in May 2019 to reflect system enhancements to the global financial management system completed in April 2019. In the November 2019 compliance update to OIG, A/OPE provided documentation to support closing the recommendation.
267	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$3.55 million in questioned basic daily food allowance costs that OIG identified under the base year of Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721 was allowable per the contract terms and conditions, and (b) recover all costs determined to be unallowable.	Office of Acquisitions Management	The Bureau of Administration determined the \$3.55 million in questioned costs to be allowable and provided the determination and supporting documentation to the OIG. This action should close the recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
268	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$37.4 million in questioned basic daily food allowance costs paid to PAE Government Services, Inc. during option years 1, 2, and 3 of Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721 as identified by OIG are allowable, and (b) recover any costs determined to be unallowable.	Office of Acquisitions Management	The Bureau of Administration determined the \$37.4 million in questioned costs to be allowable and provided the determination and supporting documentation to the OIG. This action should close the recommendation.
269	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management use contractual remedies under Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721 and seek adjustment from PAE Government Services, Inc. for relieving them of the requirement to implement the point-of-sale cafeteria system, pending the viability assessment from Recommendation 6.	Office of Acquisitions Management	The Bureau of Administration issued a modification on December 2, 2019 to complete this action. The documentation was provided to OIG and should close the recommendation.
270	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management establish a contractual requirement for PAE Government Services, Inc. to maintain records of dining facility access by individuals not serving under chief of mission authority in Iraq.	Office of Acquisitions Management	The Bureau of Administration issued a modification on December 2, 2019 to complete this action. The documentation was provided to OIG and should close the recommendation.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
271	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management (a) determine whether the \$4.1 million in questioned costs for unauthorized meals paid to PAE Government Services, Inc. under Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721 as identified by OIG are allowable and (b) recover any costs determined to be unallowable.	Office of Acquisitions Management	The Bureau of Administration intends to issue a determination by February 28, 2020.
272	Management Assistance Report: Cost Controls for Food Services Supporting Department of State Operations in Iraq Require Attention	AUD-MERO-18-31	3/5/2018	OIG recommends that, if the Bureau of Administration, Office of Logistics Management, Office of Acquisitions Management does not seek reimbursement for \$4.1 million in unauthorized meals paid to PAE Government Services, Inc. in response to Recommendation 12, the Procurement Executive at the Bureau of Administration, Office of the Procurement Executive implement ratification procedures in accordance with Department of State Acquisition Regulations 601.602-3-70, "[Ratification] Procedures," for Baghdad Life Support Services contract SAQMMA13D0120 food services task order SAQMMA14F0721.	Office of the Procurement Executive	The Bureau of Administration intends to issue a determination by February 28, 2020.
273	Management Assistance Report: Deficiencies Reported in Cyber Security Assessment Reports	ISP-17-39	7/26/2017	The Bureau of Diplomatic Security, in coordination with the Bureau of Information Resource Management and regional bureaus, should require implementation of Cyber Security Assessment report recommendations and establish a process to track and verify that	Bureau of Diplomatic Security	As of August 2019, the Bureau of Diplomatic Security (DS) is developing a tool to comply with this recommendation that it plans to deploy by April 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Remain Uncorrected			overseas posts comply with those recommendations.		
274	Management Assistance Report: Department Can Take Steps Toward More Effective Executive Direction of Overseas Missions	ISP-17-38	7/26/2017	The Director General of the Foreign Service and Director of Human Resources should issue additional Foreign Affairs Manual and Foreign Affairs Handbook guidance requiring all chiefs of mission and deputy chiefs of mission to implement structured First- and Second-Tour employee programs in collaboration with First- and Second-Tour employees at their posts.	Bureau of Human Resources	In its 10/24/2019 compliance update, Human Resources (HR) outlined progress made on revising relevant sections of the Foreign Affairs Manual / Foreign Affairs Handbook (FAM/FAH). The FAM/FAH revisions are currently being circulated for Department-wide clearance. Once published, HR will provide a copy of the policy to the OIG.
275	Management Assistance Report: Department of State Conference Reporting	AUD-CGI-17-07	10/27/2016	OIG recommends that the Under Secretary for Management, Office of Management Policy, Rightsizing, and Innovation, develop and disseminate formal guidance regarding registering, approving, and reporting conferences in compliance with Federal requirements.	Major Events and Conferences Staff	Upon receipt from the Office of Management Strategy & Solutions (formerly Management Policy, Rightsizing, and Innovation), the Major Events and Conferences Staff (M/MECS) edited the formal guidance draft for the Foreign Affairs Manual / Foreign Affairs Handbook, and submitted it to the Office of the Under Secretary for Management (M) for review. Once M/MECS is formally merged with Protocol (S/CPR), the office will work with M to ensure the guidance is cleared through appropriate channels.
276	Management Assistance Report: Department of State Conference Reporting	AUD-CGI-17-07	10/27/2016	OIG recommends that the Under Secretary for Management, Office of Management Policy, Rightsizing, and Innovation, develop and implement a communications strategy to periodically keep Department of State personnel informed of conference registration, approval, and reporting requirements to ensure the integrity of the data is accurately reported and errors are corrected in a timely manner.	Major Events and Conferences Staff	Upon receipt from the Office of Management Strategy & Solutions (formerly Management Policy, Rightsizing, and Innovation), the Major Events and Conferences Staff (M/MECS) have made improvements to the Conference Tracking and Approval System (CTAS) by developing a separate track to report actual expenses, a new automated e-mail, and additional guidance for users. In addition, M/MECS updated its Diplopedia entries and new CTAS home page, and created a new email group to field user questions. Once M/MECS is formally merged

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
						with Protocol (S/CPR), the office will work with the Under Secretary for Management to execute a comprehensive CTAS communications plan, including updates to the Foreign Affairs Manual / Foreign Affairs Handbook, a Department Notice, cable, and stakeholder briefings.
277	Management Assistance Report: Department of State Has Not Implemented the Required Value Engineering Program for Contracts Exceeding \$5 Million	AUD-CGI-18-52	8/9/2018	OIG recommends that the Office of the Under Secretary for Management, once it has designated a Senior Accountable Official (Recommendation 1), consult with the designated official to develop and implement a Department-wide value engineering program and develop and implement guidelines and policies and procedures for the program, in accordance with Office of Management and Budget Circular A-131 requirements.	Office of the Under Secretary for Management	The Office of Acquisitions Management (AQM) is continuing to develop a Department-wide Value Engineering (VE) Program. The Department plans to develop a final version of VE policy, brief the policy to major stakeholders, and issue a Department Notice announcing the VE program in FY 2020. As part of the VE program, the Department will have developed and made available a VE reporting tool for use by all bureaus.
278	Management Assistance Report: Dispersal of Contracting Officer Representatives Creates Oversight Challenges	ISP-I-18-33	8/14/2018	The Bureau of Administration should issue guidance to require contracting officer representatives to maintain contract files in the electronic contracting officer representative filing system within 180 days of the final issuance of this report.	Bureau of Administration	The Bureau of Administration has developed a policy covering Contracting Officer Representative (COR) and Contracting Officer (CO) eFiling. The policy is in process of Department review and clearance, and the Bureau of Administration is targeting end of second quarter FY 2020 to issue a Procurement Information Bulletin mandating eFiling.  Regarding the system component of the recommendation, an automated eFiling solution is currently available worldwide and the Bureau of Administration continues to train end users to effectively use the system and ensure user profiles are appropriate for each overseas location.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
279	Management Assistance Report: Incorporation of Clause Requiring Contractor Cooperation with the Office of Inspector General	ESP-18-02	3/1/2018	<p>The Bureau of Administration, Office of the Procurement Executive, should amend the Department of State Acquisition Regulation to require contracting officers to include a provision requiring contracting officers to insert a clause in all current and future contracts stating:</p> <p>“(a) This contract incorporates by reference 1 FAM 053.2-5, which mandates the contractor’s and/or any subcontractor’s duty to cooperate fully with Office of Inspector General personnel.</p> <p>(b) Cooperation includes:</p> <p>(1) Complete, prompt, and free access to all files (in any format), documents, premises, and employees, except as limited by law, including access to records, premises, and employees;</p> <p>(2) Statements, both oral and written, including statements under oath or affirmation;</p> <p>(3) Technical consultation, examination, and assistance regarding information or evidence being collected or developed;</p> <p>(4) Such other information and assistance as may be requested in order to complete the OIG activity.”</p>	Office of the Procurement Executive	The Department currently has a draft rule that has cleared Office of Management and Budget (OMB) review. The Bureau of Administration is currently conducting due diligence to ensure the draft remains in the Department's interest. Once completed, the proposed rule will be published in the Federal Register for public comment. The Bureau of Administration hopes to meet OMB deadlines to make the Spring agenda period. The Department expects to publish the final rule by December 31, 2020. This action is expected to close the recommendation.
280	Management Assistance Report: The Bureau of Diplomatic Security’s Office of Training and Performance Standards Should Improve Property Management Over	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards, in coordination with the Bureau of Diplomatic Security, Countermeasures Directorate, Office of Physical Security Programs, Defensive Equipment and Armored Vehicle Division, complete and implement an action plan to record accountable property contained in the high-	Bureau of Diplomatic Security	In July 2018, the Bureau of Diplomatic Security (DS) inventoried and recorded the high-threat kit accountable property in stock at the interim training facility, and inventoried and processed new kits in October 2018. In January 2019, DS requested information on all personal protective equipment issued to agents. Using a DS Broadcast for its annual special protective equipment (SPE) inventory, on September 26, 2019, DS requested data for all tactical helmets, body armor, and GPS units to DS agents



