



United States Department of State

Washington, D.C. 20520

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April 6, 2020

MEMO FOR KRISTINA A. KVIEN, CHARGÉ D’AFFAIRES TO UKRAINE

SUBJECT: PEPFAR Ukraine Country Operational Plan 2020 Approval

This memo represents the successful completion of the PEPFAR Ukraine Country Operational Plan (COP) 2020 planning, development and submission. PEPFAR Ukraine, together with the partner government, civil society and multilateral partners, has planned and submitted a COP 2020 in alignment with the directives from the COP 2020 planning letter, data-driven decisions made during the in-country retreat, and agreements made during the planning meeting.

This memo serves as the approval for the PEPFAR Ukraine Country Operational Plan (COP) 2020 with a total approved budget of \$37,303,973, including all initiatives and applied pipeline, to achieve the targets and outcomes as listed in this memo and all appendices. Total budget is reflective of the following programming:

| Ukraine | New Funding (all accounts) | Pipeline | Total Budget FY 2021 Implementation |
|---------------------|----------------------------|------------------|-------------------------------------|
| Total Budget | 31,091,004 | 6,212,969 | 37,303,973 |
| Bilateral | 31,091,004 | 6,212,969 | 37,303,973 |
| Central | - | - | - |

The total FY 2021 outlay for COP/ROP 2020 implementation shall not exceed the total approved COP/ROP 2020 budget of \$37,303,973 without additional written approval. Any prior year funds that are not included within this COP/ROP 2020 budget and documented within this memo, its appendices and official PEPFAR data systems are not to be made available for execution and outlay during FY 2021 without additional written approval. The new FY 2020 funding and prior year funds approved within this memo as a part of the total COP/ROP 2020 budget are allocated to achieve specific results, outcomes and impacts as approved. All requested Operational Plan Updates and shifting of funds – either between mechanisms and partners, or to add additional funding to mechanisms and partners for execution in FY 2021– must be submitted to and approved by S/GAC.

Approved funding will be made available to agencies for allocation to country platform to implement COP 2020 programming and priorities as outlined below and in the appendix.

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Background

This approval is based upon: the discussions that occurred between the country team, agency headquarters, S/GAC, indigenous and international stakeholders and implementing partners during the February 17-21, 2020 in-person planning meetings and participants in the virtual approval meeting; the final COP/ROP 2020 submission, including all data submitted via official PEPFAR systems or within supplemental documents.

Program Summary

Funding and targets for Ukraine's (COP) 2020 will support PEPFAR Ukraine's vision, in partnership with the Government and people of Ukraine, to work towards epidemic control first through targeted case finding and testing to efficiently identify key populations most-at-risk for HIV infection - including people who inject drugs (PWID), men who have sex with men (MSM), people in penal settings and then ensuring all newly diagnosed PLHIV are immediately linked to treatment. Upon linkage to treatment, programs will ensure that PLHIV are retained in treatment and are virally suppressed. COP 2020 activities will work to accelerate Ukraine's progress towards epidemic control by the end of FY 2021, with 113,776 projected to be on life-saving treatment by the end of FY 2021. Ukraine's COP 2020 response will focus intensely on the 12 highest-burden geographic regions by enrolling an additional 21,099 PLHIV on treatment in FY2021 and ensuring viral load suppression in 100,331 patients in these areas.

The PEPFAR Ukraine strategy for programming/funding to be implemented during FY 2021 will focus on retaining PLHIV on ART and continuing to prevent new HIV infections through enhanced case finding and same-day ART treatment initiation, with particular emphasis on the six regions with the greatest estimated proportion of undiagnosed PLHIV (77%). Case finding efforts will focus on: (1) scale-up of client-centered testing services, including index testing, multi-testing to diagnose Hepatitis C, Hepatitis B, and STI co-infection; (2) mobile testing – with the dispatch of mobile testing/linkage units that will allow for streamlined test and start to reduce patient losses to follow-up (LTFU); (3) social network testing; (4) testing for people in prisons; and (5) and MSM-friendly testing services.