#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Equipment Provided During High-Threat Training			threat kits that are on hand, along with any new kits delivered, into the Department's Integrated Logistics Management System-Asset Management application, as required by Volume 14 of the Foreign Affairs Manual, Section 421.1(c), within 30 days after this Management Assistance Report has been issued.		worldwide in conjunction with the FY 2019 Annual SPE inventory review. DS found that some of the self-reported data did not match information recorded on issuing documents and therefore did not input it to the Department's Integrated Logistics Management System-Asset Management application. As of March 2020, DS is awaiting the OIG Follow-up Review to determine if the intent of the recommendation has been met or if additional action is necessary.
281	Management Assistance Report: The Bureau of Diplomatic Security's Office of Training and Performance Standards Should Improve Property Management Over Equipment Provided During High-Threat Training	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards, perform a physical inventory of its accountable property, as required by Volume 14 of the Foreign Affairs Manual, Section 426, once an action plan for recording this property (Recommendation 1) has been completed.	Bureau of Diplomatic Security	In July 2018, the Bureau of Diplomatic Security (DS) inventoried and recorded the high-threat kit accountable property in stock at the interim training facility, and inventoried and processed new kits in October 2018. These kits were then issued to high threat students and recorded. As of March 2020, DS is awaiting the OIG Follow-up Review to determine if the intent of the recommendation has been met or if additional action is necessary.
282	Management Assistance Report: The Bureau of Diplomatic Security's Office of Training and Performance Standards Should Improve Property Management Over	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards (TPS), in coordination with the Bureau of Diplomatic Security, Countermeasures Directorate, Office of Physical Security Programs, Defensive Equipment and Armored Vehicle Division, complete its joint effort to develop and implement a process to manage	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) has implemented a process to manage accountable property in the high-threat kits. DS will track and validate the issuances, expiration dates, and return of kit equipment in the Individual Issuance module with Department's Integrated Logistics Management System-Asset Management application. Equipment issued to an agent will be recorded in Individual Issuance along with the expiration date so DS can replace as necessary.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Equipment Provided During High-Threat Training			accountable property in the high-threat kits in accordance with requirements in Volume 14 of the Foreign Affairs Manual, Sections 420 and 426, and the Property Accountability requirements in Section 5-25 of TPS's Standard Operating Procedures. This process should (a) identify and record all accountable property in high-threat kits when the kits have been received and issued and returned and (b) provide steps for performing annual inventories.		In addition, DS will conduct an annual inventory on all accountable high-threat components issued through Individual Issuance. As of March 2020, DS is awaiting the OIG Follow-up Review to determine if the intent of the recommendation has been met or if additional action is necessary.
283	Management Assistance Report: The Bureau of Diplomatic Security's Office of Training and Performance Standards Should Improve Property Management Over Equipment Provided During High-Threat Training	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards, in coordination with the Bureau of Diplomatic Security, Countermeasures Directorate, Office of Physical Security Programs, Defensive Equipment and Armored Vehicle Division, develop and implement an action plan to develop procedures that require property issued to employees for an extended period of time comply with charge out policies included in Volume 14 of the Foreign Affairs Manual, Section 425.3-4(c). At a minimum, these procedures should include recording data related to issuing the property (e.g., the date of issuance, the name and signature of the individual responsible for the property, and the item serial numbers, as appropriate) and factors related to performing periodic checks on location or condition of the property and on returning the equipment (e.g., the date of return and the condition of the property).	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) has revised the Standard Operating Procedures that includes procedures related to the issuance of property and periodic checks on location or condition of the property and on returning the equipment. As of March 2020, DS is awaiting the OIG Follow-up Review to determine if the intent of the recommendation has been met or if additional action is necessary.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
284	Management Assistance Report: The Bureau of Diplomatic Security's Office of Training and Performance Standards Should Improve Property Management Over Equipment Provided During High-Threat Training	AUD-SI-18-49	8/10/2018	OIG recommends that the Bureau of Diplomatic Security, Training Directorate, Office of Training and Performance Standards (TPS), develop and implement an action plan to identify and record accountable property previously purchased and charged out or issued to employees from October 2015 to the present. This plan should include a reconciliation of the items to the invoices of items purchased to ensure completeness and should detail how TPS will update the asset management systems with information on accountable property.	Bureau of Diplomatic Security	In September 2018, the Bureau of Diplomatic Security (DS) has taken a baseline inventory of tactical helmets, body armor, and GPS units issued to agents. In February 2019, the Office of Training and Performance Standards reconciled the data with the hard-copy issue forms in historical class files, establishing a baseline reconciliation of high-threat kits issued since October 2015. As of March 2020, DS is awaiting the OIG Follow-up Review to determine if the intent of the recommendation has been met or if additional action is necessary.
285	Management Assistance Report: The Process to Authorize and Track Information Technology Systems Needs Improvement	AUD-IT-17-56	8/29/2017	OIG recommends that the Bureau of Information Resource Management develop and implement a corrective action plan that addresses how the Department will comply with Department policy on the Systems Authorization Process. The corrective action plan should identify the root cause of compliance failures, action steps to resolve such compliance failures, improvement benchmarks and a timeframe for completion, and an escalation process to hold system owners accountable.	Bureau of Information Resource Management	A compliance update was provided in October 2019 addressing plans and milestones for eliminating the backlog, and progress to date. Initially, the OIG reported in AUD-IT-17-56 that 77 percent of all Federal Information Security Management Act (FISMA) reportable assets may be noncompliant with the Department's System Authorization Process and standards prescribed by the National Institute of Standards and Technology (NIST). Since the initial finding, the Department has worked steadily to ensure compliance. As of the end of Q1 FY 2020, 81.6 percent (400 of 490) systems of all FISMA reportable assets are compliant with the Department's system authorization process, and NIST standards (includes low, moderate and high impact systems).
286	Management Assistance Report: U.S. Embassy Tbilisi Employee Association	INV-17-01	6/29/2017	OIG recommends that the Management Officer at the U.S. Embassy - Tbilisi develop and implement on-going monitoring and conduct annual reviews of	Embassy Tbilisi	The Management Officer (MO) serves as an ex officio member of the Association Board. The MO also oversees a Management Controls Clerk (MCC), who monthly spot-checks and annually audits Tbilisi Employee Association (TEA)

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Commissary Accounting Controls			the Tbilisi Embassy Employee Association's management of the program.		management, inventorying, sales procedures, banking procedures, money handling, and personal services provision in the commissary and cafeteria. These responsibilities are written into the Controls Clerk's Work Requirement Statement and regulated in a Standard Operating Procedures document, and the Controls Clerk reports to the MO monthly (or more frequently, as needed) on the findings and recommendations of these checks. Further, TEA Board members conduct similar monthly checks, as outlined in the TEA Accounting and Procedures Manual.
287	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs, Office of Passport Services, in conjunction with the Bureau of Diplomatic Security, should develop centralized, searchable databases to track and manage passport revocation cases, as well as retentions of passports and other documents seized on suspicion of fraud when citizens apply for consular services, or under other circumstances, and to track confiscations of such documents if they are seized on grounds other than retention authority.	Bureau of Consular Affairs	The Bureau of Consular Affairs (CA) continues to work diligently on modernizing its consular systems. The searchable database to track passport revocations and retentions is slated for 2022 as part of CA's modernization effort.
288	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Secretary of State should clarify the role of the Office of the Legal Adviser as the senior legal authority for the Department and consider whether attorneys in other offices should report directly to the Legal Adviser.	Secretary of State	The Department continues to actively address this recommendation. Consular Affairs (CA) and the Office of the Legal Adviser (L) are finalizing a proposal to present to Department leadership.
289	Review of Allegations of Improper Passport Seizures at	ESP-19-01	10/31/2018	The Bureau of Consular Affairs should coordinate with the Office of the Legal Adviser to issue guidance and, if necessary, amend the Foreign Affairs Manual to clarify (1) The differences	Bureau of Consular Affairs	Beginning February 2019 relevant offices within the Bureau of Consular Affairs (CA), the Bureau of Diplomatic Security (DS), and the Office of the Legal Advisor (L) held frequent meetings to identify, discuss, and draft 8 Foreign Affairs

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
	Embassy Sana'a, Yemen			between retention and confiscation of a passport and any other authority that exists to take a passport, the circumstances under which each is authorized, the types of documentation or data entries the Department must create and maintain in exercising each authority, the notifications and advisements that must be given to the document holders in each case, and the fact that retentions must be limited to a specific temporary period. (2) The circumstances in which individuals whose passports are retained, confiscated, or revoked while overseas are entitled to limited validity passports to return to the United States.		<p>Manual (FAM) guidance. The revised FAM and applicable Foreign Affairs Handbook (FAH) provisions are in clearance, and focus both on updating and defining key terms including retention, confiscation and revocation, and on cross-referencing relevant existing FAM provisions.</p> <p>Stakeholders from the offices completed a review of the 12 FAM and 12 FAH, identified the sections in need of updates, and proposed language to revise, with the goal of better addressing notifications and advisements and generally clarifying the passport confiscation/retention process. DS, in coordination with CA, has prepared notifications and advisements that must be given to the document holders in each case. The advisement and related guidance, including 8 FAM, 12 FAM, and 12 FAH addresses temporal restrictions when a passport is retained. The FAM/FAH went into clearance October 2019. Completion is expected by early Spring 2020.</p>
290	Review of Allegations of Improper Passport Seizures at Embassy Sana'a, Yemen	ESP-19-01	10/31/2018	The Bureau of Consular Affairs and the Bureau of Diplomatic Security should ensure that all ARSO-Is receive appropriate training on the clarifications described in Recommendation 3 and identify a single point of contact for ARSO-Is and other DS agents seeking legal guidance.	Bureau of Diplomatic Security	The Bureau of Diplomatic Security (DS) is finalizing the Department's response to OIG's February 2019 request for information on implementation of its recommendations including a memo and supporting documents. DS will continue to work on publishing the appropriate updates to the Foreign Affairs Manual / Foreign Affairs Handbook.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
291	Review of Selected Internal Investigations Conducted by the Bureau of Diplomatic Security	ESP-15-01	10/16/2014	The Department should take steps (as previously recommended in OIG's report on the 2012 inspection (ISP-I-13-18)), to enhance the integrity of DS's internal investigations process by implementing safeguards to prevent the appearance of, or actual, undue influence and favoritism by Department officials.	Bureau of Diplomatic Security	The Department has taken significant steps to address this recommendation, including a reorganization of the Office of Special Investigations, enhanced training, improved vetting processes. The Department met with the OIG in March 2020 and will request that this recommendation be closed.
292	Review of Selected Internal Investigations Conducted by the Bureau of Diplomatic Security	ESP-15-01	10/16/2014	The Department should clarify and revise the Foreign Affairs Manual and should promulgate and implement additional protocols and procedures, in order to ensure that allegations of misconduct concerning Chiefs of Mission and other senior Department officials are handled fairly, consistently, and independently.	Office of Management Strategy & Solutions	The Department updated the Foreign Affairs Manual and Foreign Affairs Handbook to reference the reorganization in the Office of Special Investigations. These changes in Department policies and procedures will further ensure prevention of the appearance of undue influence or favoritism. The Department met with the OIG in March 2020 and will request that this recommendation be closed.
293	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs should, within 60 days, develop a corrective action plan to address the leadership and management deficiencies at the National Passport Center. At a minimum, the corrective action plan should address Recommendations 2 through 12 and include milestones and target implementation dates for the resolution of each. In addition, the action plan should be reviewed and approved by the Under Secretary for Management.	National Passport Center	The Under Secretary for Management approved National Passport Center's (NPC) original action plan in May 2019. The Bureau of Consular Affairs (CA) has updated its Corrective Action Plan, created in response to the OIG's targeted review of the NPC to account for continued steps taken toward achieving full implementation of corrective actions, and identification of persons responsible for carrying out each step of the respective actions. The OIG Compliance Follow-Up Review team is visiting the National Passport Center (NPC) in January/February 2020 to review both open and closed recommendations. Their visit could result in one or more of the recommendations being closed or modified. CA will adjust next steps based on feedback from the OIG team.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
294	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs, in coordination with the Office of Civil Rights, should investigate the expressed concerns within the National Passport Center workforce of sexual harassment and discrimination.	Bureau of Human Resources	In its 12/3/2019 compliance update, Human Resources (HR) outlined the disciplinary process for nine individuals determined to have violated 3 FAM 1525/1526 or other Department policies. The response outlined the next steps, including the employees' right to respond, review by the Deciding Official, issuance of final decisions, and orders for implementation of disciplinary action, which are expected to be issued in the new year.
295	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs should assess the skills, knowledge, abilities, and conduct of the National Passport Center's current senior and mid-level management staff to determine whether they should remain in their positions.	National Passport Center	The Bureau of Consular Affairs (CA) is waiting for Human Resources and the Office of the Legal Adviser to issue the order(s) for implementation of final disciplinary actions following a review of the individual cases by the deciding official. Once the final actions are decided, CA will take the necessary steps to implement them. As of January 31, 2019, Human Resources has closed five cases and the remaining six are still pending final decision.
296	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs, in coordination with the Bureau of Human Resources, should conduct an organizational assessment of the National Passport Agency's structure and responsibilities and implement appropriate recommendations.	National Passport Center	The Federal Occupational Health (FOH), Behavior Health Services (BHS) Organizational Development and Leadership (ODL) Team, Human Resources Office of Resource Management Analysis (HR/RMA), and Consular Affairs (CA) Office of Passport Services Senior Advisor visited the National Passport Center in September 2019 to collaborate on the organizational assessment. HR/RMA conducted a workload assessment which has been integrated into the organizational assessment being done by FOH. On December 20, 2019, FOH and HR/RMA presented their recommendations regarding the organizational assessment. Based on those recommendations, CA will develop an action plan by February 2020.

#	OIG Report Title	OIG Report Number	Publicly Released	Recommendation	Bureau	Status and Timeline
297	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs should require the National Passport Center to develop and publish policies, in compliance with applicable Department standards, that cover shift hours/core hours, flexible work schedules, awards program, and leave without pay.	National Passport Center	Consular Affairs (CA) Office of Passport Services has conducted a detailed study of National Passport Center's (NPC) staffing and hours of operation and has a tentative plan for rationalizing NPC's hours of operation. CA will make a final decision on the hours of operation and work schedule policy in conjunction with the action plan described in the update to recommendation 7.
298	Targeted Review of Leadership and Management at the National Passport Center	ISP-I-19-13	11/26/2018	The Bureau of Consular Affairs should review the National Passport Center's use of the second shift and institute changes to provide for greater supervision of employees and minimize premium pay costs.	National Passport Center	Consular Affairs (CA) Office of Passport Services has dedicated an analyst to conduct a cost/benefit analysis of the second shift at National Passport Center. This analysis, which is expected to be complete in March 2020, will inform CA's decisions about the second shift.



USAID – GAO OPEN RECOMMENDATIONS  
FY 2021  
(February 2020)

#	GAO Report Title	GAO Report	Publicly Released	Recommendation	Bureau	Status and Timeline
1	U.S. Agencies Have Coordinated Stabilization Efforts but Need to Document Their Agreement	GAO-18-654-1	Sep 27, 2018	The Administrator of the U.S. Agency for International Development, in collaboration with the Secretaries of Defense and State, should document their agreement on coordination for U.S. stabilization efforts through formal written guidance and agreements that address key collaboration practices such as defining outcomes and clarifying roles and responsibilities for U.S. stabilization efforts	DCHA	The Agency is currently working with GAO to substantiate closing the recommendation. Final Action Target Date October 30, 2020
2	State and USAID Should Improve Their Monitoring of International Counter-trafficking Projects	GAO-19-77-1	Dec 4, 2018	The Administrator of USAID should establish additional controls to improve the consistency and completeness of performance information that USAID uses to monitor counter-trafficking in persons projects.	DCHA	The Agency is currently compiling the supporting documentation to substantiate implementation of controls to monitor counter-trafficking in person projects. Final Action Target Date April 30, 2020
3	USAID Has Controls for Implementation and Support Costs but Should Strengthen Financial Oversight	GAO-17-224-1	Apr 10, 2017	To enhance USAID's financial oversight of implementing partners' spending to implement and support Title II development and emergency projects, the USAID Administrator should develop, document, and implement a process for periodically conducting systematic, targeted financial reviews of Title II development and	DCHA	Recommendation closure request has been submitted to GAO. GAO requested additional supporting documentation to substantiate the Agency's spending to implement and support Title II development and emergency projects on the use of 202(e) funding. Final Action Target February 28, 2020

				emergency projects. Such reviews should include efforts to verify that actual costs incurred for these projects align with planned budgets.		
4	USAID Has Controls for Implementation and Support Costs but Should Strengthen Financial Oversight	GAO-17-224-3	Apr 10, 2017	To enhance USAID's financial oversight of implementing partners' spending to implement and support Title II development and emergency projects, the USAID Administrator should take steps to ensure that it collects complete and consistent monitoring data from implementing partners for Title II development and emergency projects on the use of 202(e) funding for cash transfers, food vouchers, and local and regional procurement as well as data on the use of Title II funding for internal transportation, storage, and handling (ITSH) costs, in accordance with established requirements.	DCHA	Recommendation closure request has been submitted to GAO. GAO requested additional supporting documentation to substantiate the Agency's spending to implement and support Title II development and emergency projects on the use of 202(e) funding. Final Action Target February 28, 2020

5	Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	GAO-18-93-1	Aug 2, 2018	The Administrator of the U.S. Agency for International Development should ensure that the agency's IT management policies address the role of the CIO for key responsibilities in the six areas we identified	CIO	M/CIO submitted a closure request on 8/6/2019 but the request was rejected by GAO. GAO responded that they would only be able to close the recommendation once USAID could document a direct reporting relationship between the OCIO and the USAID Administrator. Final Action Target Date June 28, 2020.
6	USAID Should Improve Information Collection and Communication to Help Mitigate Implementers' Banking Challenges	GAO-18-669-2	Sep 20, 2018	The Administrator of USAID should take steps to communicate information on banking access challenges faced by partners both within USAID and with external entities, such as other U.S. agencies and U.S. implementing partners	DCHA	Recommendation closure request has been submitted to GAO. GAO requested additional supporting documentation to substantiate the Agency's banking access challenges faced by partners both within USAID and with external entities. Final Action Target February 28, 2020

# USAID – OIG OPEN RECOMMENDATIONS

## FY 2021

### February 2020

	OIG Report Title	OIG Report Title	Publicly Released	Recommendation	Bureau/Office Responsible	Status and Timeline
1	Audit of USAID Resources Managed by National Health Insurance Authority in Ghana (NHIA) Under Implementation Letter 641-A11-FY15-IL#010, From February 9, 2015, to December 31, 2016	4-641-18-063-R- 1	03/20/18	USAID/Ghana determine the allowability of \$146,197 in ineligible questioned costs identified on pages 14 and 17 of the Ghana Audit Service's audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing, NHIA's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is Feb 28, 2020.

2	Audit of USAID Resources Managed by National Health Insurance Authority in Ghana (NHIA) Under Implementation Letter 641-A11-FY15-IL#010, From February 9, 2015, to December 31, 2017	4-641-18-063-R- 2	03/20/18	USAID/Ghana verify that National Health Insurance Authority corrects the three instances of material noncompliance detailed on pages 24 to 26 and two instances of material noncompliance on pages 31 to 32 of the Ghana Audit Service's audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing, NHIA's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is Feb 28, 2020.
3	Audit of USAID Resources Managed by Tolon District Assembly in Ghana Under Agreement 641-AA-14-IL#004, September 3, 2014, to December 31, 2016	4-641-18-098-R- 1	08/23/18	USAID/Ghana determine the allowability of \$183,398 in questioned costs (\$5,917 ineligible and \$177,481 unsupported) on pages 14 and 16 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date June 30, 2020
4	Audit of USAID Resources Managed by Tolon District Assembly in Ghana (TDAG) Under Agreement 641-AA-14-IL#004, September 3, 2014, to December 31, 2016	4-641-18-098-R- 3	08/23/18	USAID/Ghana verify that Tolon District Assembly corrects the one instance of material noncompliance detailed on page 25 of the audit report.	BUREAU FOR AFRICA	The mission requested and is currently reviewing TDAG's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is June 30, 2020.
5	Financial Audit of USAID Resources Managed by East African Community (EAC) in Multiple Countries Under Assistance Agreement No. 623-AA-09-002-00-EAC, July 1, 2014, to June 30, 2016	4-623-19-003-N- 1	12/12/18	USAID/Kenya and East Africa determine the allowability of \$177,514 in questioned costs (\$81,638 ineligible and \$95,876 unsupported) on page 18 of the audit report and the accompanying calculation of imputed interest and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date April 15, 2020