Prevention efforts will focus on (1) increasing PrEP availability at health facilities for at-risk populations, including sero-discordant couples, (2) scaling up of H=H messaging campaigns and other enhanced prevention activities targeting urban and rural communities to de-stigmatize HIV and raise awareness among at-risk groups about PrEP availability, 3) making MSM-friendly prevention services more widely available, and 4) targeting of risk-reduction strategies and HIV prevention interventions for younger PWID and other vulnerable groups.

The program will also focus on improving linkage of HIV-positive clients to treatment services and implementing client-centered retention services to mitigate losses to follow-up, ensuring successful linkages to MAT (medication-assisted therapy) services, and help clients achieve viral suppression. The program will support intensive site monitoring and supportive supervision to improve case-finding, testing, and retention and will use Community-Led Monitoring approaches to support continuing improvements in service delivery quality and access in Government of Ukraine primary health care facilities, ART sites, and NGO-supported sites.

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At a broader level, the program will 1) undertake key population-focused surveys and surveillance – including key population size estimates and utilization of data from HIV case-based surveillance and routine program data to inform case finding and testing efforts and overall HIV epidemic control monitoring; 2) support reforms to strengthen Government of Ukraine commodity procurement and supply chain systems and decentralized HIV services (including same-day testing and treatment initiation and multi-month ART dispensing); 3) support the expansion of laboratory infrastructure and monitoring capacity in tandem with ongoing HIV diagnosis and case management with PEPFAR Ukraine support.

Further, PEPFAR funds for Ukraine will focus heavily on expanding access to patient-centered services, including self-testing, same-day testing and treatment initiation, piloting of private-sector approaches to improve access to ARVs, making PrEP more widely available, and using retention strategies to help clients remain on treatment with suppressed viral loads. PEPFAR funds for Ukraine will also support the use of HIV rapid recency testing (confirmed by VL test) in selected regions, with data to be collected on demographics (e.g., age, sex, residence, risk profile, and HIV testing history) as a basis for identifying hot-spots and facilitating the tracing of partners of persons with recent infection.

Notable changes from COP19 to COP20 include the implementation of community-led monitoring activities to be carried out in close collaboration with Civil Society and the Government of Ukraine to sustain PEPFAR-supported gains in the provision of high-quality, client-centered HIV services and ethical index testing; retention strategies for PWID and hard-to-retain clients; introduction of HIV self-testing; and scaling of viral load testing coverage through patient-centered HIV laboratory networks. All COP 20 interventions will be aligned with Government of Ukraine 95-95-95 goals and implemented in close collaboration with civil society, UNAIDS, WHO, the Global Fund, and other key stakeholders.

Funding Summary

All COP/ROP 2020 funding summarized in the chart below is approved at the agency and account levels as indicated. Funds are to be utilized to achieve the targets and outcomes and to fund implementing partners and Management and Operations costs (U.S. Government Costs of Doing Business) as documented in all PEPFAR systems and summarized in the appendix.

| Ukraine | Bilateral | | | | | | | Total Bilateral Applied Pipeline | Total Bilateral - New Funding | Total COP 20 Budget |
|--|-------------|------------|---------|------------------|-----------|-----------|-------------|----------------------------------|-------------------------------|---------------------|
| | New Funding | | | Applied Pipeline | | | | | | |
| | FY20 | | | FY19 | | FY 19 | Unspecified | | | |
| | Total | GHP-State | GAP | Total | GHP-State | Total | Total | | | |
| DOD TOTAL | 354,382 | 354,382 | - | - | - | - | 20,618 | 20,618 | 354,382 | 375,000 |
| HHS TOTAL | 17,924,757 | 17,440,173 | 484,584 | - | - | 3,426,062 | - | 3,426,062 | 17,924,757 | 21,350,819 |
| HHS/CDC | 15,824,757 | 15,340,173 | 484,584 | - | - | 3,426,062 | - | 3,426,062 | 15,824,757 | 19,250,819 |
| HHS/HRSA | 2,100,000 | 2,100,000 | - | - | - | - | - | - | 2,100,000 | 2,100,000 |
| HHS/SAMHSA | - | - | - | - | - | - | - | - | - | - |
| PEACE CORPS TOTAL | 659,314 | 659,314 | - | - | - | - | 136,686 | 136,686 | 659,314 | 796,000 |
| STATE TOTAL | 575,000 | 575,000 | - | - | - | - | - | - | 575,000 | 575,000 |
| State (State, S/EUR, S/EAP, and State/AF | 575,000 | 575,000 | - | - | - | - | - | - | 575,000 | 575,000 |
| State/PRM | - | - | - | - | - | - | - | - | - | - |
| State/SGAC | - | - | - | - | - | - | - | - | - | - |
| USAID TOTAL | 4,247,670 | 4,247,670 | - | 7,329,881 | 7,329,881 | 1,540,113 | 1,089,490 | 2,629,603 | 11,577,551 | 14,207,154 |
| USAID, non-WCF | 3,549,460 | 3,549,460 | - | 7,329,881 | 7,329,881 | 1,540,113 | - | 1,540,113 | 10,879,341 | 12,419,454 |
| USAID, WCF | 698,210 | 698,210 | - | - | - | - | 1,089,490 | 1,089,490 | 698,210 | 1,787,700 |
| TOTAL | 23,761,123 | 23,276,539 | 484,584 | 7,329,881 | 7,329,881 | 4,966,175 | 1,246,794 | 6,212,969 | 31,091,004 | 37,303,973 |