6	Financial Audit of USAID Resources Managed by East African Community (EAC) in Multiple Countries Under Assistance Agreement No. 623-AA-09-002-00-EAC, July 1, 2014, to June 30, 2016	4-623-19-003-N- 2	12/12/18	USAID/Kenya and East Africa verify that East African Community corrects the seven material weaknesses and three significant deficiencies in internal control detailed on pages 28 to 32, 34 and 35, 39 to 44, and 46 to 48 of the audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing EAC's, additional supporting documentation to substantiate the recommendation closure. Final Action Target date is April 15, 2020.
7	Financial Audit of USAID Resources Managed by East African Community (EAC) in Multiple Countries Under Assistance Agreement No. 623-AA-09-002-00-EAC, July 1, 2014, to June 30, 2017	4-623-19-003-N- 3	12/12/18	USAID/Kenya and East Africa verify that East African Community corrects the eight instances of material noncompliance detailed on pages 29 and 30, 32 and 33, 36 to 40, and 45 to 47 of the audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing EAC's, additional supporting documentation to substantiate the recommendation closure. Final Action Target date is April 15, 2020.
8	Financial Audit of Locally Incurred Costs of USAID Resources Managed by Management Sciences For Health (MSFH), Inc. in Liberia Under Contract AID-669-C-15-00001, February 27, 2015, to February 28, 2018	4-669-19-010-N- 1	02/12/19	USAID/Liberia determine the allowability of \$1,788,608 in questioned costs (\$13,263 ineligible and \$1,775,345 unsupported) on pages 9, 11, and 16 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing MFSH's, additional supporting documentation to substantiate the recommendation closure. Final Action Target date is July 25, 2020.
9	Agreed-Upon Procedures Report of USAID Resources Managed by Dignitas International in Malawi Under Cooperative Agreement 674-A-00-	4-612-19-001-O- 1	10/19/18	USAID/Malawi determine the allowability of \$2,095,324 in questioned costs (\$254,693 ineligible, \$1,840,631 unsupported) on pages 16, 22, 23 and 27 of the agreed-upon procedures report and recover any amount that is unallowable.	BUREAU FOR AFRICA	M/CFO/APC is working with the mission to substantiate full collection of the disallowed questioned cost based on the collection agreement between the recipient and USAID. Final Action Target Date March 30, 2020

	10-00034-00, January 1, 2015, to September 30, 2016					
10	Financial Closeout Audit of USAID Resources Managed by Procuradoria Geral da Republica (PGR) in Mozambique Under Implementation Letter 656-IL-656-013-011-04, September 30, 2013, to December 31, 2016	4-656-19-008-N- 2	02/07/19	USAID/Mozambique verify that Procuradoria Geral da Republica corrects the six instances of material noncompliance detailed on pages 48 to 60 of the audit report	BUREAU FOR AFRICA	The mission requested and is currently reviewing PRG's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is February 28, 2020.
11	Financial Closeout Audit of USAID Resources Managed by Procuradoria Geral da Republica (PGR) in Mozambique Under Implementation Letter 656-IL-656-013-011-04, September 30, 2013, to December 31, 2016	4-656-19-008-N- 3	02/07/19	USAID/Mozambique implements the unresolved prior audit recommendations detailed on page 68 of the audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing PRG's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is February 28, 2020.
12	Financial Audit of USAID Resources Managed by Right to Care NPC in Multiple Countries Under Multiple Agreements, October 1, 2016, to September 30, 2017	4-674-19-034-R- 1	12/20/18	USAID/Southern Africa verify that Right to Care NPC corrects the four significant deficiencies in internal control detailed on pages 35 to 39 of the audit report.	BUREAU FOR AFRICA	The mission requested, and is currently reviewing Right to Care NPC's additional supporting documentation to substantiate closing the recommendation. Final Action Target date is February 28, 2020.



13	Audit of USAID Resources Managed by the National Audit Office Tanzania Under Strategic Objective Grant Agreement 621-0014.08, July 1, 2013, to June 30, 2015	4-621-17-143-R- 1	08/30/17	USAID/Tanzania determine the allowability of the \$354,929 in questioned costs (\$323,066 ineligible and \$31,863 unsupported) identified on pages 8 to 10 of RSM Eastern Africa's audit report and recover from the National Audit Office Tanzania the amounts determined to be unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date May 31, 2020
14	Audit of USAID Resources Managed by The President's Office, Ethics Secretariat, in Tanzania Under Grant Agreement No. 621-0014.08, Implementation Letter 1, January 1, 2013, to June 30, 2016	4-621-18-055-R- 1	02/02/18	USAID/Tanzania determine the allowability of the \$125,634 in questioned costs (\$102,761 ineligible and \$22,873 unsupported) identified on pages 13 and 22 of the National Audit Office of Tanzania's audit report and recover from the Ethics Secretariat the amounts determined to be unallowable.	BUREAU FOR AFRICA	The Mission is revising the management decision and plans to issue a bill for collection by February 28, 2020, for any amount not allowed. The Mission will submit a closure request by May 31, 2020.
15	Financial Closeout Audit of USAID Resources Managed by Tanzania Council for Social Development (TACOSODE) in Tanzania Under Grant Agreement AID-621-G-14-00005, July 1, 2015, to December 9, 2017	4-621-19-044-R- 1	02/12/19	USAID/Tanzania determine the allowability of \$243,662 in questioned costs (\$7,415 ineligible and \$236,247 unsupported) on pages 11, 14, and 17 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date August 12, 2020
16	Closeout Financial Audit of USAID Resources Managed by [REDACTED] Under Multiple Agreements, October	4-613-19-004-N- 1	12/18/18	USAID/Zimbabwe determine the allowability of \$648,515 in questioned costs (\$277,171 ineligible, \$371,344 unsupported) identified on page 15 to 18 of the audit report and	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date April 1, 2020

	1, 2012, to June 26, 2018			recover any amount that is unallowable.		
17	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Multiple Agreements, July 23, 2015, to June 28, 2018	4-613-19-005-N- 1	12/18/18	USAID/Zimbabwe determine the allowability of \$162,461 in questioned costs (\$94,052 ineligible, \$68,409 unsupported) on pages 13 to 14 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date April 1, 2020
18	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Multiple Agreements, July 23, 2015, to June 28, 2018	4-613-19-005-N- 5	12/18/18	USAID/Zimbabwe determine the allowability of \$23,666 in ineligible questioned costs pertaining to accrued leave and take appropriate actions regarding any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date April 1, 2020
19	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018	4-613-19-006-N- 1	12/19/18	USAID/Zimbabwe determine the allowability of \$358,240 in ineligible questioned costs on pages 11, 13, and 15 of the audit report and recover any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date May 29, 2020
20	Closeout Financial Audit of USAID Resources Managed by [REDACTED] in Zimbabwe Under Agreement AID-613-A-12-00009, January 1, 2013, to June 26, 2018	4-613-19-006-N- 4	12/19/18	USAID/Zimbabwe determine the allowability of \$224,200 in ineligible questioned costs pertaining to accrued leave and termination benefits and take appropriate actions regarding any amount that is unallowable.	BUREAU FOR AFRICA	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date May 29, 2020

21	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R- 2	07/26/17	DCHA/FFP determine the allowability of the \$101,902 in unsupported questioned costs identified on page I-21 of Gelman, Rosenberg & Freedman's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreement AID-FFP-G-14-00001 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR DEMOCRACY, CONFLICT AND HUMANITARIAN ASSISTANCE	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date: March 9, 2020
22	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R- 5	07/26/17	DCHA/FFP determine whether outstanding advances to African Development Solutions for agreement AID-FFP-G-14-00001 are excessive, and if so, request a refund for funds in excess of immediate disbursing needs in accordance with ADS 636.3.3.2.	BUREAU FOR DEMOCRACY, CONFLICT AND HUMANITARIAN ASSISTANCE	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date: March 9, 2020
23	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R- 7	07/26/17	DCHA/FFP follow up on unexpended funds African Development Solutions owed to USAID from agreement AID-FFP-G-11-00068 that ended before December 31, 2013, as shown on page I-12 of Gelman, Rosenberg & Freedman's audit report and determine any actions necessary in accordance with the standard provisions for non-U.S., nongovernmental organizations, paragraph RAA1.h.	BUREAU FOR DEMOCRACY, CONFLICT AND HUMANITARIAN ASSISTANCE	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date: March 9, 2020

24	Lessons From USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P- 2	01/24/18	The Assistant to the Administrator for Policy, Planning and Learning work with other U.S. agencies to clearly identify, and regularly test, roles and capabilities, and responsibilities for use in a future international public health emergency. This should include policy related to the Office of U.S. Foreign Disaster Assistance's use of the Mission Tasking Matrix with the Department of Defense. And agreements should specify operational details; clearly define roles and responsibilities; and ensure a common understanding of standardized language.	BUREAU FOR DEMOCRACY, CONFLICT AND HUMANITARIAN ASSISTANCE	DCHA/OFDA and USAID's Democratic Republic of Congo Ebola Response Management Team are closely coordinating with the Centers for Disease Control (CDC) at the working levels. At the leadership level, Administrator Green is in direct contact with CDC Director Redfield on Ebola response efforts and the Memorandum of Understanding between USAID/OFDA and CDC/Center for Global Health. On December 18th, he requested CDC to work with DCHA/OFDA on developing a joint operational planning process to improve the U.S. Government's coordination and response efforts to a large-scale humanitarian public health emergency. In addition, USAID's OIG followed up with the Department of Health and Human Services (HHS) OIG and there is a similar recommendation for HHS calling for interagency roles and responsibilities to be better defined. The HHS OIG's recommendation process allows the agency six months from report issuance to provide a management decision, which means that the HHS OIG will have additional information on how CDC plans to move forward in February 2020. Information gleaned from the HHS OIG, as well as through direct communications between Administrator Green and CDC
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						Director Redfield, would be crucial in requesting closure of this audit recommendation. Final Action Target Date: March 31, 2020.
25	Audit of the Regional Government of San Martin's Management of Grant Agreements 527-0423 and 527-0426, January 1 to December 31, 2016	1-527-18-033-R- 2	09/25/18	USAID/Peru determine the allowability of \$28,848 in questioned costs (\$7,698 ineligible, \$21,150 unsupported) on page 21 of the audit report and recover any amount that is unallowable.	BUREAU FOR LATIN AMERICA AND THE CARIBBEAN	USAID's Mission is currently negotiating a repayment plan with the Regional Government Of San Martin's to pay this debt. It is the Missions management intention to negotiate this debt to be fully paid only as a lump sum. Final Action Target Date June 30, 2020.

26	Audit Report on Audit of Incurred Costs at Plantados until Freedom and Democracy in Cuba for the Three Year Period Ending June 30, 2008	0-000-09-011-N- 1	05/18/09	USAID Cuba Program Agreement Officer determine the allowability of the \$97,824 in questioned unsupported direct costs incurred and reimbursed and collect any disallowed amounts from Plantados until Freedom and Democracy in Cuba.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
27	Audit Report on Audit of Incurred Costs at Plantados until Freedom and Democracy in Cuba for the Three Year Period Ending June 30, 2008	0-000-09-011-N- 2	05/18/09	USAID Cuba Program Agreement Officer determine the allowability of \$45,429 in questioned ineligible direct costs and collect any disallowed amounts from Plantados until Freedom and Democracy in Cuba.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
28	Southern Africa Enterprise Development Fund, OMB Circular A-133 Audit Report for Fiscal Year Ended September 30, 2012	3-000-14-001-E- 1	12/04/13	USAID/Southern Africa determine the allowability of \$1,109,459 in questioned costs for excess compensation and collect, as appropriate, any disallowed amounts from the Southern Africa Enterprise Development Fund.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
29	Southern Africa Enterprise Development Fund, OMB Circular A-133 Audit Report for Fiscal Year Ended September 30, 2012	3-000-14-001-E- 3	12/04/13	USAID/Southern Africa's mission director determine the reasonableness of \$1.3 million in management fees SAEDF paid ICP and collect any amount determined to be unreasonable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
30	Africare, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2015	3-000-16-052-T- 1	07/07/16	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$1,262,423 in unsupported questioned costs and collect as appropriate any disallowed amounts from Africare.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

31	Closeout Audit of Costs Incurred by Chemonics To Pursue a Peaceful Transition to a Democratic and Stable Syria, Under Award AID-OAA-TO-13-00003, January 7, 2013, to October 6, 2016	3-000-18-008-N- 4	02/20/18	We recommend that USAID's Office of Acquisition and Assistance, Cost Audit and Support Division determine the allowability of the \$5,588,215 in questioned costs identified as unsupported, and recover from Chemonics any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
32	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 1	09/16/13	USAID/Haiti agreement officer determine the reasonableness of \$148,754 in questioned costs incurred for consultants and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
33	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 2	09/16/13	USAID/Haiti agreement officer determine the allowability of \$161,315 in questioned unsupported costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
34	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9,	3-521-13-001-N- 3	09/16/13	USAID/Haiti agreement officer determine the allowability of \$40,000 in questioned costs incurred for legal services and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

	2009, to August 9, 2010					
35	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 4	09/16/13	USAID/Haiti agreement officer determine the allowability of \$23,007 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
36	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 5	09/16/13	USAID/Haiti agreement officer determine the allowability of \$10,978 in ineligible questioned costs and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
37	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 6	09/16/13	USAID/Haiti agreement officer determine the allowability of the \$93,555 in questioned actual cost over budget and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.



38	Report on Financial Closeout Audit of USAID Resources Managed by the Rural Justice Center Field Office in Haiti Under Grant Agreement No. S21-G-00-09-00028-00 From June 9, 2009, to August 9, 2010	3-521-13-001-N- 7	09/16/13	USAID/Haiti agreement officer determine the allowability of the \$16,291 in questioned costs incurred for grant funds received in excess of costs reported and collect any disallowed amounts from the Rural Justice Center.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
39	Agency Contracted Closeout Audit of USAID Resources Managed by the Zambia Agricultural Commodities Agency Under Cooperative Agreement No. 690-A-00-04-00342-04 for the Period January 1, 2005, to June 30, 2007	4-611-10-003-N- 1	07/23/10	USAID/Zambia determine the allowability of \$173,785 in questioned costs (\$18,890 ineligible, \$154,895 unsupported) detailed on page 7 of Pricewaterhouse Coopers' audit report, and recover from the Zambia Agricultural Commodities Agency any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
40	Agency Contracted Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product (ASNAPP) Under Cooperative Agreement No. AID-611-A-12-00003 for the Period February 8, 2012, Through September 30, 2013	4-611-16-003-N- 1	01/26/16	USAID/Zambia determine the allowability of the \$702,685 in questioned costs (\$6,731 ineligible and \$695,954 unsupported) identified on page 17 of Deloitte & Touche's audit report and recover from the Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

41	Audit of USAID Resources Managed by Women and Law in Southern Africa Educational Trust in Zambia Under Agreement 611-A-13-00002, for the Fiscal Year Ended December 31, 2015	4-611-17-124-R- 1	07/18/17	USAID/Zambia determine the allowability of the \$69,856 in ineligible questioned costs identified on page 17 of KPMG's audit report and recover from Women and Law in Southern Africa Educational Trust the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
42	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2013, to September 30, 2014	4-611-17-164-R- 1	09/20/17	USAID/Zambia determine the allowability of the \$8,522 in questioned costs (\$3,275 ineligible and \$5,247 unsupported) identified on pages 14 to 15 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
43	Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October 1, 2014, to September 30, 2015	4-611-17-165-R- 1	09/20/17	USAID/Zambia determine the allowability of the \$156,500 in questioned costs (\$1,697 ineligible and \$154,803 unsupported) identified on pages 14 and 17 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
44	Closeout Audit of USAID Resources Managed by Agribusiness in Sustainable Natural African Plant Product in Zambia Under Cooperative Agreement AID-611-A-12-00003, October	4-611-17-166-R- 1	09/20/17	USAID/Zambia determine the allowability of the \$463,327 in unsupported questioned costs identified on pages 14 to 15 of Deloitte & Touche's audit report and recover from Agribusiness in Sustainable Natural African Plant Product the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

	1, 2015, to February 5, 2016					
45	Audit of USAID Resources Managed by Women and Law in Southern Africa Research and Educational Trust in Zambia Under Agreement AID-611-A-13-00002, January 1, 2016, to December 31, 2016	4-611-18-080-R- 1	04/16/18	USAID/Zambia determine the allowability of \$51,870 in questioned costs (\$46,706 ineligible, \$5,164 unsupported) on pages 13 and 15 of the audit report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
46	Agency Contracted Closeout Audit of USAID Resources Managed by Nkhoma Synod Relief and Development Under Cooperative Agreement Number 674-A-00-11-00029-00, Safe Water, Sanitation, and Hygiene Project, for the Period July 1, 2012, Through February 21, 2014	4-612-16-012-N- 1	05/24/16	USAID/Malawi determine the allowability of the \$462,757 in questioned costs (\$47,717 ineligible and \$415,040 unsupported) identified on pages 11 to 15 of PricewaterhouseCoopers' audit report and recover from the Nkhoma Synod Relief and Development the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

47	Audit of USAID Resources Managed by Regional AIDs Training Network in East Africa Under Cooperative Agreement AID-623-A-14-00007, From October 27, 2014, to March 31, 2016	4-623-17-118-R- 1	07/18/17	USAID/Kenya and East Africa determine the allowability of the \$115,137 questioned costs (\$26,711 in ineligible and \$88,426 in unsupported questioned costs) identified on pages 18 and 43 of Grant Thornton's audit report and recover from the Regional AIDs Training Network the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
48	Agency Contracted Audit of USAID Resources Managed by siyaJabula siyaKhula Under Cooperative Agreement No. AID-674-A-12-00025 for the Year Ended December 31, 2013	4-674-15-001-N- 1	10/28/14	USAID/South Africa determine the allowability of the \$153,656 in questioned costs (\$9,524 ineligible and \$144,132 unsupported) identified on page 17 of Henk Prinsloo & Partners' audit report and recover from the siyaJabula siyaKhula the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
49	Closeout Audit of USAID Resources Managed by the Church Alliance for Orphans Under Cooperative Agreement No. 674-A-00-11-00013-00 for the Year Ended February 28, 2014	4-674-16-071-R- 1	05/03/16	USAID/Southern Africa determine the allowability of the \$20,057 in ineligible questioned costs comprised of \$5,597 in ineligible costs identified on page 27 of PricewaterhouseCoopers' audit report and the \$14,460 unexplained difference between the prior year ending fund balance and the current year opening balance included on page 29 and recover from the Church Alliance for Orphans the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

50	Audit of USAID Resources Managed by Light and Courage Centre Trust Under Agreement No. GHH-A-00-07-00017 for the 27 Months Ended September 30, 2010	4-936-12-051-R- 1	02/27/12	M/OAA/CAS/CAM determine the allowability of the \$138,877 in questioned costs (\$85,065 ineligible and \$53,812 unsupported) identified on pages 25 and 56 of Grant Thornton's audit report and recover from Light and Courage Centre Trust the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
51	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011	5-492-13-028-R- 1	07/18/13	USAID/Philippines determine the allowability and recover, as appropriate, questioned costs of \$1,412,114 (\$271,581 ineligible and \$1,140,533 unsupported) detailed on pages 2 and 3 of this memorandum.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

52	Closeout Audit of the Project "Operation of the Anti-Human Trafficking Halfway Houses, and Mobilizing and Building Capacity of Multi-Stakeholders in Philippine Southern Backdoors and Other Identified Trafficking Hotpots (PORT Project)," USAID/Philippines' Cooperative Agreement No. 492-A-00-05-00024-00, Managed by the Visayan Forum Foundation, Inc. (VFFI), for the Period From September 30, 2005, to December 31, 2011	5-492-13-028-R- 2	07/18/13	USAID/Philippines determine the allowability and recover, as appropriate, questioned cost-sharing contributions of \$671,298 (unsupported) and a shortfall in cost-sharing contributions of \$38,468 (ineligible) detailed in Finding No. 2 on pages 82 and 84 of the report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
53	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N- 1	09/23/15	USAID/Nigeria determine the allowability of \$5,672,236 in unsupported questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

54	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N- 2	09/23/15	USAID/Nigeria determine the allowability of \$399,198 in ineligible questioned costs (page 6 of the audit report) and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
55	Closeout Audit of USAID Resources Managed by Hope Worldwide Nigeria (HWWN) Under the assistance and Care for Children Orphaned and at Risk (ACCORD) Project (Agreement Number AID-620-A-00-08-00111) for the Period March 13, 2008, to October 11, 2013	7-620-15-001-N- 6	09/23/15	USAID/Nigeria verify that Hope Worldwide Nigeria liquidate the advances identified on page 4 of the report and recover from Hope Worldwide Nigeria the amount determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
56	Financial Audit of Technologist Inc. Costs Incurred/Billed under USAID Task Order No. 306-O-00-04-00539-00, for Afghanistan Industrial Estate Development Program for the Period From May 24, 2004, Through December 31, 2007	F-306-11-001-D- 1	08/23/11	USAID/Afghanistan determine the allowability and recover, as appropriate, questioned costs of \$6,563,050.00 (\$6,294,472.00 as ineligible cost and \$268,578.00 as unsupported cost) detailed on pages 4 through 20 of the report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.