* Pipeline refers to funding allocated in prior years, approved for implementation in FY 2021

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GHP-State Funds: Upon the clearance of a FY 2020 PEPFAR GHP-State Congressional Notification (CN), funds will be made available for transfer to agency HQs as indicated in the above chart. Funds are made available for outlay in FY 2021 at approved COP/ROP 2020 partner budget levels to achieve FY 2021 targets and outcomes as documented in official PEPFAR systems and summarized in the approval memo's appendix. Upon receipt from S/GAC, agency headquarters will move the funds to the country platform via each agency's internal process.

CDC GAP Funds: With the receipt of this signed memo, CDC is approved to use CDC GAP funds, as indicated in the above funding chart. Funds are to be made available for outlay in FY 2021 at approved COP/ROP 2020 partner budget levels to achieve FY 2021 targets and outcomes as documented in official PEPFAR systems and summarized in the approval memo's appendix. With this approval, CDC GAP funding may be made available to country teams per CDC internal processes and following agency requirements.

Applied Pipeline Funds: With the receipt of this signed memo, respective agencies are approved to use applied pipeline funds as indicated in the above funding chart. Funds are to be made available for outlay in FY 2021 at approved COP/ROP 2020 partner budget levels to achieve FY 2021 targets and outcomes as documented in official PEPFAR systems and summarized in the approval memo's appendix. Additional or remaining pipeline from previous year's activities that are not currently captured in the COP/ROP 2020 total budget level and documented within COP/ROP 2020 partner budgets are not to be executed or outlayed without written approval from S/GAC.

Earmarks: The Ukraine OU has planned for programming for FY2020, FY2019 or/or FY2017 funding that it considered to meet a number of earmarks, as indicated in the table below. The amounts programmed during COP may exceed the original controls assigned to the OU. Upon approval of this memo, the amounts below will become the new earmark controls for the OU/Agency. Any changes to the amount of funding programmed for earmark-eligible activities must be approved via an OPU.

Earmark Funding Table

| Earmarks | COP20 Funding Level | | |
|--|---------------------|-----------|------------|
| | FY20 | FY19 | Total |
| Care & Treatment | 8,345,768 | 3,207,338 | 11,553,106 |
| Orphans and Vulnerable Children | 130,000 | - | 130,000 |
| Preventing and Responding to Gender-based Violence | - | - | - |
| Water | - | - | - |