57	Report on Agreed-Upon Forensic Procedures Performed on Procurement Costs Incurred by Rafi Peer Theatre Workshop to Implement Pakistan Children Television Project, USAID/Pakistan Agreement No. 391-A-00-10-01161-00, for the Period From May 07, 2010, to May 31, 2012	G-391-12-001-O- 1	07/31/12	USAID/Pakistan determine the allowability and recover, as appropriate, unsupported questioned costs of \$2,030,417 identified in the report on pages 12 and 13, and further detailed in Findings on pages 16 through 43 of the agreed-upon procedures report.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
58	Closeout Financial Audit of the USAID/Pakistan's Agribusiness Project Managed by the Agribusiness Support Fund, Agreement AID-391-A-12-00001, July 1,2014, to February 9, 2016	G-391-17-023-R- 1	05/11/17	USAID/Pakistan determine the allowability of ineligible questioned cost of \$192,423 discussed on page 2 of the memorandum and identified on pages 13 and 14 of the audit report and detailed on pages 15-17, and recover from the recipient any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/CFO/Washington Financial Service for collection under the Debt Collection Improvement Act and Automated Directives System's policies and procedures.
59	USAID Has Gaps in Conforming With the Federal Information Technology Acquisition Reform Act	A-000-19-004-C- 6	11/09/18	USAID document and implement an inventory validation process to accurately and completely document information technology investments, the information technology systems inventory, and the Federal Information Security Modernization Act reportable systems inventory.	BUREAU FOR MANAGEMENT	USAID is completing corrective action to document and implement an inventory validation process to accurately and completely document information technology investments, the information technology systems inventory, and the Federal Information Security Modernization Act reportable systems inventory. Final Action Target March 1, 2020



60	USAID Has Gaps in Conforming With the Federal Information Technology Acquisition Reform Act	A-000-19-004-C- 9	11/09/18	USAID document and implement a process to enforce the competency requirements for information technology staff, including those in information technology leadership positions, and complete the assessment of competency requirements for information technology staff.	BUREAU FOR MANAGEMENT	M/CIO is currently developing Standard Operating Procedures for Implementing and Enforcing Competency Requirements and Workforce Planning Process for USAID Information Technology Workforce. Final Action Target Date March 31, 2020.
61	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2018 in Support of FISMA	A-000-19-005-C- 1	11/21/18	USAID's chief information officer update the Agency's Vulnerability Management Standard Operating Procedure to (1) define the timeframe for applying system patches and (2) document and implement a process to validate that system patches are applied according to the timeframe specified in the procedure.	BUREAU FOR MANAGEMENT	As a result of the OIG's recommendation closure review, additional supporting documentation was requested to substantiate that vulnerabilities were remediated by the required timeframe or follow Agency procedures when the vulnerability could not be remediated by the defined timeframe. Final Action Target Date February 28, 2020.
62	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2018 in Support of FISMA	A-000-19-005-C- 2	11/21/18	USAID's chief information officer document and implement a process to validate that unsupported software is either upgraded or removed within 48 hours of identification, as specified in the Agency's Unauthorized/Unsupported Software Standard Operating Procedures, or document acceptance of the risk for allowing the unsupported software on the network.	BUREAU FOR MANAGEMENT	As a result of the OIG's recommendation closure review, additional supporting documentation was requested to substantiate that a process is in place to remove unsupported software from the Agency's network within three business days. Final Action Target Date February 28, 2020.
63	USAID Generally Implemented an Effective Information Security Program for Fiscal Year 2018 in Support of FISMA	A-000-19-005-C- 5	11/21/18	USAID's chief information officer document and implement a process to validate that USAID procedures are followed for testing, conducting security impact	BUREAU FOR MANAGEMENT	As a result of the OIG's recommendation closure review, additional supporting documentation was requested to substantiate that USAID conducted a security impact

				analysis of, and approving system changes.		analysis. Final Action Target Date February 28, 2020.
64	CARE USA, A-133 Audit Report for Fiscal Year Ended June 30, 2008	0-000-10-012-T- 1	03/17/10	M/OAA/CAS determine the allowability of \$851,292 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020
65	CARE USA, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2009	0-000-11-019-T- 1	12/09/10	USAID's Office of Acquisition and Assistance, Cost, Audit and Support, determine the allowability of \$325,275 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020
66	CARE USA, OMB Circular A-133 Audit Report for Fiscal Year Ended June 30, 2010	0-000-11-122-T- 1	09/16/11	USAID's Office of Acquisition and Assistance, Cost, Audit, and Support, determine the allowability of \$43,682 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020
67	CARE USA A-133 Audit Report for Fiscal Year Ended June 30, 2011	0-000-13-002-T- 1	11/06/12	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$13,856 in questioned costs and collect, as appropriate, any disallowed amounts from CARE USA.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020
68	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, August 14,	4-623-18-001-N- 1	11/28/17	USAID/Kenya and East Africa determine the allowability of the \$17,468,497 in questioned costs (\$15,730,500 ineligible and \$1,737,997 unsupported) identified on pages 10, 11, 20, and 21 of Ernst & Young's audit report and recover from the African Development	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020

	2012, to December 31, 2015			Solutions the amounts determined to be unallowable.		
69	Agency-Contracted Audit of Locally Incurred Costs in USAID Resources Managed by African Development Solutions in Kenya Under Agreement AID-623-A-12-00026, August 14, 2012, to December 31, 2015	4-623-18-001-N- 4	11/28/17	USAID/Kenya and East Africa determine the allowability of \$4,437,040 in unsupported questioned costs based upon Ernst & Young's disclaimer of opinion and recover from the African Development Solutions any amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	Recommendation transferred to M/MPBP Compliance Division for Grantee/Contract appeal under ADS 303. Final Action Target Date March 31, 2020
70	Audit of Social Impact's Accounting System Administration	3-000-17-013-I- 1	03/08/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that Social Impact, has implemented the auditor's recommendation for Finding #1 (see page 4 of the report).	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March 31, 2020.
71	Audit of Amex International, Inc.'s Accounting System Administration	3-000-17-027-I- 1	06/29/17	USAID's Office of Acquisition and Assistance Cost, Audit and Support ensure that Amex International, Inc. has implemented the auditor's recommendations for Findings #1 through #5 (see pages 5 through 11 of the report).	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 15, 2020.
72	Audit of Incurred Costs for Terra Therm, Inc. for the Fiscal Years Ended December 31, 2012 and 2013	3-000-17-032-I- 2	07/17/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$160, 126 in reimbursements from Terra	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 15, 2020.

				Therm, Inc. (see page 2 of the report}).		
73	Audit of Incurred Costs for Terra Therm, Inc. for the Fiscal Years Ended December 31, 2012 and 2013	3-000-17-032-I- 3	07/17/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that Terra Therm, Inc. has implemented the auditor's recommendations for Finding #1 (see pages 11 through 15 of the report).	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 15, 2020.
74	Audit of Incurred Costs for CAMRIS International for the Fiscal Year Ended December 31, 2013	3-000-17-034-I- 1	07/27/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$2,223,978 in unsupported direct questioned costs and collect any disallowed amounts from CAMRIS International (see pages 9 and 10 of the report).	BUREAU FOR MANAGEMENT	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date July 31, 2020.
75	Audit of Incurred Costs for CAMRIS International for the Fiscal Year Ended December 31, 2013	3-000-17-034-I- 2	07/27/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that CAMRIS International has implemented the auditor's recommendations for Finding #1 (see pages 9 and 10 of the report).	BUREAU FOR MANAGEMENT	The audit recommendation resolution is contingent upon approval of the Negotiated Indirect Cost Rate Agreement. Final Action Target Date February 28, 2020.
76	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Year Ended December 31, 2014	3-000-17-037-I- 2	08/11/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that AMEX International, Inc. has implemented the auditor's recommendations for Finding #1 (see pages 9 through 10 of the report).	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 15, 2020.

77	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended December 31, 2011 Through 2013	3-000-17-044-I- 1	09/18/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$2,484,887 in unsupported direct questioned costs and collect any disallowed amounts from AMEX International, Inc. (see pages 2, 10 and 11 of the report).	BUREAU FOR MANAGEMENT	M/OAA is currently reviewing AMEX's supporting documentation to substantiate the unallowable questioned cost. Final Action Target Date February 28, 2020
78	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended December 31, 2011 Through 2013	3-000-17-044-I- 2	09/18/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that AMEX International, Inc. has implemented the auditor's recommendations for Finding #1 (see pages 10 and 11 of the report).	BUREAU FOR MANAGEMENT	M/OAA is currently reviewing AMEX's supporting documentation to substantiate the unallowable questioned cost. Final Action Target Date February 28, 2020
79	Audit of Incurred Costs for Futures Group for the Fiscal Years Ended December~31, 2009 Through 2011	3-000-18-002-I- 2	10/17/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that Futures Group has implemented the auditor's recommendations for Findings #1 through #4 (see pages 14 through 25 of the report).	BUREAU FOR MANAGEMENT	Currently waiting for the CPA Firm to complete subsequent FYs 2012-2015 audit to begin the closure process. Final audit report is due to be received by September 30, 2019.
80	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended~December 31, 2008 Through 2010	3-000-18-003-I- 1	10/30/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support determine the allowability of \$3,169,020 in unsupported direct questioned costs and collect any disallowed amounts from AMEX International, Inc. (see pages 2, and 11 through 15 of the report).	BUREAU FOR MANAGEMENT	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date June 30, 2020
81	Audit of Incurred Costs for AMEX International, Inc. for the Fiscal Years Ended~December 31, 2008 Through 2010	3-000-18-003-I- 2	10/30/17	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that AMEX International, Inc. has implemented the auditor's recommendations for Findings	BUREAU FOR MANAGEMENT	[Revised] Management decision regarding this recommendation signed by Mark Walther on 6/27/2019. We are unable to close this recommendation until the subsequent audits after FY

				#1 and# 2 (see pages 11through15 of the report).		2014 state that the recommendations have been implemented.
82	Audit of Save the Children Fund Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2013	3-000-18-008-R- 2	02/01/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support verify that Save the Children Fund corrects the five material instances of noncompliance on pages 30 through 36 of the audit report.	BUREAU FOR MANAGEMENT	We are awaiting the OIG review of the FY 2014 subsequent report to finalize closure. The estimated date is February 28, 2020
83	Audit of Solidarites International Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2016	3-000-18-010-R- 2	03/26/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Solidarites International corrects the significant deficiency in internal control detailed on page IV-2 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 30, 2020.
84	Audit of Solidarites International Under Multiple USAID Agreements for the Fiscal Year Ended December 31, 2016	3-000-18-010-R- 3	03/26/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Solidarites International corrects the material instance of noncompliance detailed on page IV-2 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date April 30, 2020.
85	Audit of Oxfam GB Under Multiple USAID Agreements for the Fiscal Year Ended March 31, 2016	3-000-18-013-R- 2	06/08/18	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Oxfam GB corrects the two significant deficiencies in internal control detailed on pages 5 and II-1 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March 1, 2020.