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Initiatives by Agency

Table 4: Initiatives by Agency and Initiative

| Ukraine | Total Central Applied Pipeline | Total Bilateral Applied Pipeline | Total Bilateral - New Funding | Total COP 20 Budget |
|--|--------------------------------|----------------------------------|-------------------------------|---------------------|
| TOTAL | - | 6,212,969 | 31,091,004 | 37,303,973 |
| <i>of which, COP19 Performance</i> | - | - | 3,947,000 | 3,947,000 |
| <i>of which, Core Program</i> | - | 6,082,464 | 26,990,517 | 33,072,981 |
| <i>of which, HKID Requirement</i> | - | - | 130,000 | 130,000 |
| <i>of which, Surveillance and Public Health Response</i> | - | 130,505 | 23,487 | 153,992 |
| DOD TOTAL | - | 20,618 | 354,382 | 375,000 |
| <i>of which, Core Program</i> | - | 20,618 | 354,382 | 375,000 |
| HH \$ TOTAL | - | 3,426,062 | 17,924,757 | 21,350,819 |
| HHI/CDC | - | 3,426,062 | 15,824,757 | 19,250,819 |
| <i>of which, COP19 Performance</i> | - | - | 3,200,000 | 3,200,000 |
| <i>of which, Core Program</i> | - | 3,426,062 | 12,624,757 | 16,050,819 |
| HHI/HRIA | - | - | 2,100,000 | 2,100,000 |
| <i>of which, Core Program</i> | - | - | 2,100,000 | 2,100,000 |
| HHI/IAMHIA | - | - | - | - |
| PEACE CORP \$ TOTAL | - | 136,686 | 659,314 | 796,000 |
| <i>of which, COP19 Performance</i> | - | - | 247,000 | 247,000 |
| <i>of which, Core Program</i> | - | 136,686 | 282,314 | 419,000 |
| <i>of which, HKID Requirement</i> | - | - | 130,000 | 130,000 |
| STATE TOTAL | - | - | 575,000 | 575,000 |
| Itats (Itate, I/EUR, I/EAP, and I/WHA) | - | - | 575,000 | 575,000 |
| <i>of which, COP19 Performance</i> | - | - | 300,000 | 300,000 |
| <i>of which, Core Program</i> | - | - | 275,000 | 275,000 |
| Itats/AF | - | - | - | - |
| Itats/PRM | - | - | - | - |
| Itats/IGAC | - | - | - | - |
| U \$ AID TOTAL | - | 2,629,603 | 11,577,551 | 14,207,154 |
| U \$ AID, non-WCF | - | 1,540,113 | 10,879,341 | 12,419,454 |
| <i>of which, COP19 Performance</i> | - | - | 200,000 | 200,000 |
| <i>of which, Core Program</i> | - | 1,540,113 | 10,679,341 | 12,219,454 |
| U \$ AID, WCF | - | 1,089,490 | 698,210 | 1,787,700 |
| <i>of which, Core Program</i> | - | 958,985 | 674,723 | 1,633,708 |
| <i>Health Response</i> | - | 130,505 | 23,487 | 153,992 |
| TOTAL | - | 6,212,969 | 31,091,004 | 37,303,973 |

* Pipeline refers to funding allocated in prior years, approved for implementation in FY 2021

FY 2021 Target Summary

FY 2020 funds are released and COP/ROP 2020 applied pipeline is approved to achieve the following results in FY 2021.