86	Audit of Oxfam GB Under Multiple USAID Agreements for the Fiscal Year Ended March 31, 2016	3-000-18-013-R- 3	06/08/18	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Oxfam GB corrects the two material instances of noncompliance detailed on pages 5, III-1and III-2 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March 1, 2020.
87	Performance Audit on the Adequacy and Cost Accounting Standards Compliance of the Disclosure Statement, Revision 3A for Management Sciences for Health, Inc.	3-000-18-023-I- 1	01/25/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support ensure that Management Sciences for Health, Inc. has implemented the auditor's recommendation for Finding #1 (see pages 7 through 11 of the report).	BUREAU FOR MANAGEMENT	The BPA 2, Call 2 awarded to Booth Management status report indicates that MSH Disclosure Statement Audit Report is due for September 14, 2020.
88	Title 2 CFR Part 200 Audit of The Nature Conservancy for the Fiscal Year Ended June 30, 2017	3-000-18-027-T- 1	09/21/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that The Nature Conservancy corrects the material weaknesses in internal control over financial reporting and in the report on internal control over compliance and the significant deficiencies in the report on internal control over compliance detailed on pages 49 through 52 and pages 55 through 61 of the audit report.	BUREAU FOR MANAGEMENT	M/OAA is currently requesting and reviewing The Nature Conservancy's supporting documentation to confirm that corrective actions have been taken on the material weaknesses in internal control. Final Action Target Date March 31, 2020
89	Title 2 CFR Part 200 Audit of The Nature Conservancy for the Fiscal Year Ended June 30, 2017	3-000-18-027-T- 2	09/21/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that The Nature Conservancy corrects the instances of noncompliance in the report on compliance and other matters for each major federal program detailed on pages 52 and 59 through 61 of the audit report.	BUREAU FOR MANAGEMENT	M/OAA is currently requesting and reviewing The Nature Conservancy's supporting documentation to confirm that corrective actions have been taken on the material weaknesses in internal control. Final Action Target Date March 31, 2020

90	Performance Audit Over the Adequacy and Cost Accounting Standards Compliance of the Disclosure Statement, Revisions 10-13, for Abt Associates Inc., Government Segment	3-000-18-030-I- 1	05/02/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Abt Associates Inc., Government Segment corrects Finding # 1 on pages 7 through 11 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date May 31, 2020.
91	Examination of Costs Claimed for International Resource Group for the Three Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-032-I- 1	05/09/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division determine the allowability of \$2,340,798 in unsupported direct questioned costs on pages 1, 2, 8 and 14 of the audit report, and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	We evaluated IRG's assertion that USAID is time-barred from collecting the unsupported costs questioned based on FAR 4.7, the Contract Disputes Act, and FAR 33.206. The Office of General Counsel provided options for addressing IRG's assertion and USAID is evaluating which option will best serve the Agency's interests. Final Action Target Date April 30, 2020.
92	Examination of Costs Claimed by Nathan Associates, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-033-I- 1	05/18/18	USAID's Office of Acquisition and Assistance Cost Audit and Support Division determine the allowability of \$1,322,702 in USAID unsupported direct questioned costs and recover any amount that is unallowable detailed on pages 1 and 16 through 22 of the audit report.	BUREAU FOR MANAGEMENT	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date April 30, 2020.
93	Examination of Costs Claimed by Nathan Associates, Inc. for the Fiscal Years Ended December 31, 2008 Through 2010	3-000-18-033-I- 2	05/18/18	USAID's Office of Acquisition and Assistance Cost, Audit and Support Division verify that Nathan Associates, Inc. corrects Findings #1 through # 3 detailed on pages 16 through 24 of the audit report.	BUREAU FOR MANAGEMENT	M/OAA is currently requesting and reviewing Nathan Associates, Inc.'s supporting documentation to confirm that corrective actions have been taken on the findings 1 through 3. Final Action Target Date April 30, 2020.



94	Performance Audit Over the Adequacy of Nexant, Inc.'s Accounting System Administration	3-000-18-040-I- 1	06/15/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Nexant, Inc. corrects Finding #1 on pages 5 through 8 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March 31, 2020.
95	Performance Audit Over the Adequacy of Global Business Solutions, Inc.'s Accounting System Administration	3-000-18-041-I- 1	06/25/18	USAID's Office of Acquisition and Assistance, Cost Audit and Support Division verify that Global Business Solutions, Inc. corrects Findings # 1 and # 2 on pages 5 and 6 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date March 31, 2020.
96	Title 2 CFR Part 200 Audit of Plan International, Inc. for the Fiscal Year Ended June 30, 2016	3-000-19-001-T- 1	10/11/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Plan International, Inc. has corrected the four material weaknesses and three significant deficiencies in internal control over financial reporting and the three material weaknesses in internal control over compliance detailed on pages 39 through 47 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date February 15, 2020.
97	Title 2 CFR Part 200 Audit of Plan International, Inc. for the Fiscal Year Ended June 30, 2016	3-000-19-001-T- 2	10/11/18	USAID's Office of Acquisition and Assistance, Cost, Audit and Support Division verify that Plan International, Inc. has corrected the three instances of noncompliance with requirements that could have a direct and material effect on each major program detailed on pages 47 through 50 of the audit report.	BUREAU FOR MANAGEMENT	The recommendation closure is contingent upon the subsequent audit report to confirm that corrective actions have been taken. Final Action Target Date February 15, 2020.
98	Examination of Costs Incurred for Abt Associates, Inc. for the Fiscal Years Ended April 1, 2011,	3-000-19-005-I- 2	12/10/18	USAID Office of Acquisition and Assistance Cost, Audit and Support Division verify that Abt Associates, Inc. corrects	BUREAU FOR MANAGEMENT	M/OAA is currently requesting and reviewing Abt Associates, Inc.'s supporting documentation to confirm that corrective actions have been taken on the findings

	March 30, 2012, March 29, 2013 and March 28, 2014			Findings #1 and #2 on pages 11 through 16, of the audit report.		1 and 2. Final Action Target Date April 30, 2020.
99	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R- 1	07/26/17	M/OAA/CAS/CAM determine the allowability of the \$3,054,996 in questioned costs (\$2,856,719 ineligible and \$198,277 unsupported) identified on pages I-21 and II-1 of Gelman, Rosenberg & Freedman's audit report and the difference between the indirect cost rate charges and the approved rate of 14.41 percent for agreements AID-OFDA-G-13-00165, AID-OFDA-G-13-00145, and AID-623-A-12-00026 on pages I-6 to I-7 and recover from the African Development Solutions the amounts determined to be unallowable.	BUREAU FOR MANAGEMENT	In coordination with the Regional Office of Inspector to revise the Management Decision. Final Action Target date: June 30, 2020
100	Audit of USAID Resources Managed by African Development Solutions in Kenya Under Multiple Agreements, January 1 to December 31, 2014	4-000-17-132-R- 6	07/26/17	M/OAA/CAS/CAM follow up on unexpended funds that African Development Solutions owed to USAID from agreements that ended before December 31, 2013, as shown on pages I-13 to I-18 of Gelman, Rosenberg & Freedman's audit report and determine any actions necessary in accordance with the standard provisions for non-U.S., nongovernmental organizations, paragraph RAA1.h.	BUREAU FOR MANAGEMENT	In coordination with the Regional Office of Inspector to revise the Management Decision. Final Action Target date: June 30, 2020

101	Financial Audit of USAID Resources Managed by KPMG East Africa Limited in Multiple Countries Under Cooperative Agreement AID-OAA-A-14-00022, October 1, 2016, to September 30, 2017	4-000-19-019-R- 2	11/20/18	USAID/M/OAA/CAS/CAM verify that KPMG East Africa Limited corrects the two instances of material noncompliance detailed on pages 17 to 19 of the audit report.	BUREAU FOR MANAGEMENT	Final Decision Letter for questioned costs was drafted on May 23, 2019. Will close recommendation upon receipt of subsequent audit confirming corrective actions have been taken on the internal control/procedural issues. Final Action Target Date: April 26, 2020.
102	Financial Audit of USAID Resources Managed by KPMG East Africa Limited in Multiple Countries Under Cooperative Agreement AID-OAA-A-14-00022, October 1, 2016, to September 30, 2017	4-000-19-019-R- 3	11/20/18	USAID/M/OAA/CAS/CAM verify that KPMG East Africa Limited corrects the one instance of material noncompliance emanating from prior periods and detailed on page 20 of the audit report.	BUREAU FOR MANAGEMENT	Final Decision Letter for questioned costs was drafted on May 23, 2019. Will close recommendation upon receipt of subsequent audit confirming corrective actions have been taken on the internal control/procedural issues. Final Action Target Date: April 26, 2020.
103	Financial Audit of USAID Resources Managed by Right to Care NPC in Multiple Countries Under Multiple Agreements, October 1, 2016, to September 30, 2017	4-674-19-034-R- 2	12/20/18	USAID/M/OAA/CAS/CAM determine the allowability of \$3,040 in ineligible questioned costs on pages 22 and 24 of the audit report and recover any amount that is unallowable.	BUREAU FOR MANAGEMENT	USAID/M/OAA/CAS/CAM's agreement officer determined that the \$3,040 in ineligible questioned costs is disallowed. Collection of disallowed questioned costs are in the process. Final Action Target Date June 12, 2020.

104	Lessons From USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P- 5	01/24/18	The Assistant to the Administrator for Policy, Planning and Learning direct the creation of procedures governing coordinating bodies (Secretariat, task force, etc.) including (1) criteria for when they are established, (2) how they are staffed, (3) their responsibilities and authorities in responding to an emerging crisis, (4) their expected level of interaction within USAID and with external stakeholders, (5) policies for how they clear documents for distribution and reporting, and (6) how they are disbanded, including the transfer of residual activities to relevant regional or functional bureaus at the conclusion of the crisis.	BUREAU FOR MANAGEMENT	Recommendations 5, 6 & 14 are intrinsically linked. Recommendation 14's current target closure date is 6/30/20. We are requesting 5 and 6 be extended to match Recommendation 14. The Chief of Staff plans to work with Congress to pull back the M CN, and ask that Congress approve the creation of the Task Force Unit.  Final action target date is June 30, 2020.
105	Lessons From USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P- 6	01/24/18	The Assistant to the Administrator for Policy, Planning and Learning direct the development of test procedures for • integrating response, recovery, and transition activities during a complex whole-of-Agency humanitarian or health emergency.	BUREAU FOR MANAGEMENT	Recommendations 5, 6 & 14 are intrinsically linked. Recommendation 14's current target closure date is 6/30/20. We are requesting 5 and 6 be extended to match Recommendation 14. The Chief of Staff plans to work with Congress to pull back the M CN, and ask that Congress approve the creation of the Task Force Unit. Final action target date is June 30, 2020.

106	Lessons From USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P-14	01/24/18	The Assistant to the Administrator for Policy, Planning and Learning direct the creation or appointment of a unit, and development of a policy that requires operating units involved in an emergency response or recovery to (1) collectively identify lessons learned, (2) develop after-action reports, (3) create a timeline for corrective actions to take place, and (4) follow up on those planned actions to ensure they occur, including updating the policy framework, if necessary.	BUREAU FOR MANAGEMENT	Recommendations 5, 6 & 14 are intrinsically linked. Recommendation 14's current target closure date is 6/30/20. We are requesting 5 and 6 be extended to match Recommendation 14. The Chief of Staff plans to work with Congress to pull back the M CN, and ask that Congress approve the creation of the Task Force Unit. Final action target date is June 30, 2020.
107	Fund Accountability Statement Audit of Appleseeds Academy Under Net Community Connectors Project in West Bank	8-294-19-026-R- 1	02/05/19	USAID/West Bank and Gaza determine the allowability, and collect as appropriate, \$2,821 of questioned unsupported cost share expenses, as detailed on page 18 of PricewaterhouseCooper report.	BUREAU FOR MIDDLE EAST	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date March 30, 2020.
108	Fund Accountability Statement Audit of Appleseeds Academy Under Net Community Connectors Project in West Bank	8-294-19-026-R- 2	02/05/19	USAID/West Bank and Gaza require Appleseeds Academy to implement policies and procedures ensuring that it checks suppliers' names against the Excluded Parties List System, as detailed on page 19 of PricewaterhouseCooper report.	BUREAU FOR MIDDLE EAST	The Mission has issued a bill of collection for the unallowable questioned cost to the recipient. Final Action Target Date March 30, 2020.
109	Insufficient Oversight of Public International Organizations Puts U.S. Foreign Assistance Programs at Risk	8-000-18-003-P- 2	09/25/18	USAID establish a dedicated, centralized entity with the authority and resources to assess and address (1) PIO performance, (2) PIO internal oversight effectiveness, (3) other crosscutting PIO oversight methods, and (4) oversight units operating across	BUREAU FOR POLICY, PLANNING, AND LEARNING	The recommendation closure is contingent upon Congress' approval of USAID's Congressional Notification to establish a centralized unit with the authority and resources to assess and address the performance and oversight of the

				multiple organizations, using information from across the Agency.		Agency's grants and cooperative agreements with PIO
110	Closeout Audit of USAID Resources Managed by EQUIP Liberia Under the Emergency Protection in Host Communities of Nimba and Grand Gedeh Counties Program in Liberia, Cooperative Agreement No. AID-OFDA-G-12-00124, for the Period From June 1, 2012, Through May 31, 2013 - (originally issued 12/12/16; reissued 12/14/16)	4-669-17-019-R- 1	12/14/16	USAID/M/OAA/CAS/CAM determine the allowability of \$28,739 in questioned costs (\$16,270 ineligible and \$12,469 unsupported) identified on page 9 of Akus Consult's audit report and recover from EQUIP Liberia the amounts determined to be unallowable.	COLLECTIONS	The vendor is in a re-payment plan with USAID. Last payment is scheduled for April 1, 2026.
111	Financial Audit of Costs Incurred in Afghanistan by the Citizen Network for Foreign Affairs Under the Afghanistan Farm Services Alliance Program, Cooperative Agreement No. 306-A-00-08-00517-00 for the Period January 1, 2010, to September 30, 2011	F-306-13-005-N- 1	03/17/13	USAID/Afghanistan determine the allowability and recover, as appropriate, questioned costs of \$661,521 (\$410,783 in ineligible costs and \$250,738 in unsupported costs) identified in the schedule of costs incurred in Afghanistan on pages 18 through 26 of the report.	COLLECTIONS	The vendor is in a re-payment plan with USAID. Last payment is scheduled for August 15, 2020.

112	Financial Audit of the Malakand Reconstruction and Recovery Program Assistance in Pakistan Managed by the Provincial Reconstruction Rehabilitation and Settlement Authority, Government of Khyber Pakhtunkhwa, Grant No. 6, July 1, 2013, to June 30, 2014	5-391-18-031-R- 1	09/21/18	USAID/Pakistan determine the allowability of \$1,225,524 in questioned costs (\$950,865 ineligible, \$274,659 unsupported) as detailed in Table 1 on pages 3 and 4 of this memorandum, and recover any amount that is unallowable.	OFFICE OF AFGHANISTAN AND PAKISTAN AFFAIRS	Mission is working with the vendor on providing the supporting documentation in order to satisfy the management decision. Final Action Target Date: February 25, 2020
113	Financial Audit of Khyber Pakhtunkhwa Reconstruction Program and Capacity Building in Pakistan Managed by the Provincial Reconstruction Rehabilitation & Settlement Authority, Government of Khyber Pakhtunkhwa, Grant No. 47, July 1, 2014, to June 30, 2015	5-391-19-005-R- 1	11/29/18	USAID/Pakistan determine the allowability of \$5,738,008 in questioned costs (\$5,698,756 ineligible and \$39,252 unsupported) as detailed in Table 1 on pages 34 of this memorandum, and recover any amount that is unallowable.	OFFICE OF AFGHANISTAN AND PAKISTAN AFFAIRS	The mission is working with the Regional Office of Inspector to revise the Management Decision. Final Action Target date: February 29, 2020
114	Internal Control Gaps Hinder Oversight of U.S. Personal Services Contracts in Asia	5-000-17-001-S- 1	03/20/17	USAID chief human capital officer conduct a needs assessment and, based on the results, implement a plan to staff development outreach and communications specialists in overseas missions.	OFFICE OF HUMAN CAPITAL AND TALENT MANAGEMENT	HCTM plans to meet with LPA the week of Jan 27th to draft the closure request memo. Final Action Target Date: February 28, 2020.

115	Lessons From USAID's Ebola Response Highlight the Need for a Public Health Emergency Policy Framework	9-000-18-001-P- 9	01/24/18	The Assistant to the Administrator for Policy, Planning and Learning direct the formation of a process for (1) identifying relevant technical experts across the Agency, (2) maintaining a catalog that includes how they can be reached in the event of another health crisis, and (3) temporarily reassigning them away from their existing duties.	OFFICE OF HUMAN CAPITAL AND TALENT MANAGEMENT	M/CFO/APC is currently reviewing the recommendation supporting documentation to substantiate closure. Final Action Target Date February 28, 2020
116	Report on Audit of Cost Incurred by DPW Training & Associates, LLC. Under Contract Numbers RLA-C-00-10-00007-00 and AID-OAA-C-10-00125 for the Period January 1, 2011, Through September 30, 2014	3-000-16-006-N- 1	03/11/16	M/OAA/RM/LEMO determine the allowability of the \$2,658,301.45 in unsupported questioned costs and the \$150,668.35 in ineligible questioned costs and collect any amounts determined to be unallowable from the DPW Training & Associates, LLC.	OFFICE OF THE GENERAL COUNSEL	The recommendation is in litigation with the Civilian Board of Contract Appeals.
117	Financial Audit of Costs Incurred In Afghanistan by Advanced Engineering Associates International, Inc. (AEAI) Under Sheberghan Gas Generation Activity (SGGA), Task Order No. AID-306-TO-12-00002, for the Period From October 1, 2013, Through December 31, 2015	F-306-17-001-N- 1	10/02/16	USAID/Afghanistan determine the allowability of \$684,367 in questioned costs (\$129,715 ineligible and \$554,652 unsupported) identified on page 18 of Davis and Associates' audit report and recover from Advanced Engineering Associates International, Inc. any amounts determined to be unallowable.	OFFICE OF THE GENERAL COUNSEL	The recommendation is in litigation with the Civilian Board of Contract Appeals.



118	Insufficient Oversight of Public International Organizations Puts U.S. Foreign Assistance Programs at Risk	8-000-18-003-P- 6	09/25/18	USAID Establish requirements for PIOs to notify USAID of suspected and identified serious criminal misconduct in activities funded by USAID to include unlawful actions taken by employees, subpartners, subcontractors, vendors, or other parties. The requirements should specify the process for reporting and criteria for what to report.	OFFICE OF THE GENERAL COUNSEL	Recommendation submitted to OIG for Closure on December 10, 2019
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