Table 5: FY 2021 Targets by SNU Prioritizations

| Ukraine | | SNU Prioritizations | | | | | Total * |
|----------------|-------------|---------------------|----------------------|----------------------|-----------|------------------|---------|
| | | Attained | Scale-Up: Saturation | Scale-Up: Aggressive | Sustained | Legacy Supported | |
| HTS_INDX | *15 | | | 39,990 | | | 39,990 |
| | 154 | | | 39,990 | | | 39,990 |
| HTS_TST | *15 | | | 200 | | | 200 |
| | 154 | | | 295,825 | | | 296,025 |
| HTS_TST_POS | *15 | | | 29 | | | 29 |
| | 154 | | | 19,975 | | | 20,175 |
| TX_NEW | *15 | | | 29 | | | 29 |
| | 154 | | | 21,070 | | | 21,070 |
| TX_CURR | *15 | | | 1,787 | | | 1,787 |
| | 154 | | | 112,009 | | | 113,796 |
| TX_PVLS | *15 | | | 1,708 | | | 1,708 |
| | 154 | | | 98,623 | | | 100,331 |
| OXCA_SERV | Total (15*) | | | | | | |
| OVC_SERV | *15 | | | 480 | | | 480 |
| | 154 | | | 214 | | | 214 |
| OVC_HVSTAT | *15 | | | 480 | | | 480 |
| | 154 | | | | | | |
| PMTCT_STAT | *15 | | | | | | |
| | 154 | | | | | | |
| PMTCT_STAT_POS | *15 | | | | | | |
| | 154 | | | | | | |
| PMTCT_ART | *15 | | | | | | |
| | 154 | | | | | | |
| PMTCT_EID | *15 | | | | | | |
| | 154 | | | | | | |
| RP_PREV | *15 | | | | | | |
| | 154 | | | | | | |
| RP_PREV | *15 | | | | | | |
| | 154 | | | 124,228 | | | 124,228 |
| RP_MAT | *15 | | | 3,023 | | | 3,023 |
| | 154 | | | | | | |
| VMMC_CIRC | *15 | | | | | | |
| | 154 | | | | | | |
| HTS_SELF | *15 | | | 38,378 | | | 38,378 |
| | 154 | | | | | | |
| RSP_NEW | *15 | | | 3,018 | | | 3,018 |
| | 154 | | | 8,012 | | | 8,012 |
| TB_STAT (N) | *15 | | | | | | |
| | 154 | | | | | | |
| TB_ART (N) | *15 | | | | | | |
| | 154 | | | | | | |
| TB_PREV (N) | *15 | | | 332 | | | 332 |
| | 154 | | | 30,055 | | | 30,387 |
| TX_TB (D) | *15 | | | 1,798 | | | 1,798 |
| | 154 | | | 114,298 | | | 116,096 |
| GEND_GBY | *15 | | | | | | |
| | 154 | | | | | | |

* Totals may be greater than the sum of categories due to activities outside of the SNU prioritization areas outlined above.

COP 2019 Performance Funds:

All partners must be managed throughout the implementation year as indicated in the COP guidance. Overall performance including activities tied to COP19 performance will be reviewed at FY20 Q3 to determine if the programs are on track to access all funds at the start of COP20. This communication will come through the S/GAC Chair and POART process.

Partner Management and Stakeholder Engagement:

Agreements made during COP/ROP discussions, including those regarding geographic focus, targets, budgets, SIMS, use of pipeline, partner implementation and partner management will be monitored and evaluated on a regular basis via both ad hoc check-ins and discussions as well as the joint HQ and country team POART discussions. It is expected that teams closely monitor partner performance and engage with each implementing partner on a regular basis to ensure achievement of targets, outcomes and impact in a manner consistent with this memo, approved SDS, and budgets and targets as finalized in PEPFAR systems. Any partner with EITHER (1) <15% of target achievement at 3 months or (2) less than 40% of target achievement at 6 months must have a complete review of performance data (including trends in performance) and expenditures to date by program area, implement remediation, and conduct intensive follow-up. In the HIV treatment program, most clients are continuing on treatment year after year and current on treatment (TX_CURR) performance should be between 98% and 100% of the target. This can be adjusted in country context where HIV treatment services are still scaling up and the treatment new target is greater than 10% of treatment current. OVC programs are also similar in that there are clients continuing services from the previous year; if the IP is less than 80% of their target at Q2 performance review should be triggered. These elements (i.e. review, remediation, and follow-up) should be incorporated into the existing IP work plans. A second quarter of consistently poor performance by the IP should also result in implementation of a documented Performance Improvement Plan (PIP) or Correction Action Plan (CAP), in accordance with implementing agency policy. PIP indicators should reflect the core issue. If the issue is linkage of test positive to treatment the indicator measured should be test positive to new in treatment of greater than 85%. If the issue is retention it should be net new on treatment equal to 90% of new on treatment. After two quarters of intensive oversight and remediation for underperformance, partners should be close to full achievement of targets expected at quarter three. With a third quarter of consistently poor performance by the IP, implementing agencies should notify S/GAC the options the agency is implementing to address partner non-performance, including options for a shift to new partners. The country team should notify the S/GAC Chair and PPM immediately of the improvement plan.

Engagement with all stakeholders, including civil society and community members, multilateral partners and bilateral partners, is to continue throughout COP/ROP implementation. Core to this critical engagement is the sharing of and discussion surrounding quarterly results and achievement and findings from community-led monitoring. This continued engagement will ensure all parties' understanding of Ukraine's progress and help identify any strategic changes to be made in order to more efficiently and effectively reach epidemic control

Pending Congressional Approval

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